#### Introduction to ISR Signal Processing Joshua Semeter, Boston University

- 19 (States 1997) 1976

Bow Shock

#### Magnetopause

Variable Solar Wind Forcing

> Dayside Reconnection

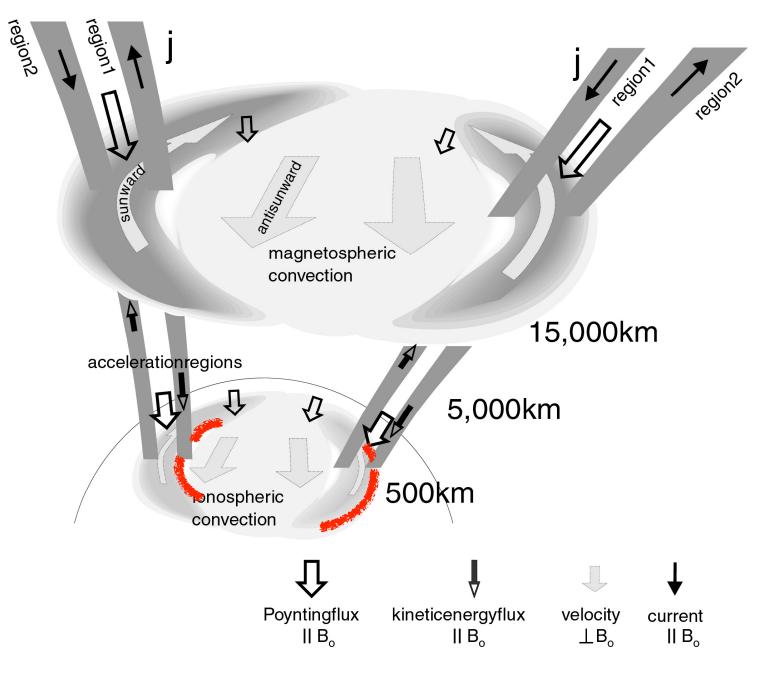


Particle Transport & Energization

Coupled Inner Magnetosphere & lonosphere **Tail Reconnection** 

Goldstein

#### Ionosphere as a projection of the magnetosphere



Vaivads, PhD dissertation

# Incoherent Scatter Radar (ISR)



Arecibo

# Why study ISR?

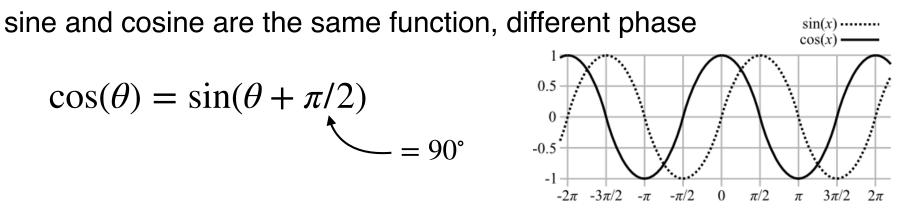
Requires that you learn about a great many useful and fascinating subjects in substantial depth.

- Plasma physics
- Radar
- Coding (information theory)
- Electronics (Power, RF, DSP)
- Signal Processing
- Inverse theory



- Mathematical toolbox
- Review of basic radar concepts
- Ionospheric Doppler spectrum
- Range resolution and matched filtering
- I/Q demodulation
- Measuring the autocorrelation function (ACF) and Power Spectral Density (PSD)

#### Signal Model



Euler's identity consolidates these into a single function

$$e^{j\theta} = \cos(\theta) + j\sin(\theta)$$

Make signal oscillate in time:  $\theta = \omega t = 2\pi f t$ 

Add information via amplitude modulation (A.M) or frequency modulation (F.M) We now have a generic mathematical model of a radio or radar signal.

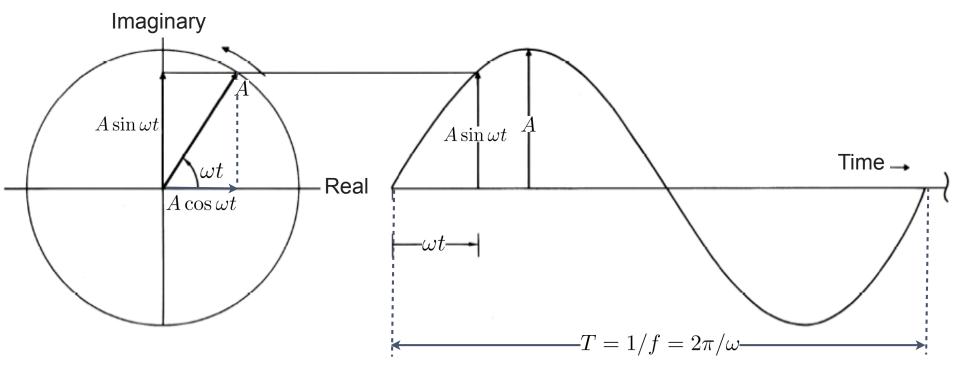
$$s(t) = A(t)e^{j(\omega_o t + \phi(t))}$$
  
F.M.  
A.M. Carrier

Or letting  $\omega_d = d\phi/dt \rightarrow \phi(t) = \omega_d t$ 

$$s(t) = A(t)e^{j(\omega_o + \omega_d)t}$$



# **Complex Exponential Function**



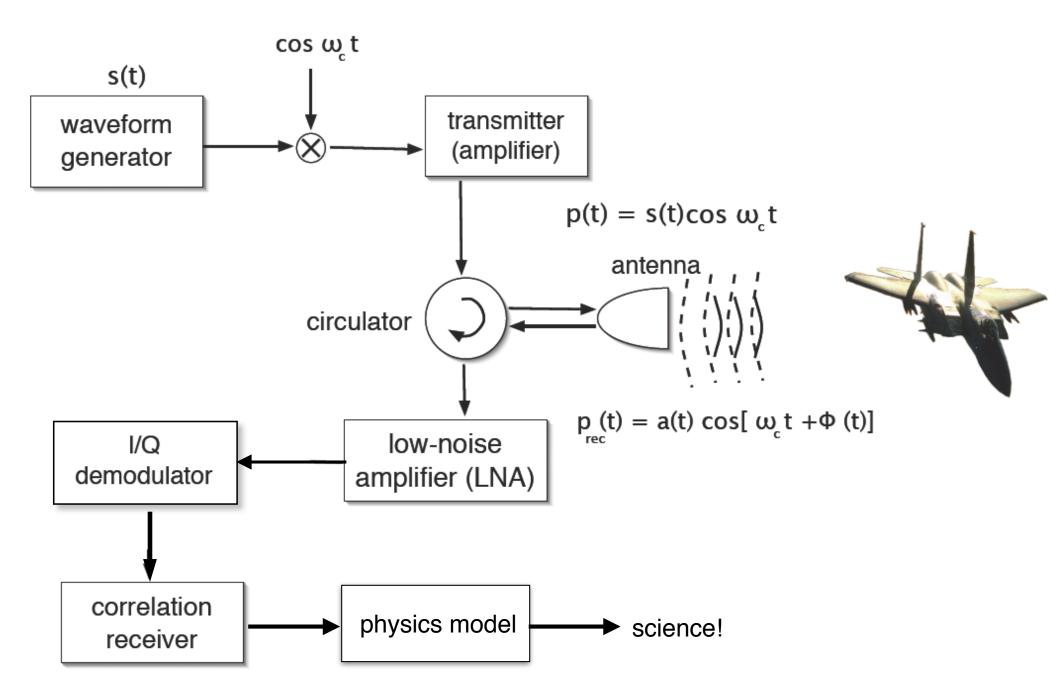
 $\omega\,$  is the "angular velocity" (radians/s) of the spinning arrow

f is the number of complete rotations ( $2\pi$  radians) in one second (1/s or Hz)

We need a signal that tells us **how fast** and in **which direction** the arrow is spinning. This signal is the complex exponential. Invoking the Euler identity,

$$s(t) = Ae^{j\omega t} = A\cos\omega t + jA\sin\omega t = I + jQ$$

*I* = in-phase component *Q* = in-quadrature component



#### **Essential mathematical operations**

**Fourier Transform:** Expresses a function as a weighted sum of harmonic functions (i.e., complex exponentials)

$$f(t) = \int_{-\infty}^{+\infty} F(\omega) e^{j\omega t} d\omega \quad \Longleftrightarrow \quad F(\omega) = \int_{-\infty}^{+\infty} f(t) e^{-j\omega t} dt$$

**Convolution:** Expresses the action of a linear, time-invariant system on a function.

$$f(t) * g(t) = \int_{-\infty}^{+\infty} f(\tau)g(\tau - t)d\tau \qquad f(t) * g(t) \iff F(\omega)G(\omega)$$

**<u>Correlation</u>**: A measure of the degree to which two functions look alike at a given offset.  $f(t) \circ g(t) = \int_{-\infty}^{+\infty} f^*(\tau)g(t+\tau)d\tau \quad f(t) \circ g(t) \iff F^*(\omega)G(\omega)$ 

#### Autocorrelation, Convolution, Power Spectral Density, Wiener-Khinchin Theorem

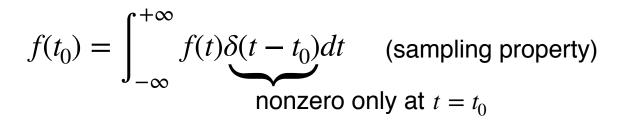
$$R_{uu} = u(t) \circ u(t) = u(t) * u^*(-t) \qquad \qquad R_{uu} \iff |U(f)|^2$$

#### **Dirac Delta Function**

A generalized function, or distribution, with the properties

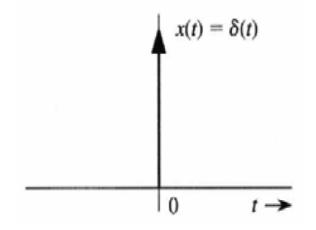
$$\delta(x) = \begin{cases} +\infty, & x = 0\\ 0, & x \neq 0 \end{cases} \qquad \qquad \int_{-\infty}^{+\infty} \delta(x) dx = 1$$

From these properties it follows that

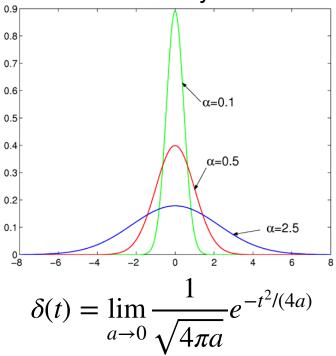


$$F(\omega - \omega_0) = \int_{-\infty}^{+\infty} F(\Omega)\delta(\omega - \omega_0 - \Omega)d\Omega$$

$$= f(\omega) * \delta(\omega - \omega_0) \quad \text{(shift property)}$$

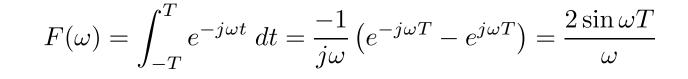


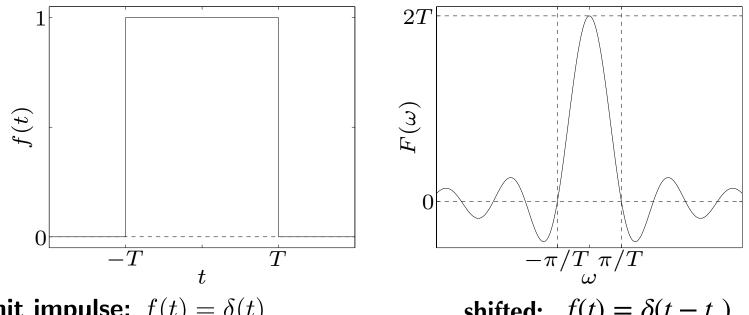
 $\delta(t)$  may be expressed as the limit of many functions



#### Fourier transform of two pulses

rectangular pulse:  $f(t) = \begin{cases} 1 & -T \le t \le T \\ 0 & |t| > T \end{cases}$ 





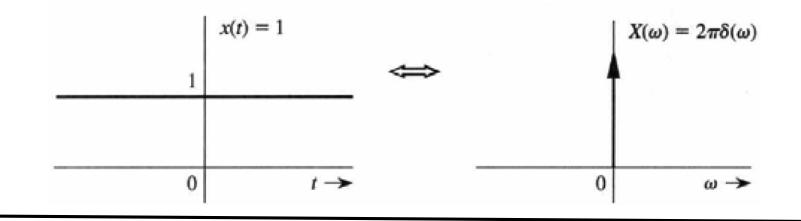
unit impulse:  $f(t) = \delta(t)$ 

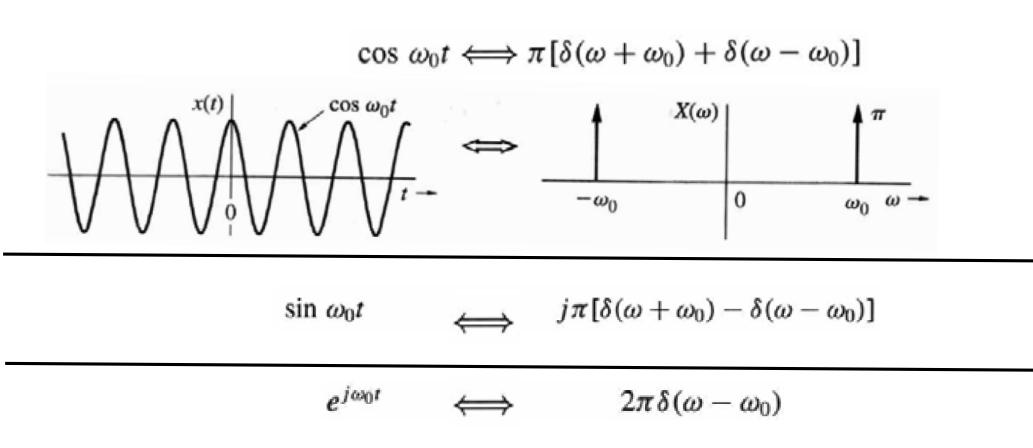
shifted:  $f(t) = \delta(t - t_o)$ 

\_

$$F(\omega) = \int_{-\infty}^{\infty} \delta(t) e^{-j\omega t} dt = 1 \qquad F(\omega)$$

#### Harmonic Functions

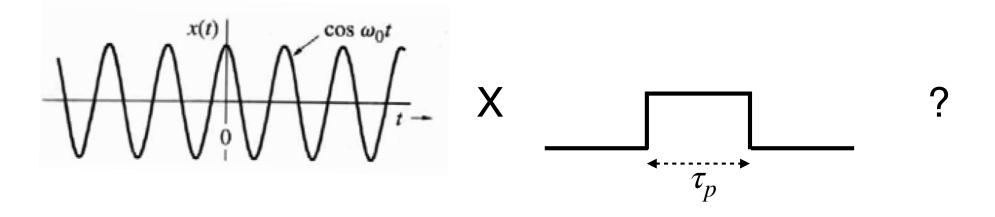






**Convolution:** Expresses the action of a linear, time-invariant system on a function.

$$f(t) * g(t) = \int_{-\infty}^{+\infty} f(\tau)g(t-\tau)d\tau \iff F(\omega)G(\omega)$$
$$F(\omega) * G(\omega) = \int_{-\infty}^{+\infty} F(\omega)G(\omega-\Omega)d\Omega \iff f(t)g(t)$$



#### Correlation

**<u>Correlation</u>**: A measure of the degree to which two functions look alike at a given offset. If the two functions are the same, we call this the autocorrelation function, or ACF.

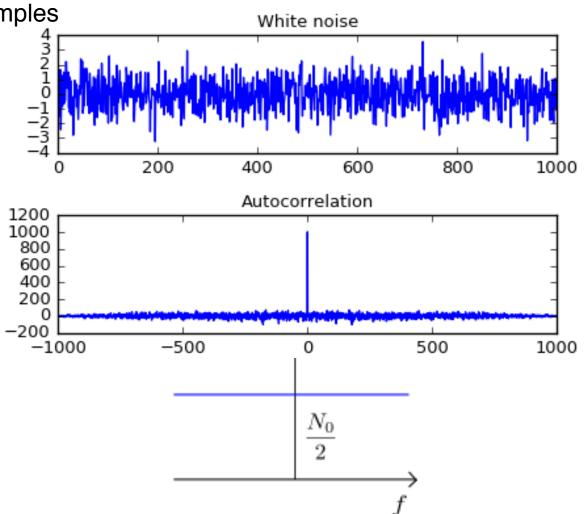
$$R_{ff}(\tau) = \int_{-\infty}^{+\infty} f(t+\tau)\bar{f}(t)dt = f(\tau) * \bar{f}(-\tau)$$

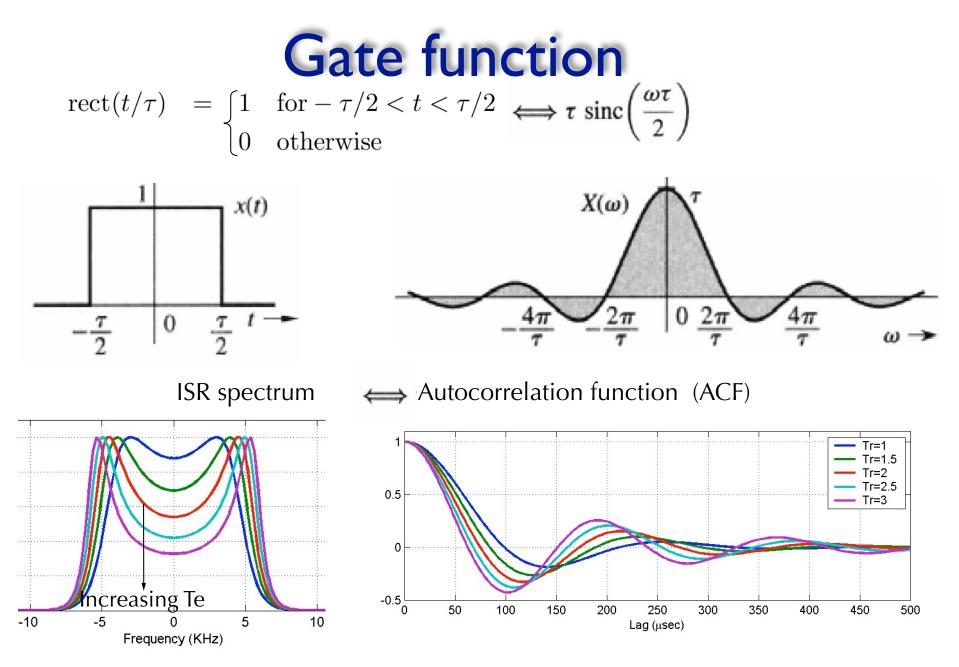
We will be working with discrete samples

$$R_{ff}(k) = \sum_{n=-\infty}^{+\infty} f(n)\overline{(f)}(n-k)$$

The 'spectrum' refers to the power spectrum, which is the Fourier transform of the autocorrelation function

$$R_{ff} \iff \left| U(\omega) \right|^2$$

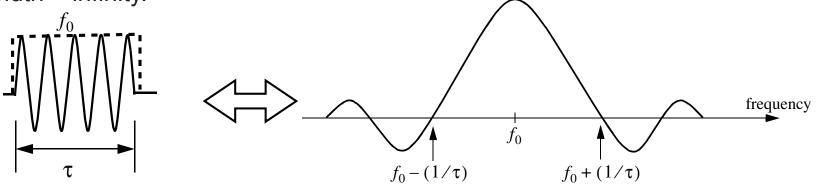




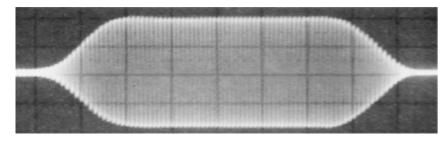
For low Te, the ISR ACF looks like a sinc function. For high Te the ACF becomes more oscillatory and looks more like a cosine (power concentrated at the Doppler frequency corresponding to the ion-acoustic wave speed.

# How it all hangs together.

- Duality:
  - Gate function in the time domain represents amplitude modulation
  - Gate function in the frequency domain represents filtering
- Limiting cases:
  - Gate function approaches delta function as width goes to 0 with constant area
  - A constant function in time domain is a special case of harmonic function where frequency = 0.
  - A constant function in time domain is a special case of a gate function where width = infinity.

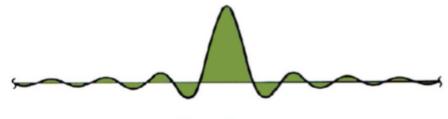


How many cycles are in a typical ISR pulse? PFISR frequency: 449 MHz Typical long-pulse length: 480 μs



#### Bandwidth of a pulsed signal

Spectrum of receiver output has sinc shape, with sidelobes half the width of the central lobe and continuously diminishing in amplitude above and below main lobe

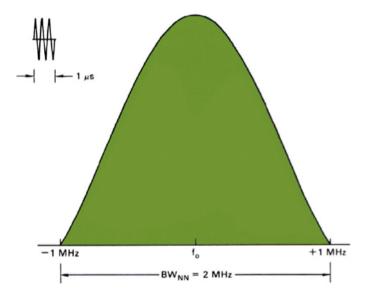


FREQUENCY -----

A 1 microsecond pulse has a 3 dB bandwidth of 1 MHz

Two possible bandwidth measures:

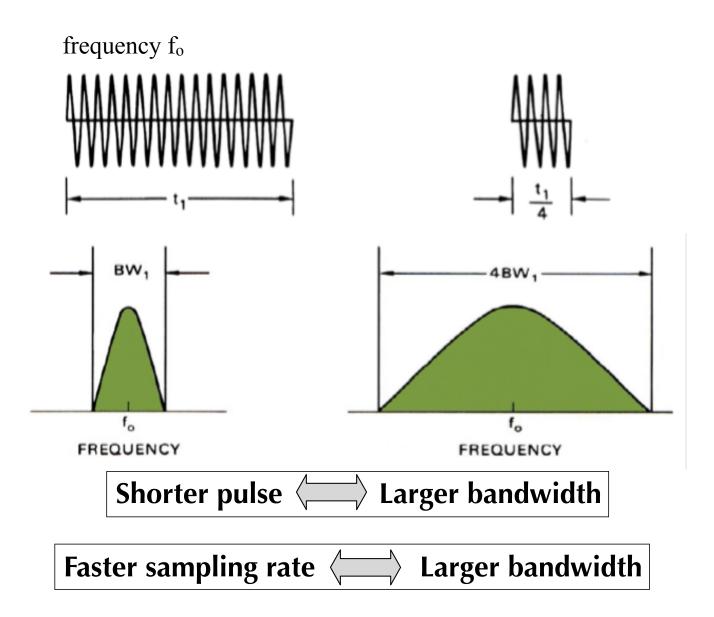
"null to null" bandwidth 
$$B_{nn} = \frac{2}{\tau}$$



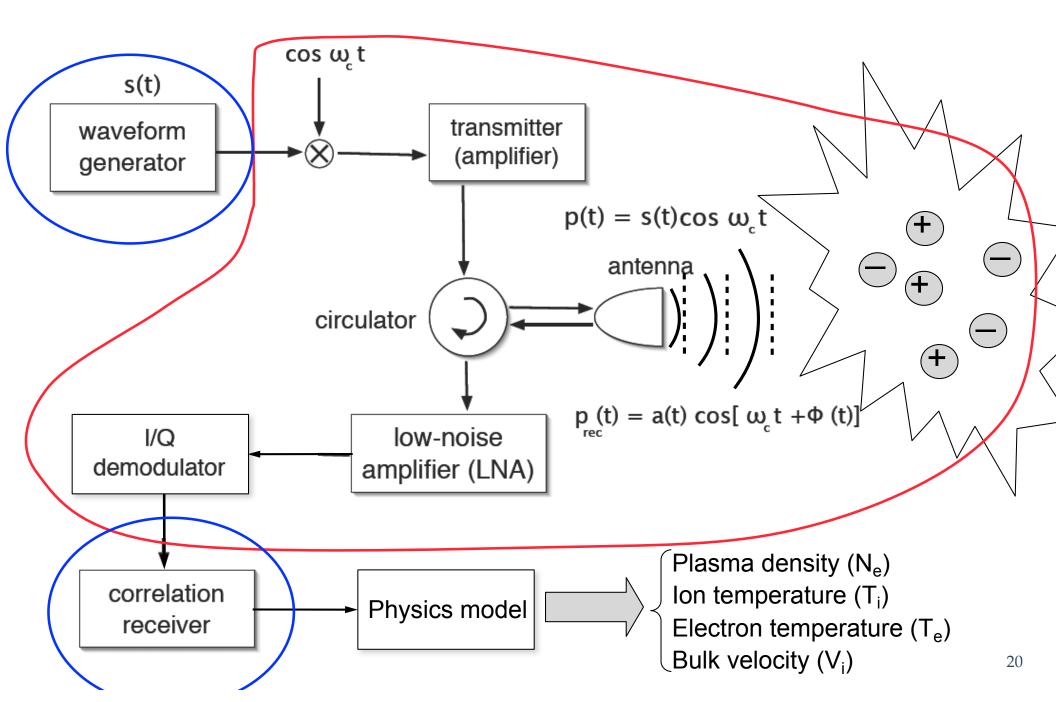


Unless otherwise specified, assume bandwidth refers to 3 dB bandwidth

#### Pulse-Bandwidth Connection



## **Components of a Pulsed Doppler Radar**



#### The deciBel (dB)

The relative value of two quantities expressed on a logarithmic scale

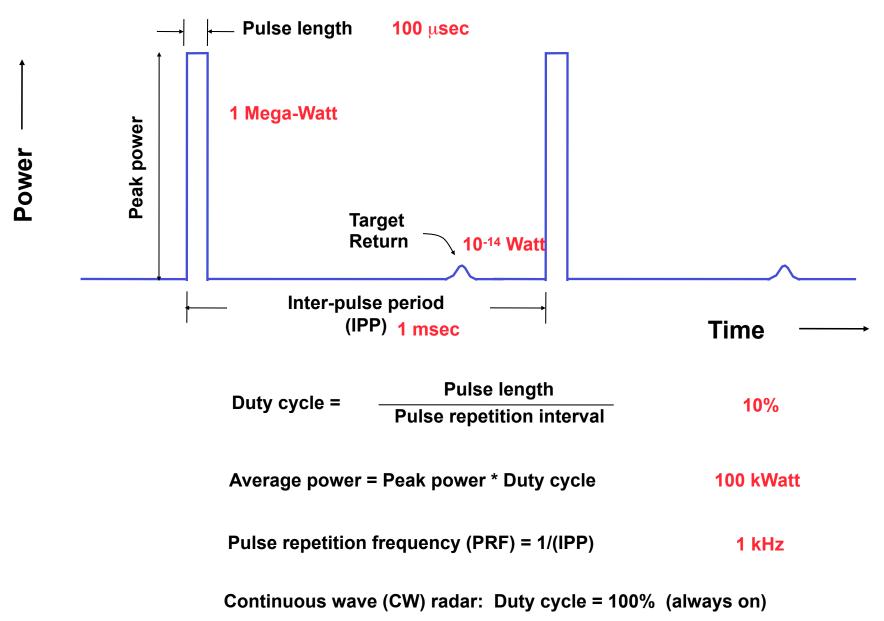
SNR = 10 log<sub>10</sub> 
$$\frac{P_1}{P_2}$$
 = 20 log<sub>10</sub>  $\frac{V_1}{V_2}$  (Power  $\propto$  Voltage<sup>2</sup>)

	Scientific	
Factor of:	<u>Notation</u>	<u>dB</u>
0.1	<b>1</b> 0 <sup>-1</sup>	-10
0.5	<b>10</b> <sup>0.3</sup>	-3
1	100	0
2	<b>10</b> <sup>0.3</sup>	3
10	<b>10</b> <sup>1</sup>	10
100	10 <sup>2</sup>	20
1000	10 <sup>3</sup>	30
1,000,000	106	60

Other forms used in radar:

- dBW dB relative to I WattdBm dB relative to I mWdBsm dB relative to I m<sup>2</sup> of
  - radar cross section
- dBi dB relative to isotropic radiation

**Pulsed Radar** 



## **Doppler Frequency Shift**

Transmitted signal:

After return from target: 
$$\cos\left(2\pi f_o c\right)$$

 $\cos(2\pi f t)$ 

To measure frequency, we need to observe signal for at least one cycle. So we will need a model of how *R* changes with time. Assume constant velocity:

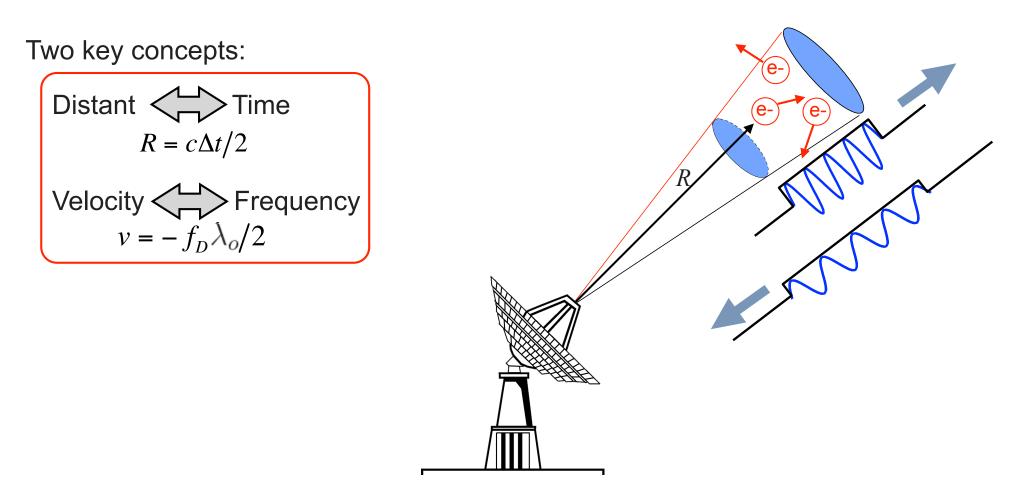
$$R = R_o + vt$$

Substituting:

$$f_D = -2f_o\left(\frac{v}{c}\right) = -2\left(\frac{v}{\lambda_o}\right) \propto \frac{\text{line-of-sight velocity}}{\text{radar wavelength}}$$

By convention, positive Doppler shift *C* Target and radar are "closing"

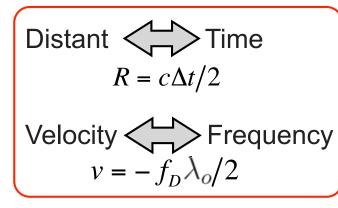
## Two key concepts



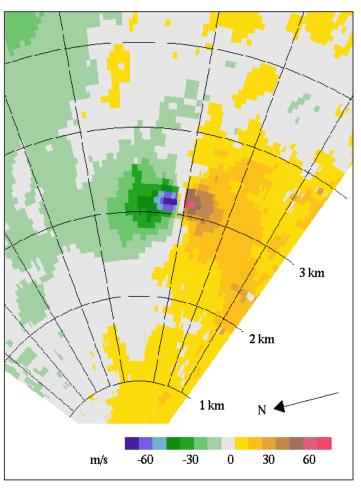
A Doppler radar measures backscattered power as a function range and velocity. Velocity is manifested as a Doppler frequency shift in the received signal.

### Two key concepts

#### Two key concepts:

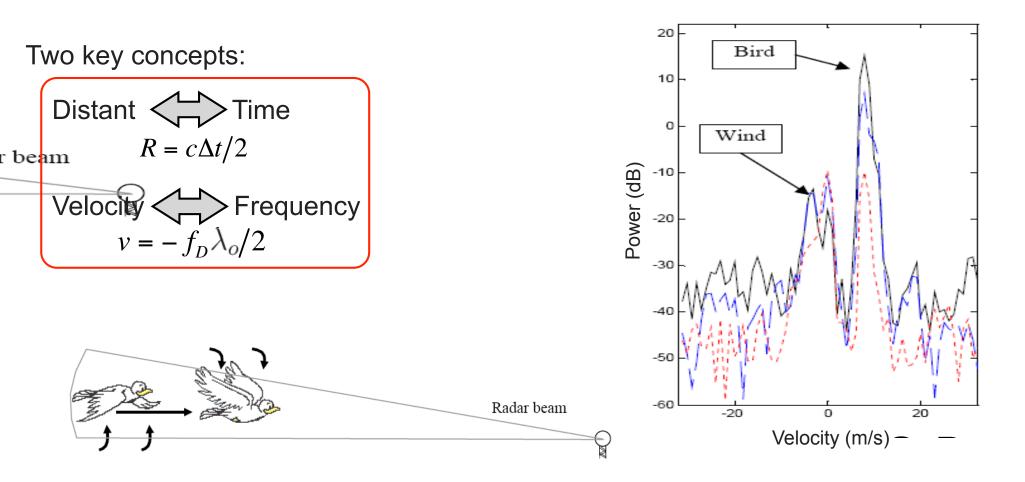






A Doppler radar measures backscattered power as a function range and velocity. Velocity is manifested as a Doppler frequency shift in the received signal.

#### Concept of a "Doppler Spectrum"



If there is a distribution of targets moving at different velocities (e.g., electrons in the ionosphere) then there is no single Doppler shift but, rather, a Doppler spectrum. What is the Doppler spectrum of the ionosphere at UHF ( $\lambda_o$  of 10 to 30 cm)?

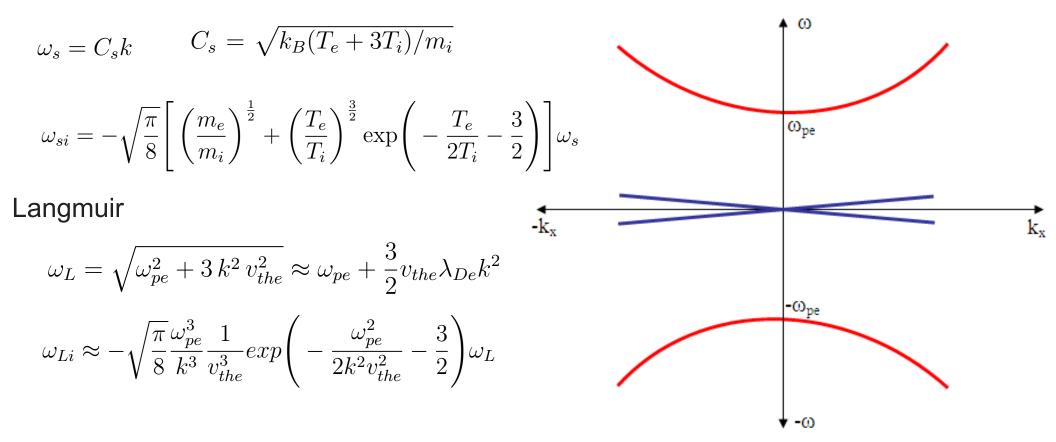
#### Longitudinal Modes in a Thermal Plasma

Simple dispersion relation

$$f = c/\lambda$$
  
 $\omega = 2\pi f$   
 $k = 2\pi/\lambda$   
 $\omega = ck$   $k =$ wave number =

"spatial frequency"

Ion-acoustic

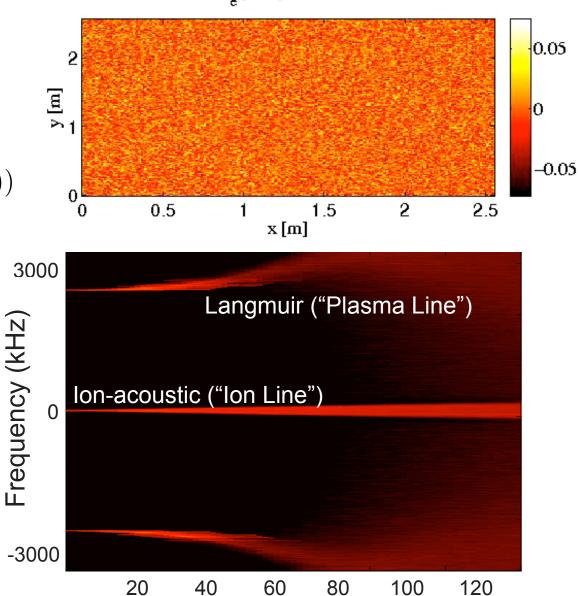


# Incoherent Scatter Radar $\Delta n_e^{[m^-3] at t = 0 ms}$

Particle-in-cell (PIC):

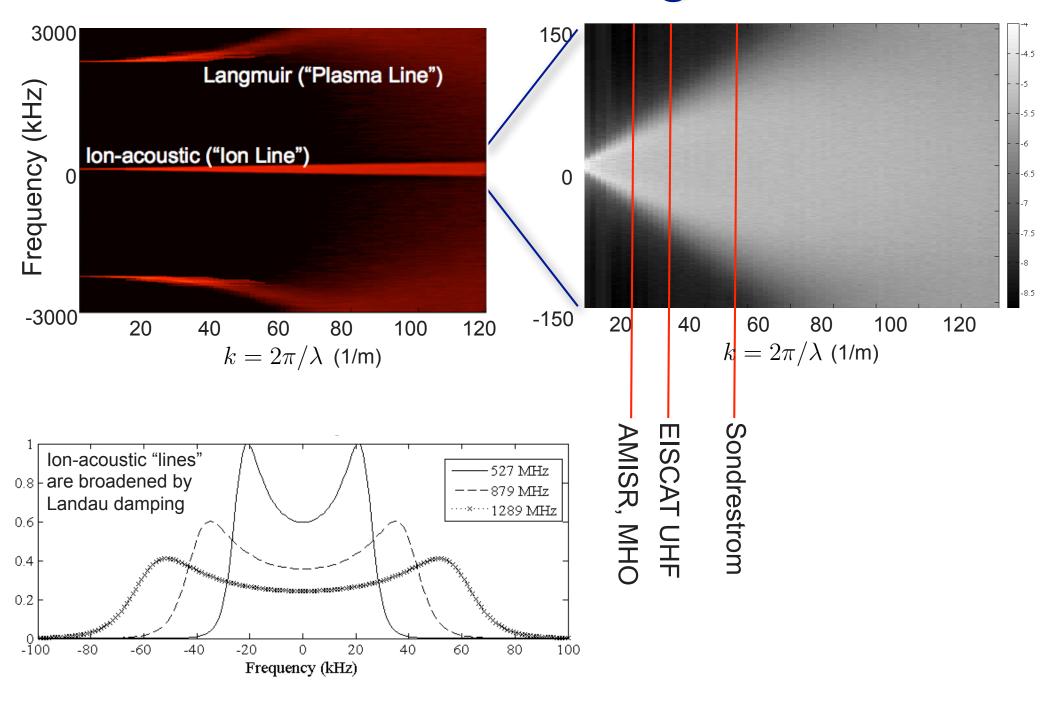
$$\frac{d \mathbf{v}_i}{d t} = \frac{q_i}{m_i} (\mathbf{E}(\mathbf{x}_i) + \mathbf{v}_i \times \mathbf{B}(\mathbf{x}_i))$$
$$\nabla \times \mathbf{E} = \frac{-\partial \mathbf{B}}{\partial t}$$
$$\nabla \times \mathbf{B} = \mu_0 \mathbf{J} + \frac{1}{c^2} \frac{\partial \mathbf{E}}{\partial t}$$
$$\nabla \cdot \mathbf{E} = \frac{\rho}{\epsilon_0}$$
$$\nabla \cdot \mathbf{B} = 0$$

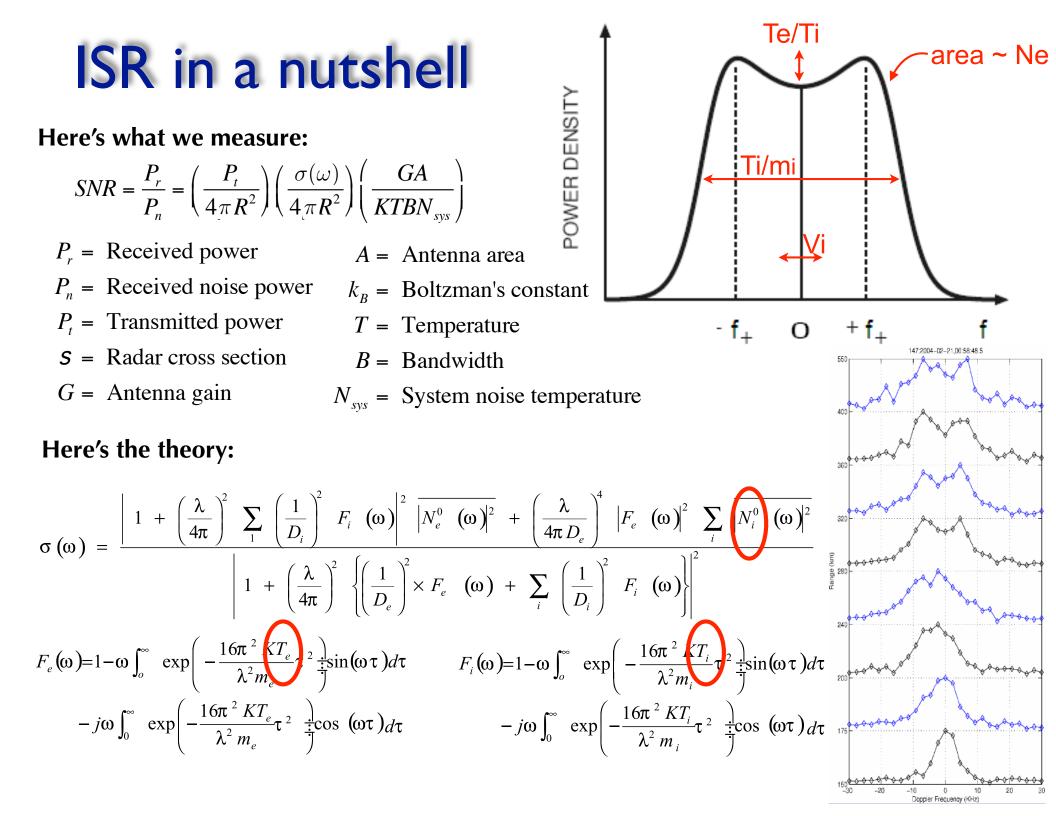
Simple rules yield complex behavior



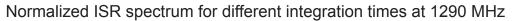
 $k = 2\pi/\lambda$  (1/m)

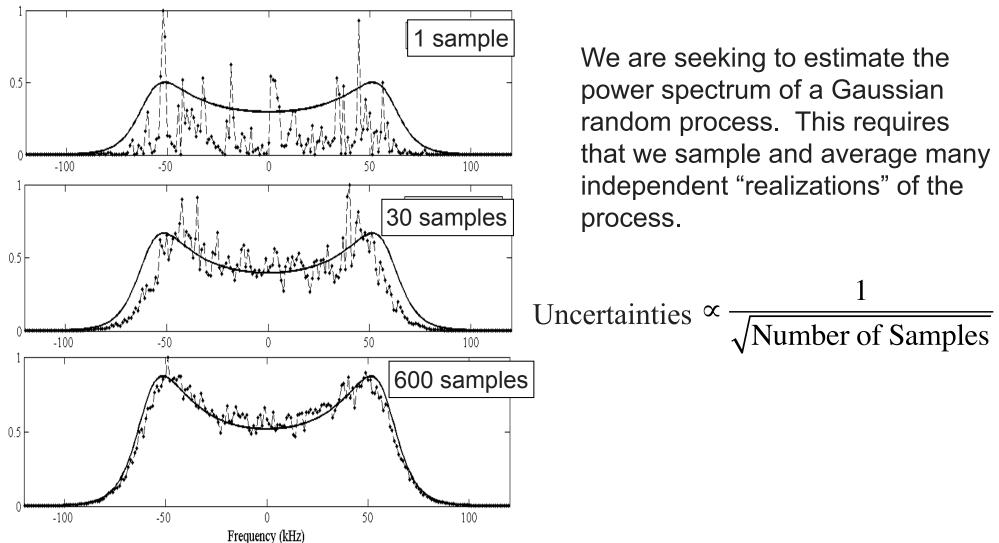
#### ISR Measures a Cut Through This Surface



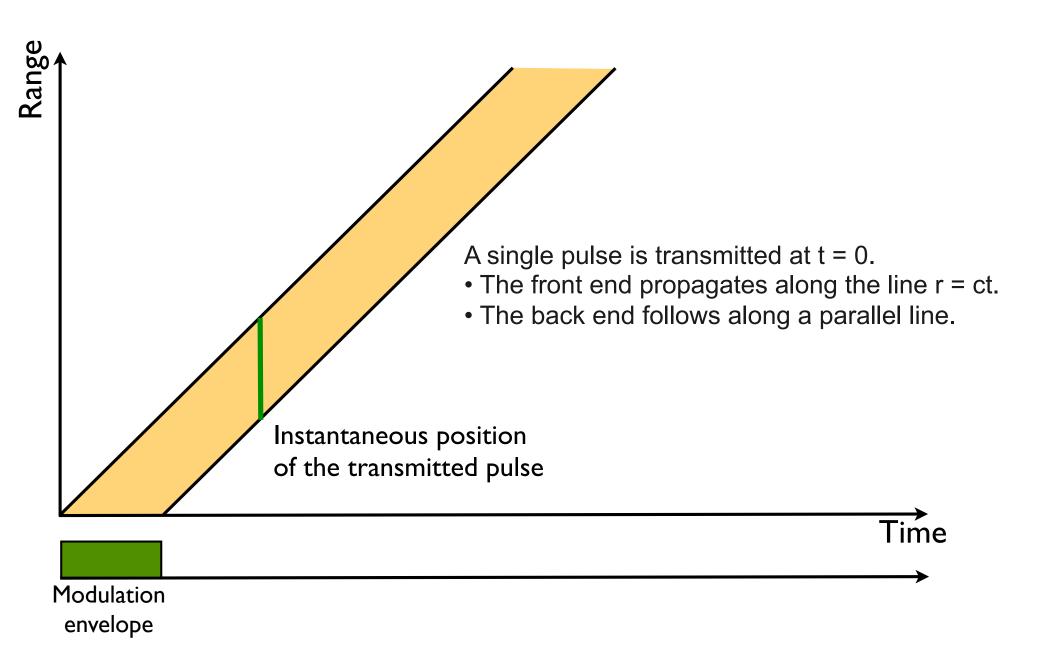


### Incoherent Averaging

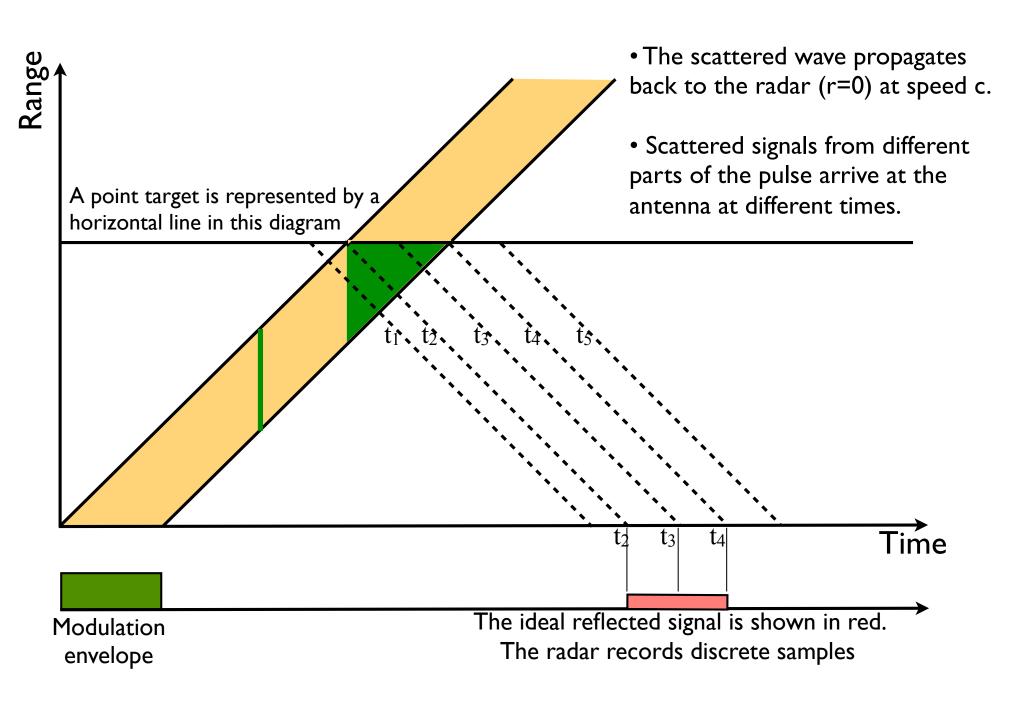






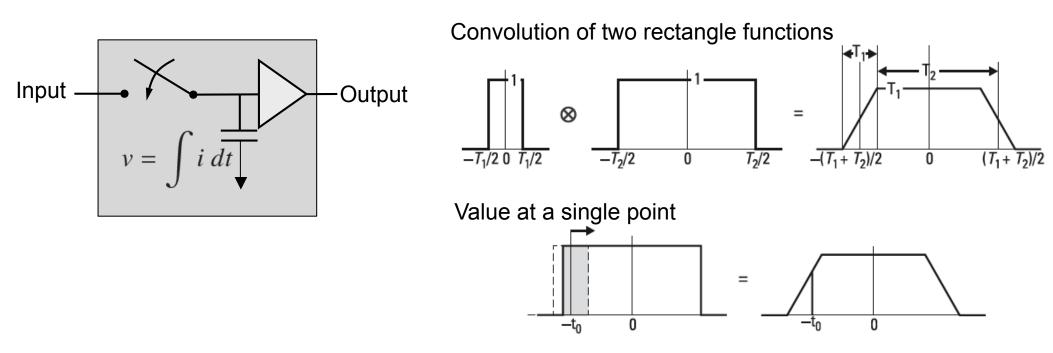


#### Range-time analysis



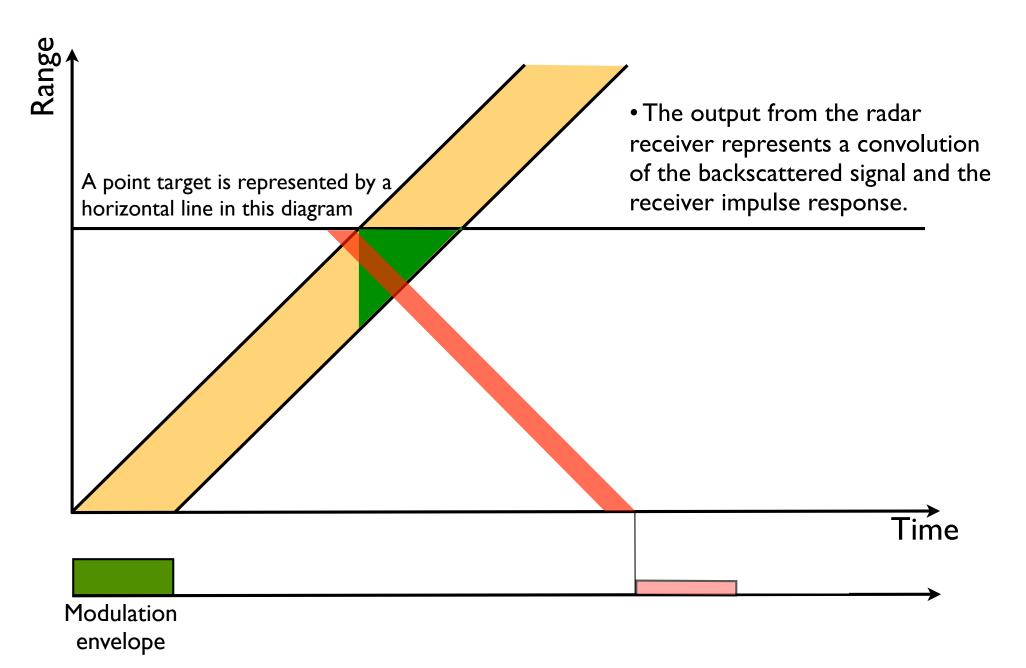
#### Sampling a signal require time-integration

We send a pulse of duration  $\tau$ . How should we listen for the echo?

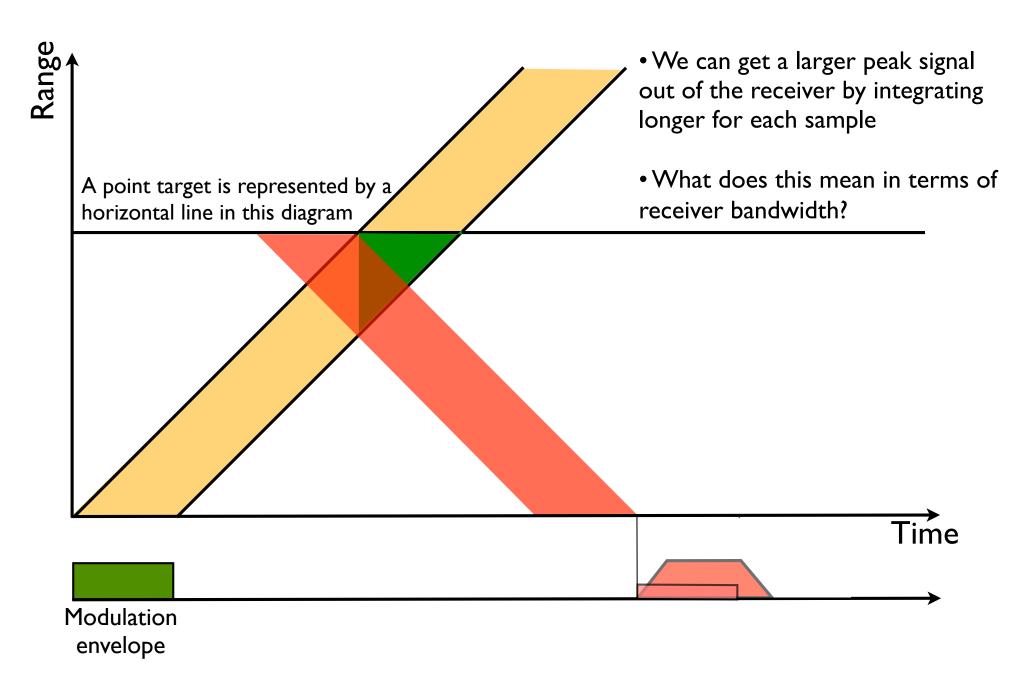


- To determine range to our target, we only need to find the rising edge of the pulse we sent. So make  $T_1 << T_2$ .
- But that means large receiver bandwidth, lots of noise power, poor SNR.
- Could make  $T_1 >> T_2$ , then we're integrating noise in time domain.
- So how long should we close the switch?

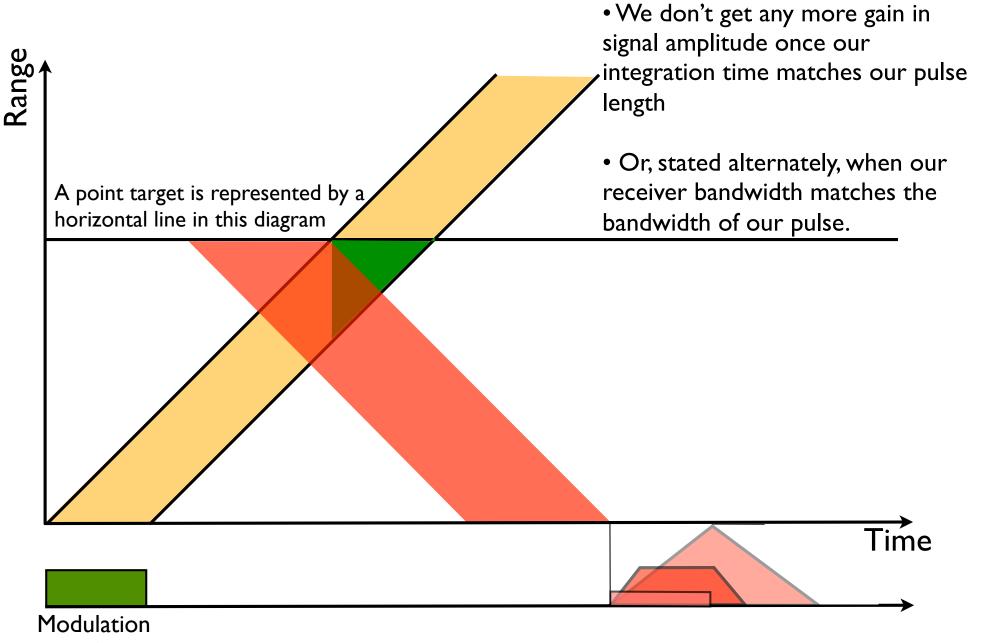
#### Sampling the received signal



## Computing the ACF

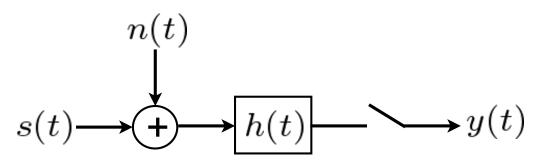


## Computing the ACF



envelope

#### Matched Filter



$$\begin{aligned} y(t) &= \int \left[ s(\tau) + n(\tau) \right] h(t - \tau) d\tau \\ &= \int H(f) S(f) e^{j2\pi fT} df + \int H(f) N(f) e^{j2\pi fT} df \end{aligned}$$

How should we choose  $h(t) \ll H(f)$  such that the output SNR is maximal?

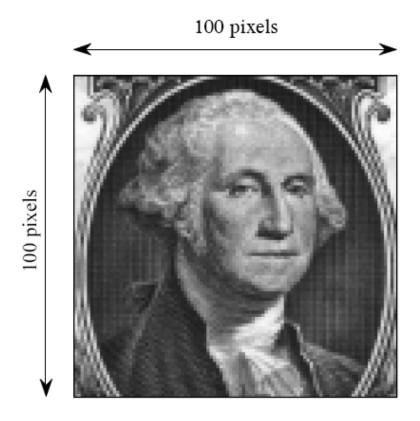
$$SNR = \frac{\left|\int H(f)S(f)e^{j2\pi fT}df\right|^2}{E\left\{\left|\int H(f)N(f)df\right|^2\right\}}$$

Assuming white Gaussian noise, it can be shown that max SNR is when

$$H(f) = S^*(f) \iff h(t) = s^*(-t)$$

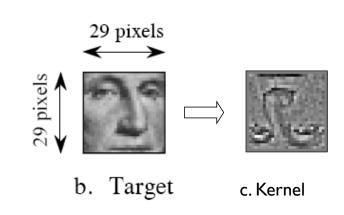
## Pulse compression and matched filtering

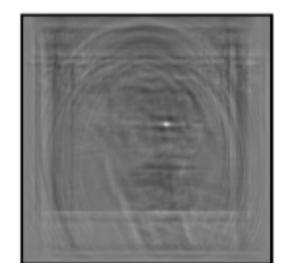
"If you know what you're looking for, it's easier to find."



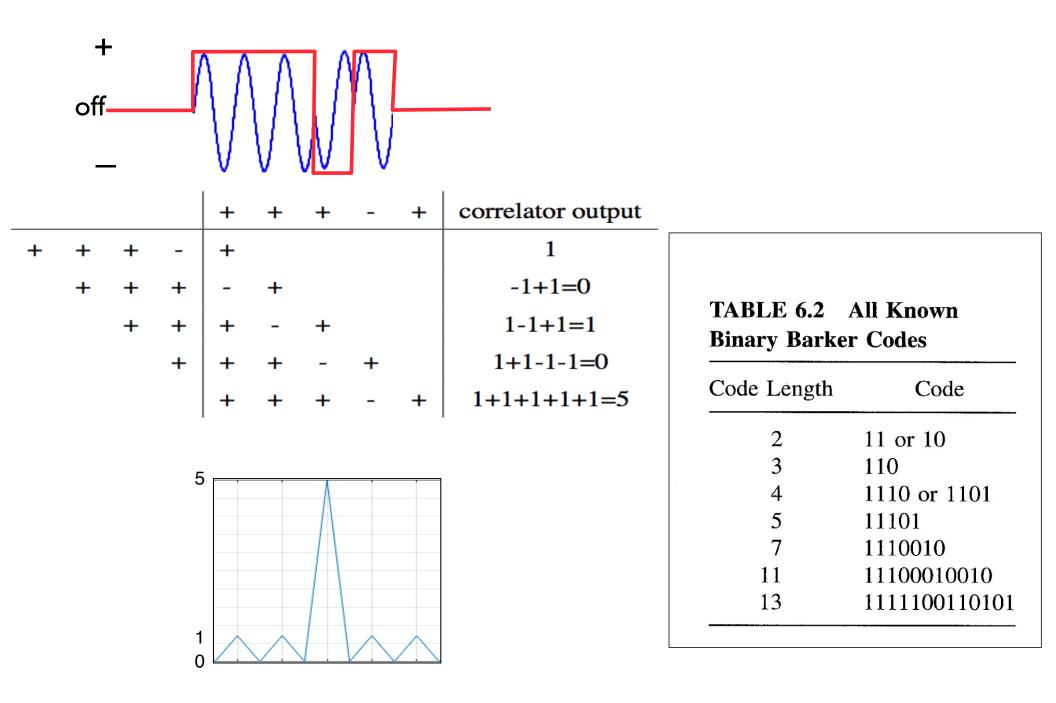
a. Image to be searched

Problem: Find the precise location of the target in the image. Solution: Correlation

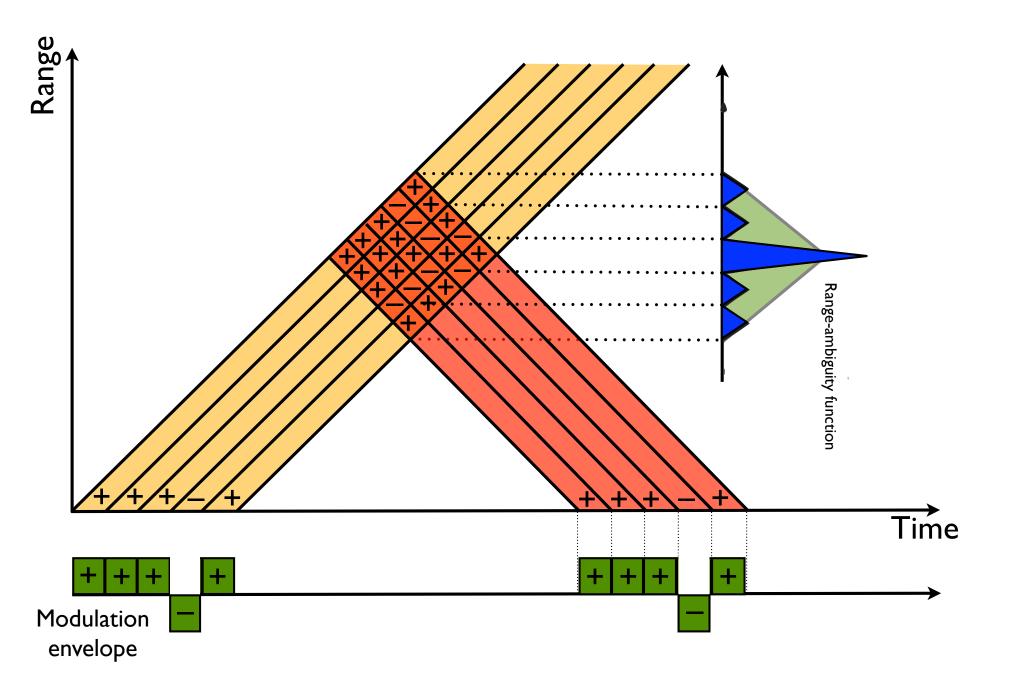




#### Barker codes



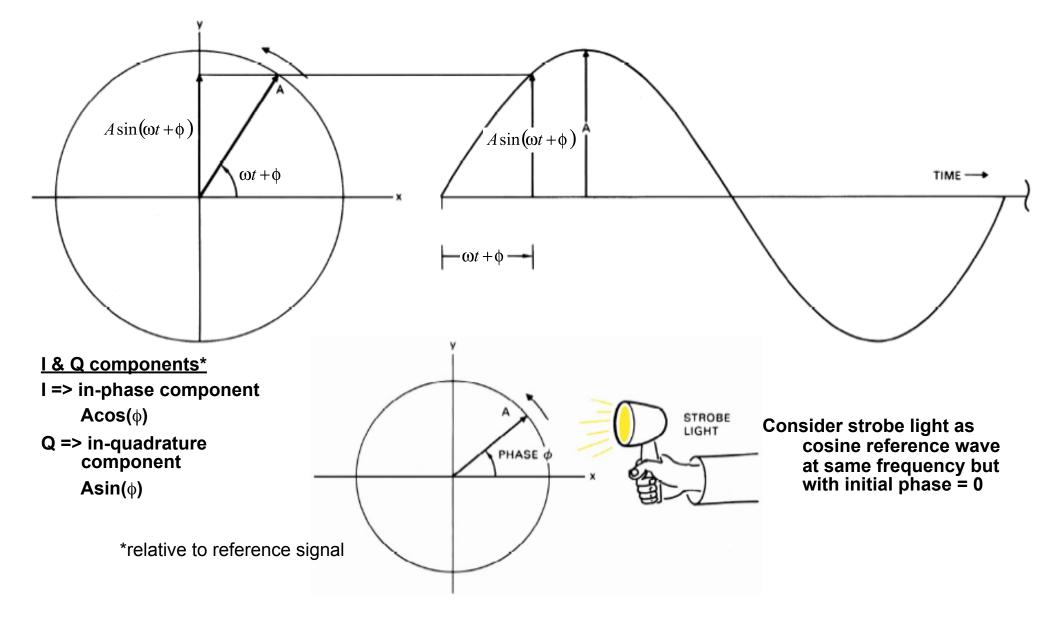
## Volume target (e.g., the ionosphere)



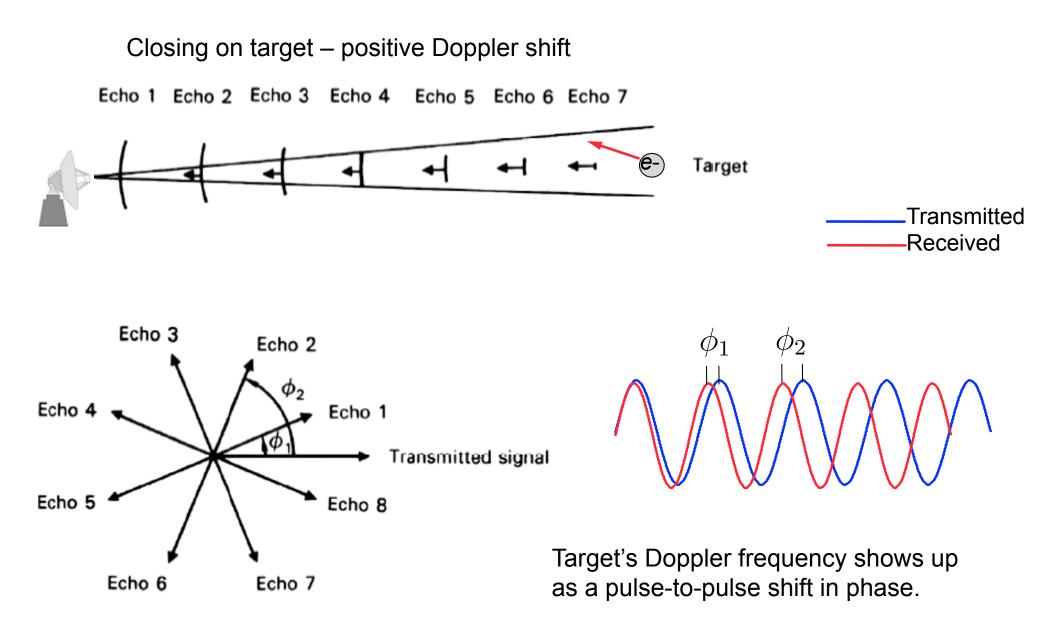


## **Doppler Detection: Intuitive Approach**

#### Phasor diagram is a graphical representation of a sine wave



## **Doppler Detection: Intuitive Approach**



## I and Q Demodulation

We transmit an amplitude-modulated cosine of frequency  $\omega_c$ . The received signal will have some time varying amplitue a(t) and time-varying phase  $\phi(t)$  applied to this,

$$p_{rec}(t) = a(t)\cos(\phi(t) + \omega_c t)$$

We compute the analytic signal through Euler's identity by "mixing" the signal with cosine and sine

in-phase (I) channel:

$$p_{rec}(t)\cos(\omega_c t) = a(t)\cos(\phi(t) + \omega_c t)\cos(\omega_c t)$$
$$= a(t)\frac{1}{2}\left(\underbrace{\cos(\phi(t) + 2\omega_c t)}_{\text{filter out}} + \cos\phi(t)\right)$$

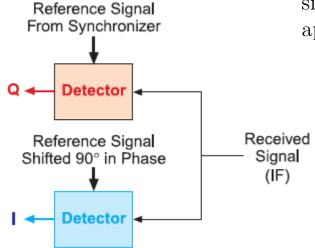
quadrature (Q) channel ( $90^{\circ}$  out of phase):

$$p_{rec}(t)\sin(\omega_c t) = a(t)\cos(\phi(t) + \omega_c t)\sin(\omega_c t)$$
$$= a(t)\frac{1}{2}\left(\underbrace{-\sin(\phi(t) + 2\omega_c t)}_{\text{filter out}} + \sin\phi(t)\right)$$

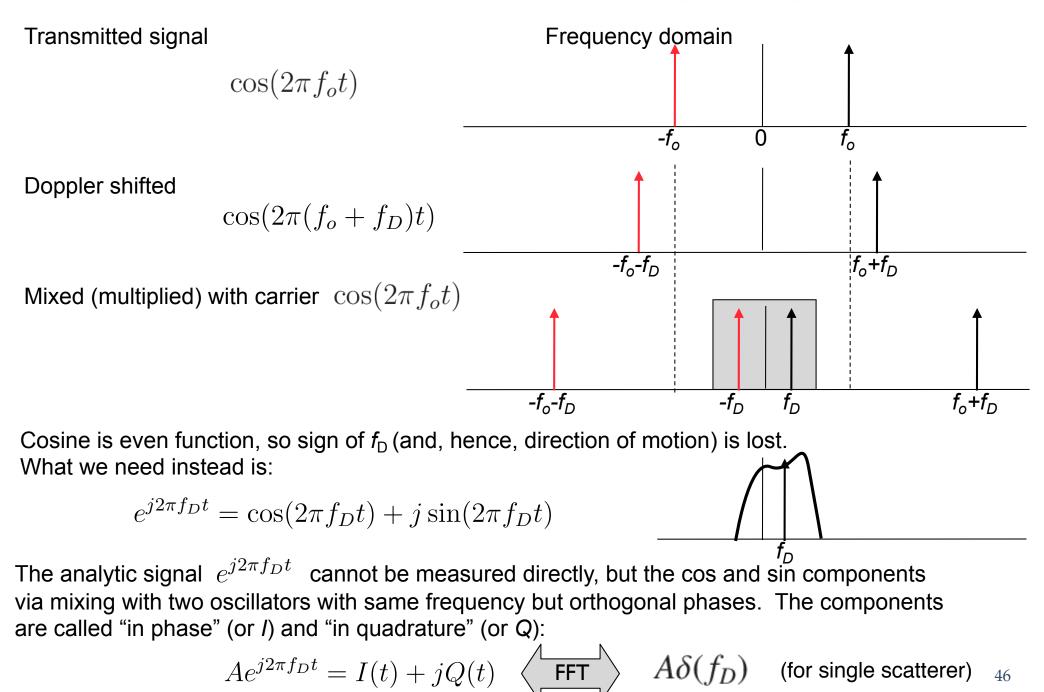
I and Q channels together give the analytic signal

$$s_{rec}(t) = a(t)e^{i\phi(t)}$$

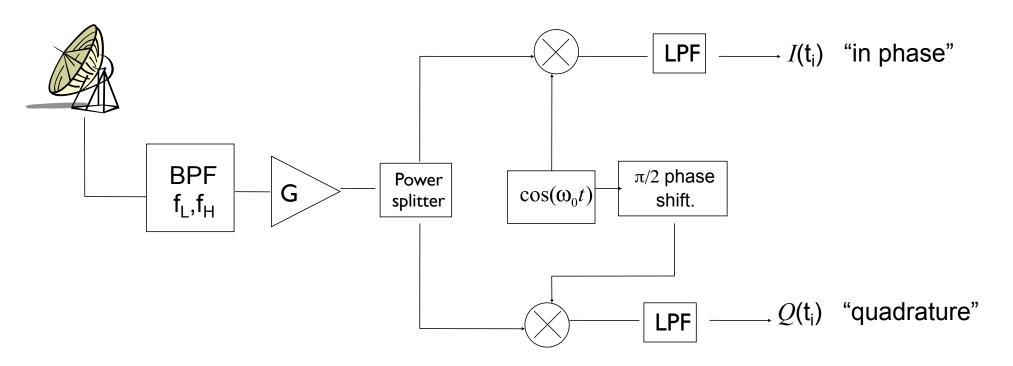
The fundamental output of a pulsed Doppler radar is a time series of complex numbers.



### I and Q Demodulation in Frequency Domain



### ISR Receiver: I and Q plus correlation



We have time series of V(t) = I(t) + jQ(t), how do I compute the Doppler spectrum?

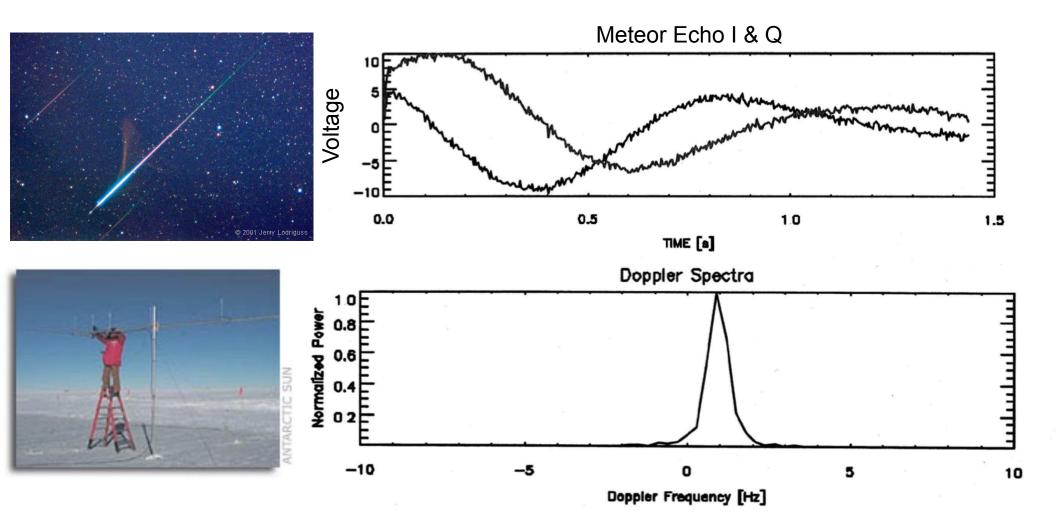
Estimate the autocorrelation function (ACF) by computing products of complex voltages ("lag products")

 $R_{vv}(t) = \frac{\left\langle V(t)V^*(t+t)\right\rangle}{S}$ FFT

Power spectrum is Fourier Transform of the ACF

## Example: Doppler Shift of a Meteor Trail

- Collect N samples of I(t<sub>k</sub>) and Q(t<sub>k</sub>) from a target
- Compute the complex FFT of I(t<sub>k</sub>)+jQ(t<sub>k</sub>), and find the maximum in the frequency domain
- Or compute "phase slope" in time domain.

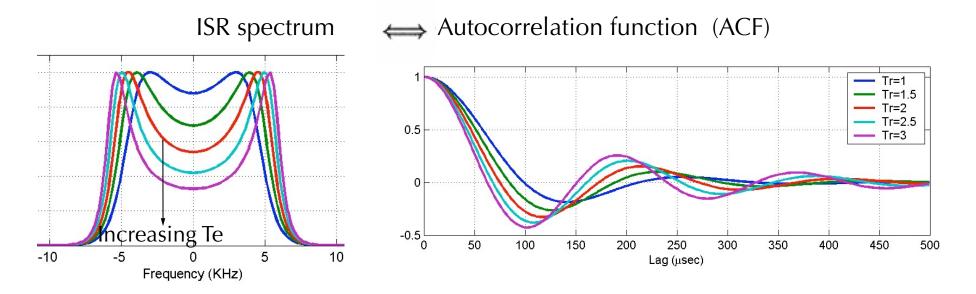


## Does this strategy work for ISR?

Typical ion-acoustic velocity: 3 km/s Doppler shift at 450 MHz: 10kHz Correlation time: 1/10kHz = 0.1 ms Inter-pulse period (IPP) to reach 500 km: 2R/c = 3msRequired PRF to probe ionosphere (500km range): 300 Hz

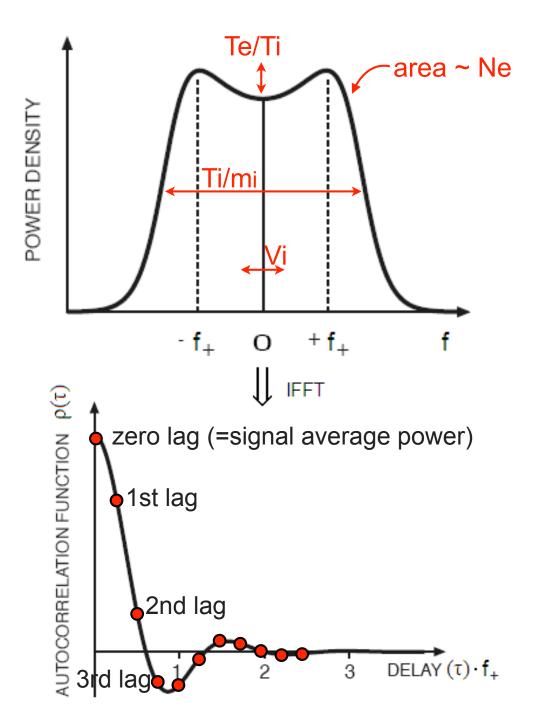
Plasma has completely decorrelated by the time we send the next pulse.

Alternately, the Doppler frequency shift imparted by the plasma is much higher than the maximum unambiguous Doppler defined by the pulserepetition frequency.





#### Autocorrelation function and power spectrum



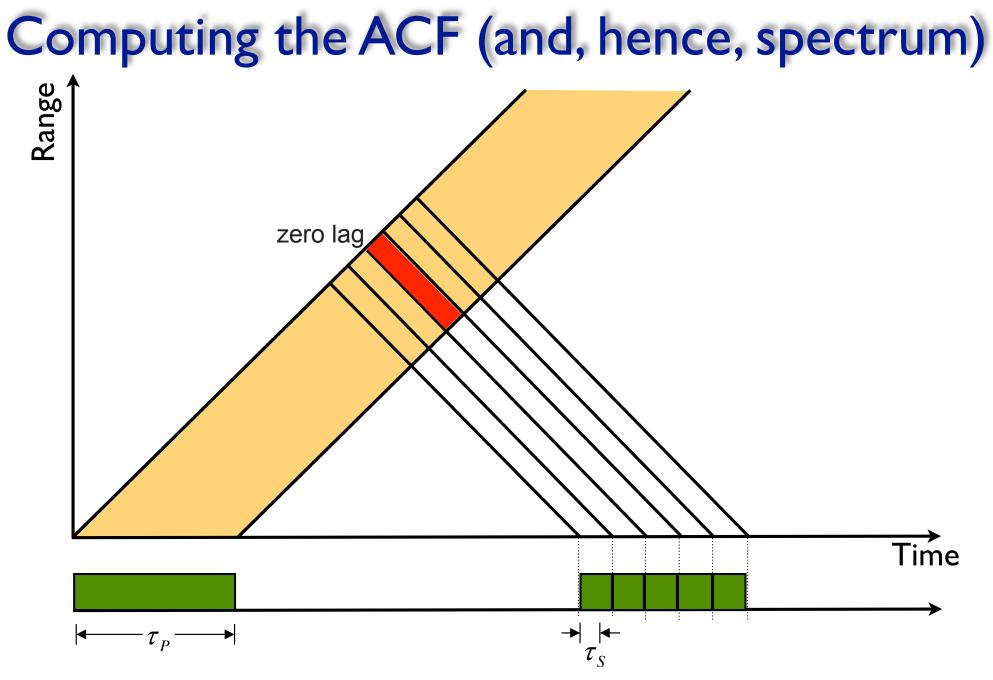
Ion temperature (Ti) to ion mass (mi) ratio from the width of the spectra

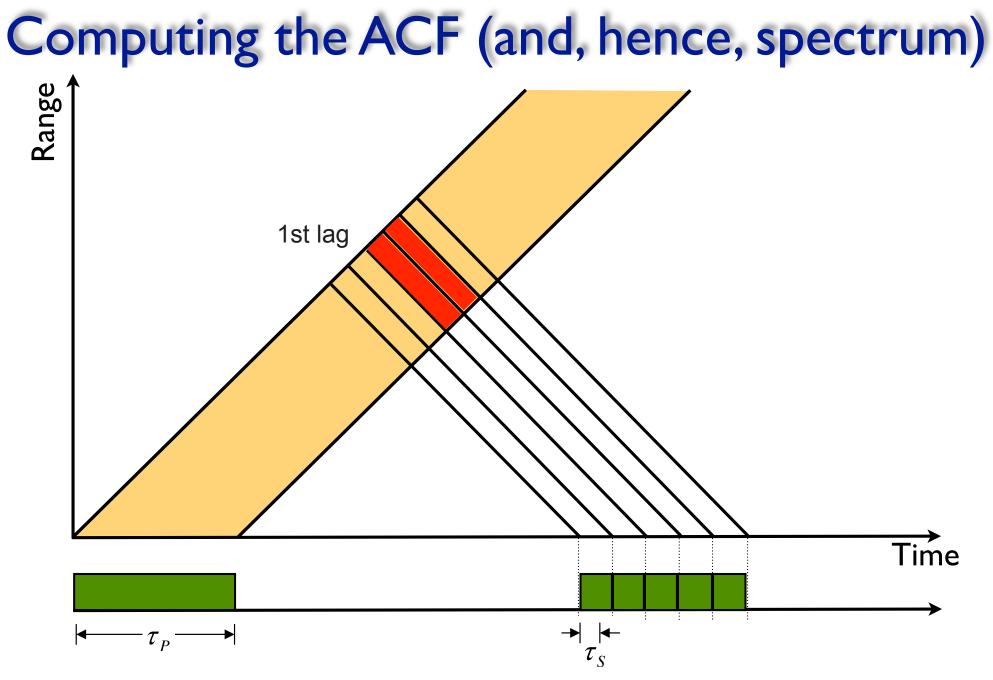
Electron to ion temperature ratio (Te/Ti) from "peak-to-valley" ratio

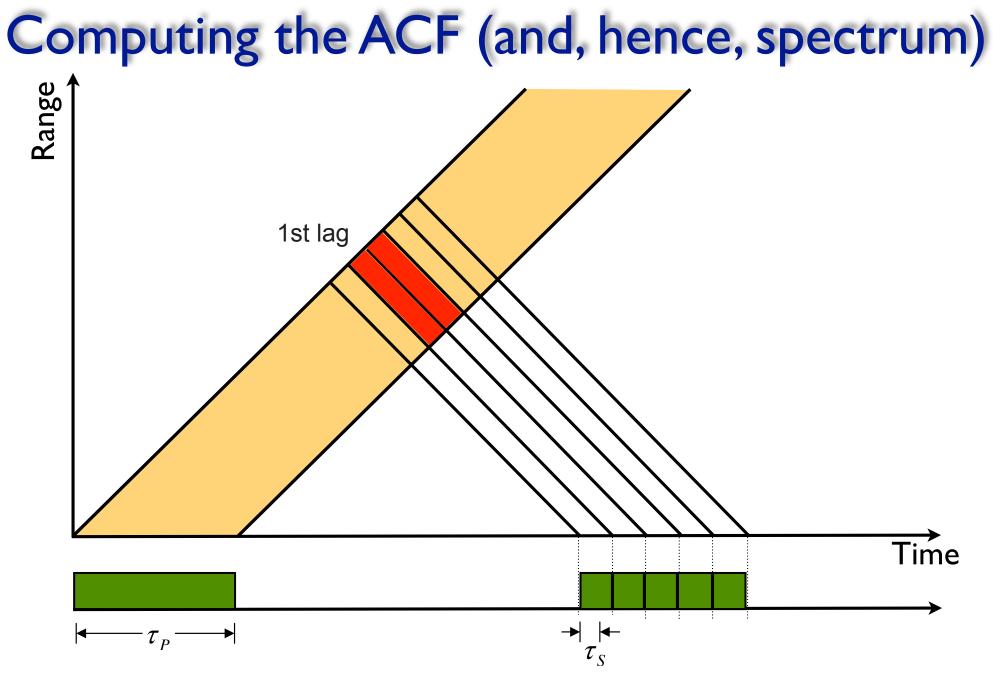
Electron (= ion) density from total area (corrected for temperatures)

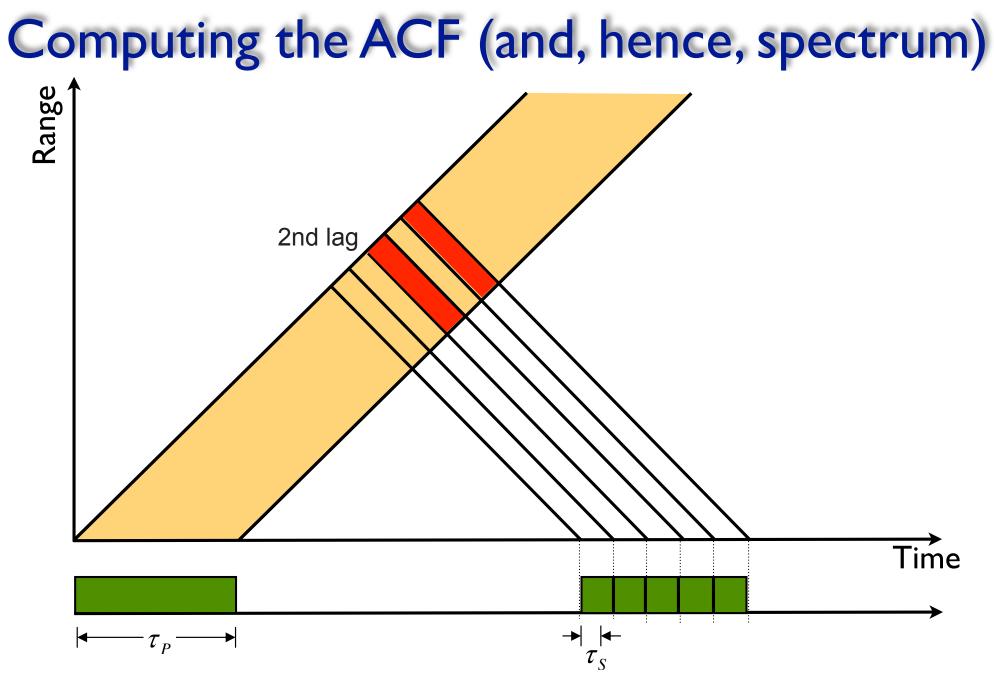
Line-of-sight ion velocity (Vi) from bulk Doppler shift

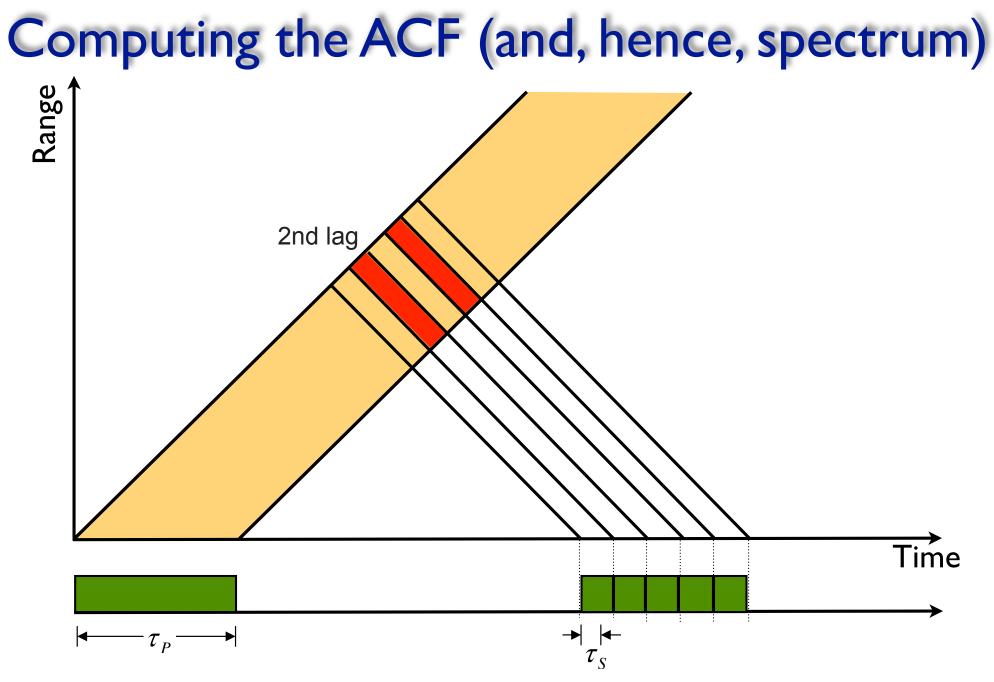
Our goal is to compute lags

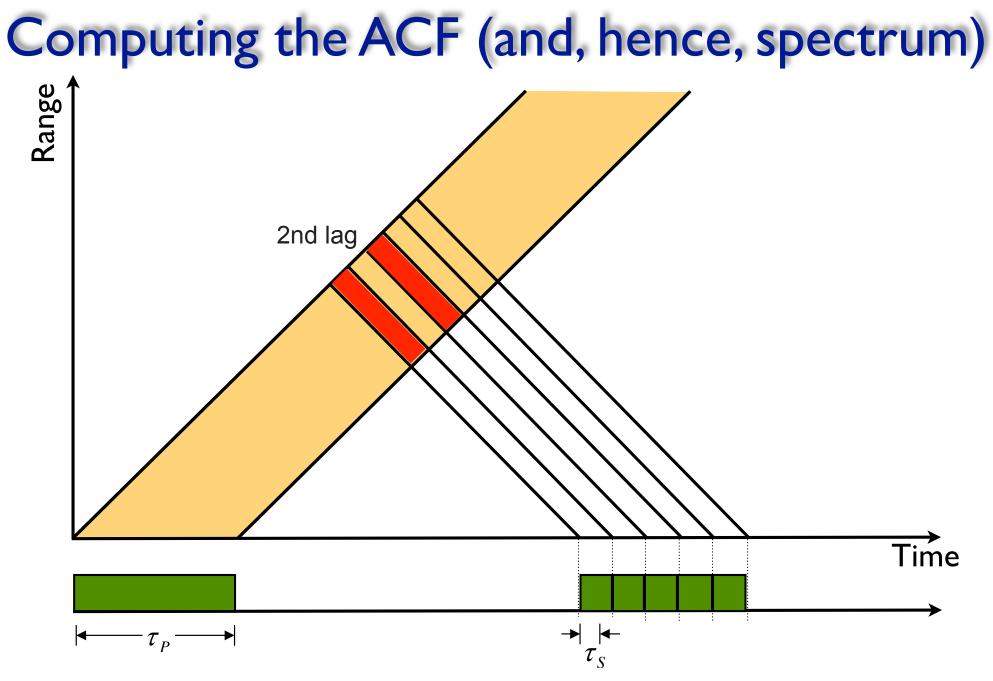


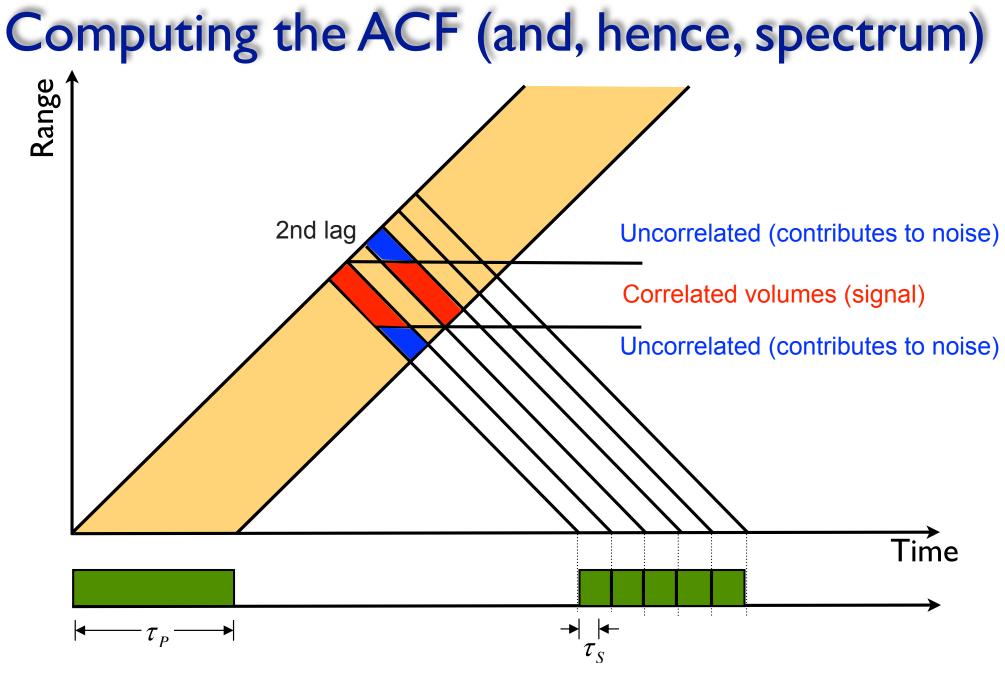




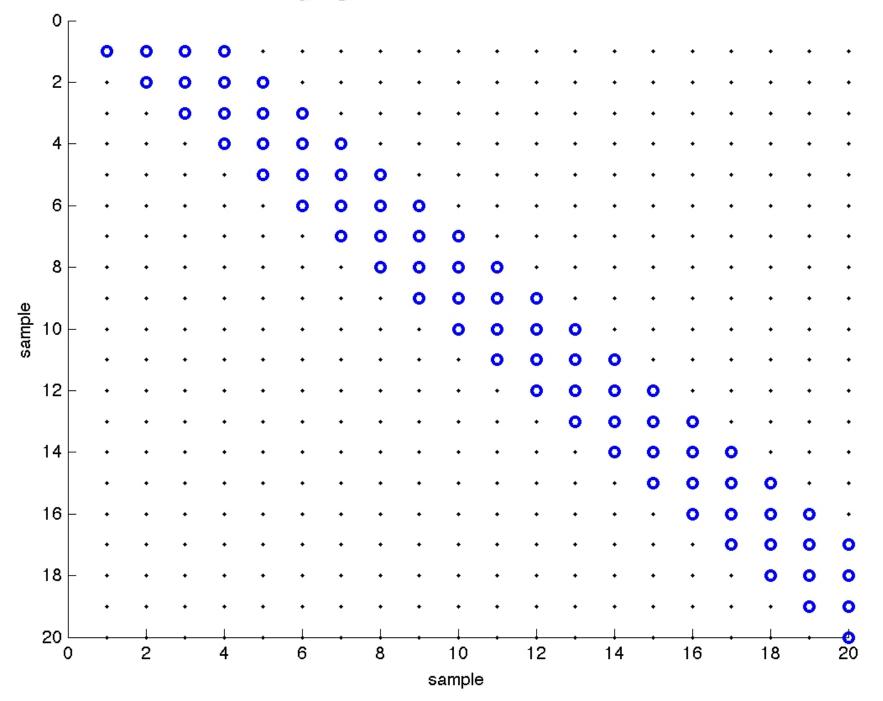






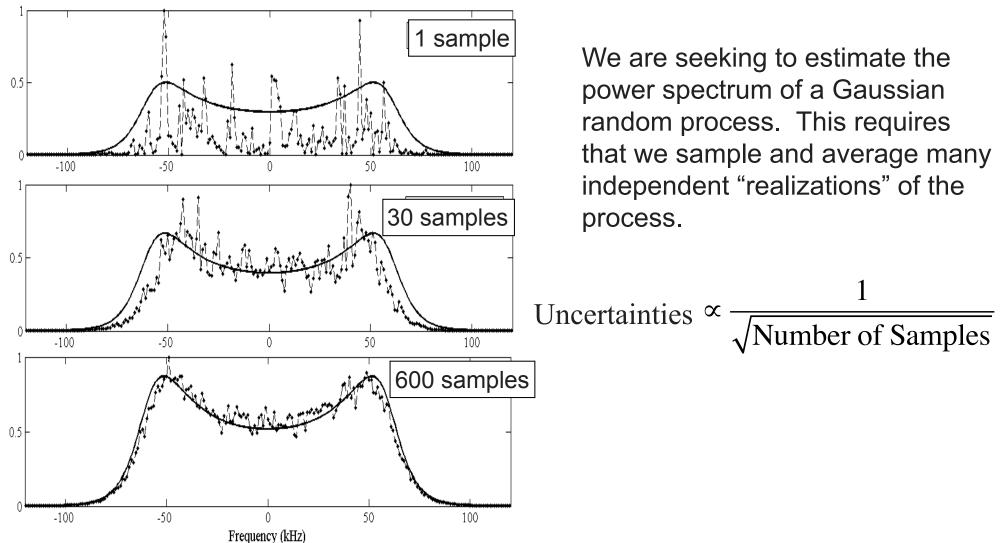


Lag-product matrix



## Incoherent Averaging





# **Dish Versus Phased-array**

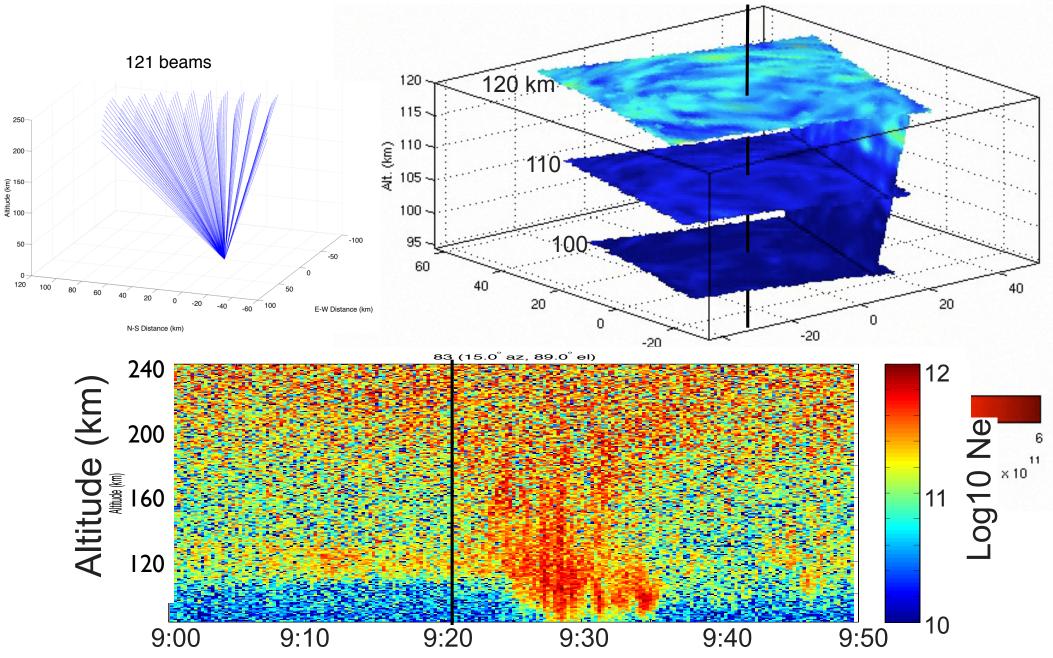


-FOV: Entire sky

- -Integration at each position before moving
- -Power concentrated at Klystron
- -Significant mechanical complexity

-FOV: +/- 15 degrees from boresight
-Integration over all positions simultaneously
-Power distributed
-No moving parts

### **Three-dimensional ionospheric imaging**



# Bibliography

ISR tutorial material:

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- Cheng, Field and Wave Electromagnetics
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- Mitra, Digital Signal Processing: A Computer-based Approach

For fun:

#### http://mathforum.org/mbower/johnandbetty/frame.htm