

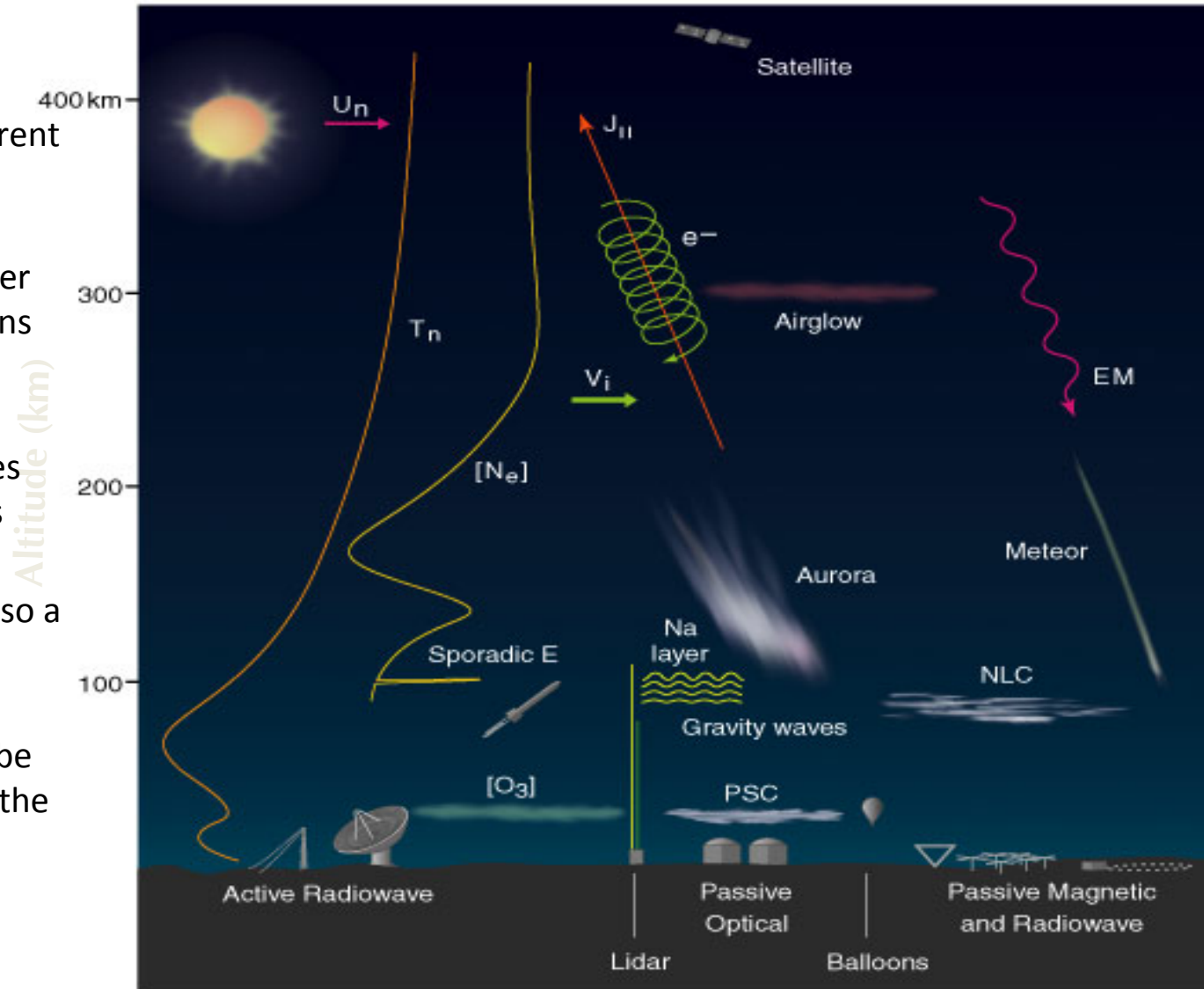


# Data Analysis and Fitting 1

Craig Heinselman

# What we want to measure: plasma and neutral state

- Region probed by Incoherent Scatter Radars (ISRs)  
~80-1000+ km
- Ionized region of the upper atmosphere (free electrons and ions) - Quasineutral ionized gas (plasma)
- Incoming solar EUV causes atmospheric constituents ( $N_2$ ,  $O_2$ ,  $O$ ) to ionize
- Particle precipitation is also a major ionizing process at high latitudes
- Neutral atmosphere can be probed via influences on the plasma



# ISR-Measurable Parameters

## BASIC PARAMETERS

Ne, Te, Ti, Vi,  $v_{in}$ , ion composition

## ELECTRODYNAMIC PARAMETERS

E,  $\sigma_H$  and  $\Sigma_H$ ,  $\sigma_p$  and  $\Sigma_p$ ,  $J_{\perp}$  and  $J_{||}$

## NEUTRAL PARAMETERS

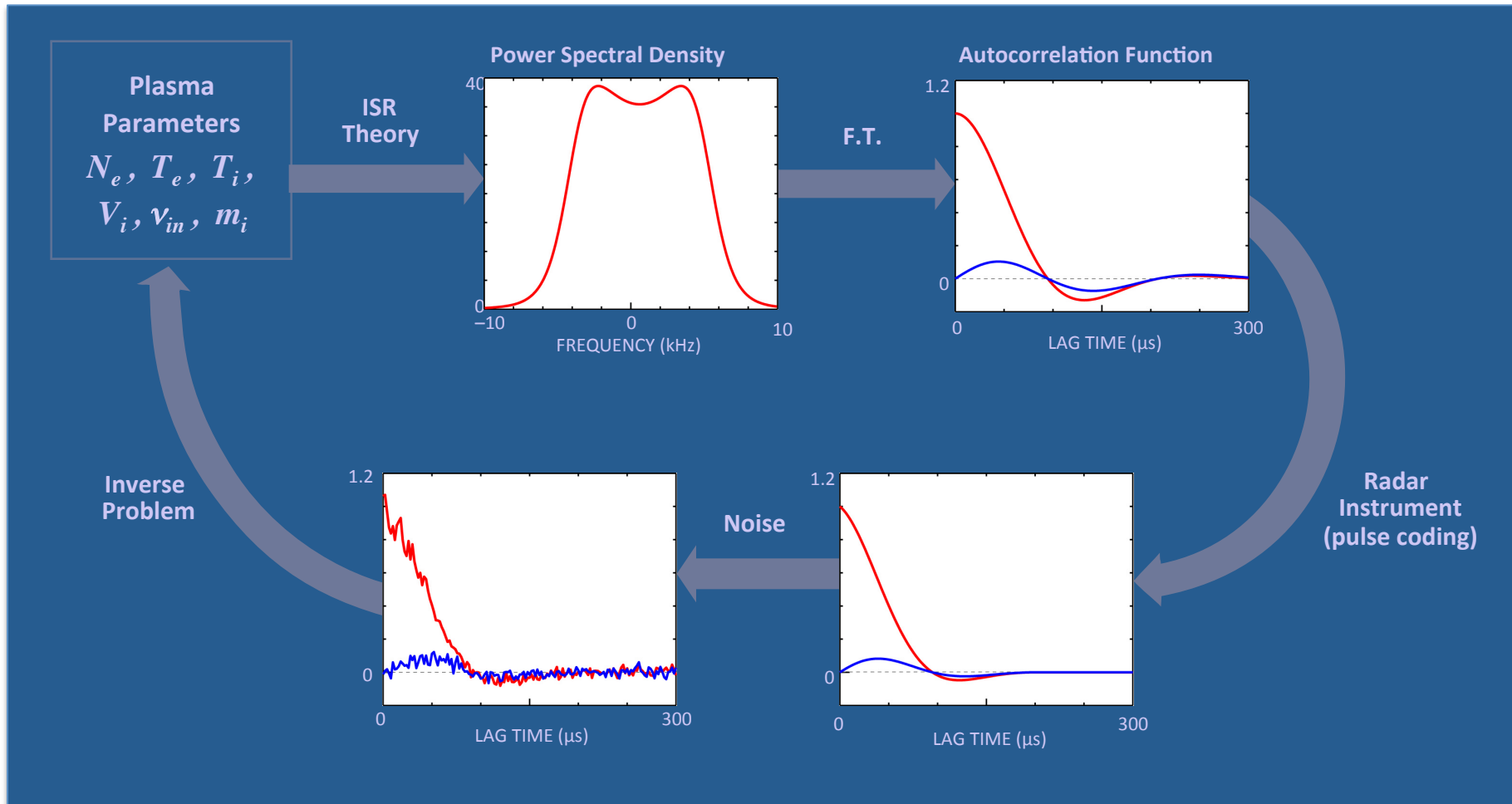
$U_{merid}$ , U,  $T_{inf}$

## ENERGY DEPOSITION

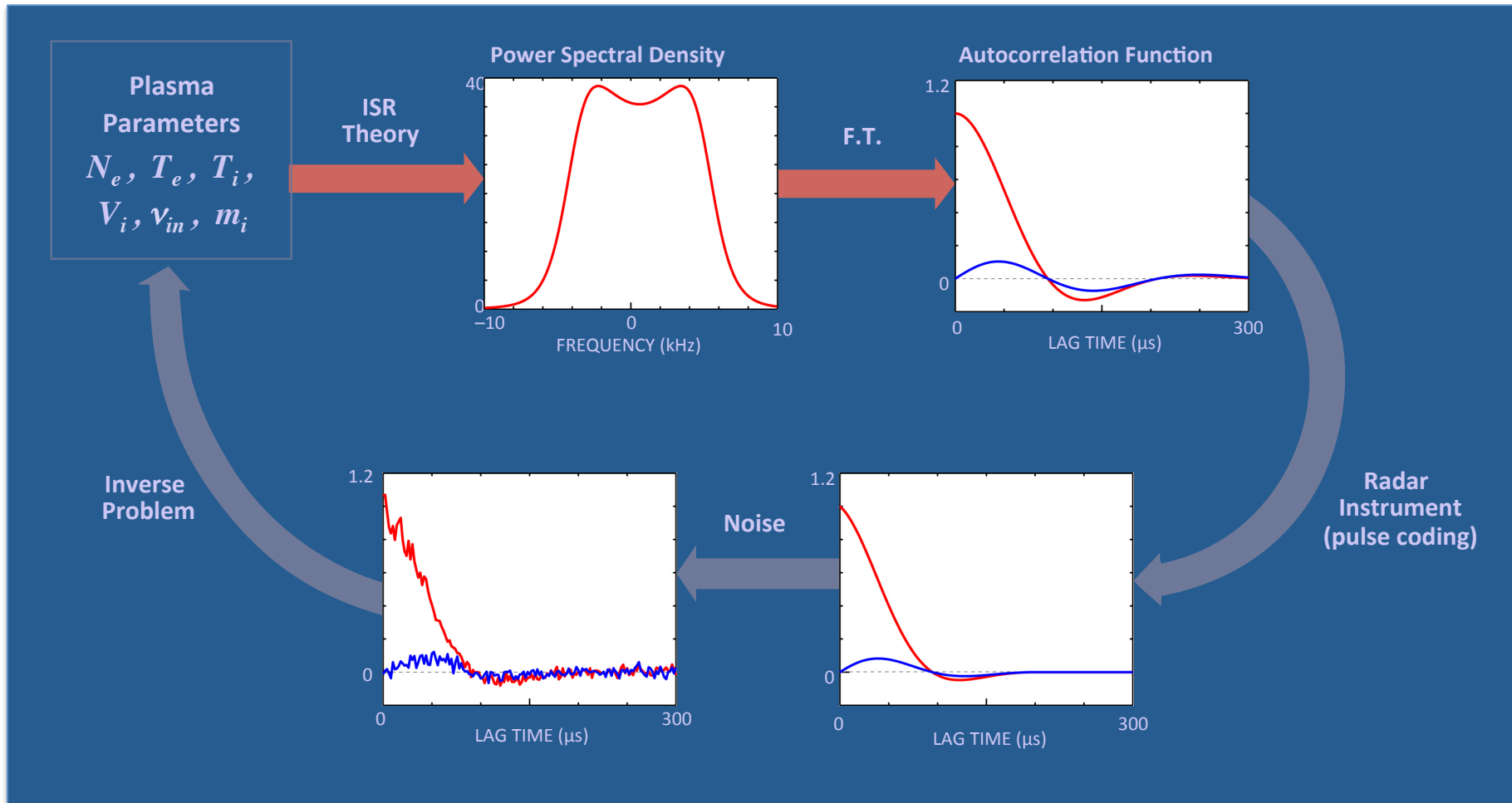
f(E)

# Incoherent Scatter Radar Data Fitting

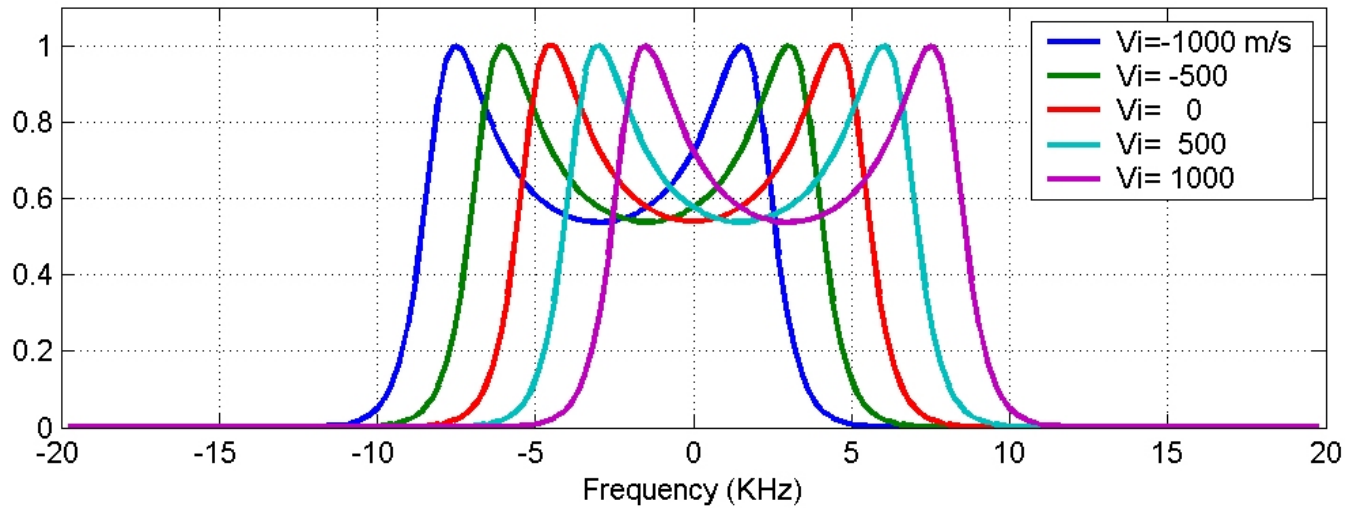
## Basic Parameters



# Incoherent Scatter Radar Data Fitting



# Ion Velocity



## Parameters

Freq: 449 MHz

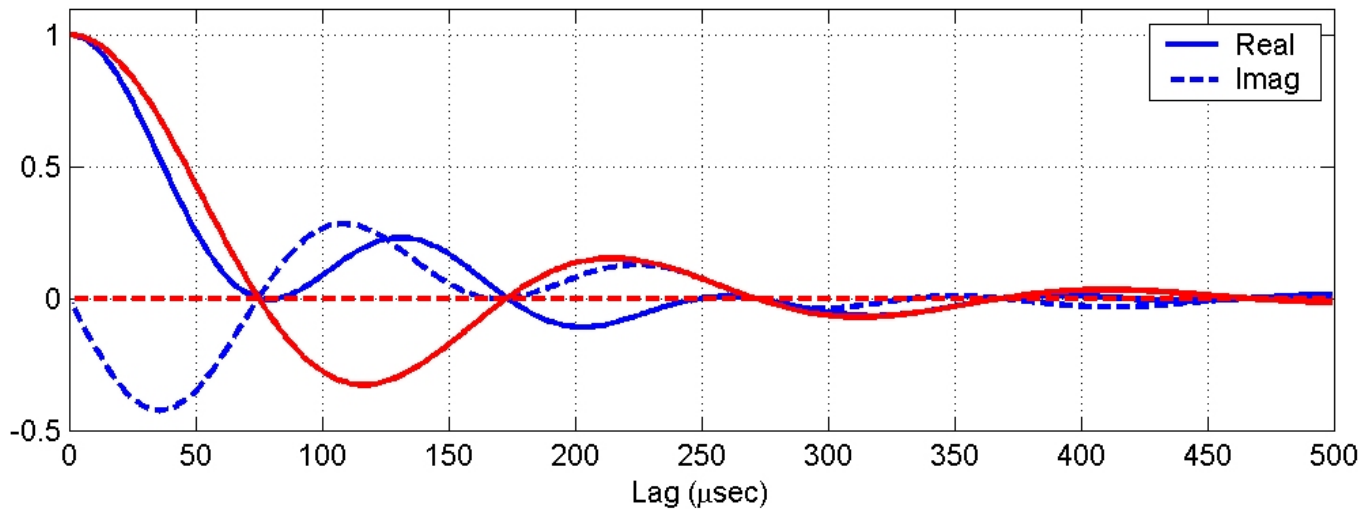
Ne:  $10^{12} \text{ m}^{-3}$

Ti: 1000 K

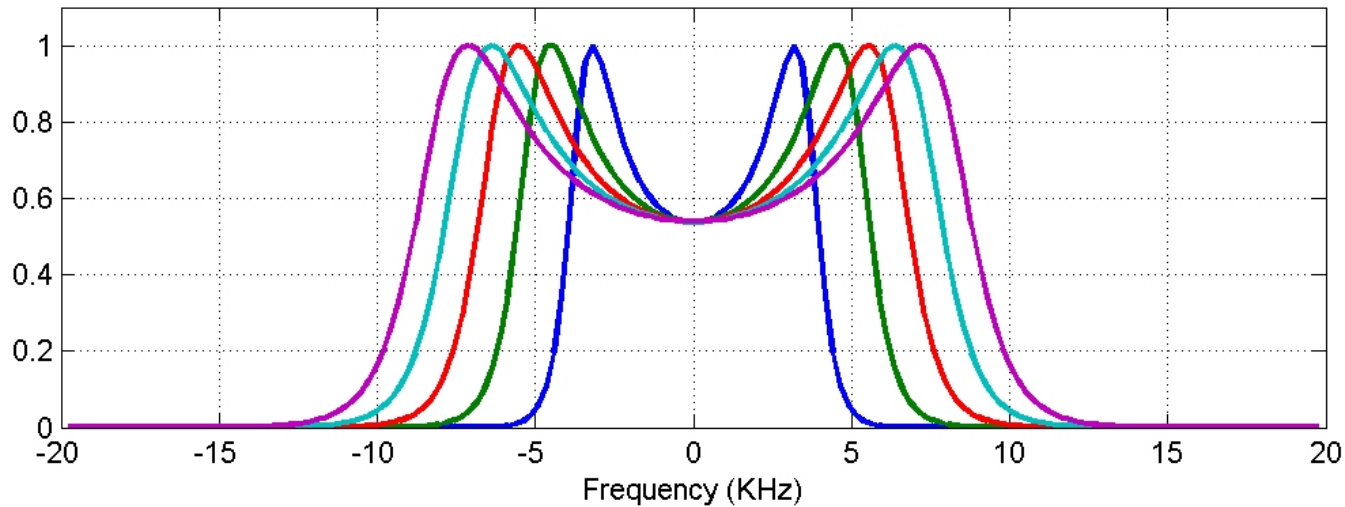
Te: 2000 K

Comp: 100%  $\text{O}^+$

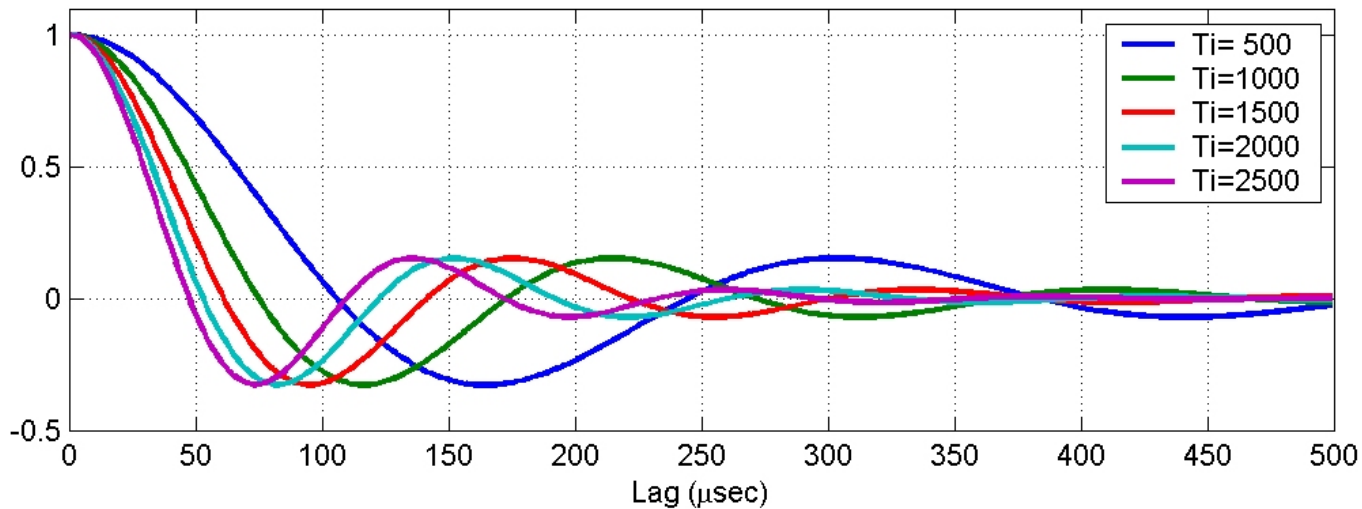
$v_{in}$ :  $10^{-6} \text{ KHz}$



# Ion Temperature



Parameters  
Freq: 449 MHz  
Ne:  $10^{12} \text{ m}^{-3}$   
Te:  $2 * \text{Ti}$   
Comp: 100% O<sup>+</sup>  
 $\nu_{\text{in}}$ :  $10^{-6} \text{ KHz}$



# Ion Mass

## Parameters

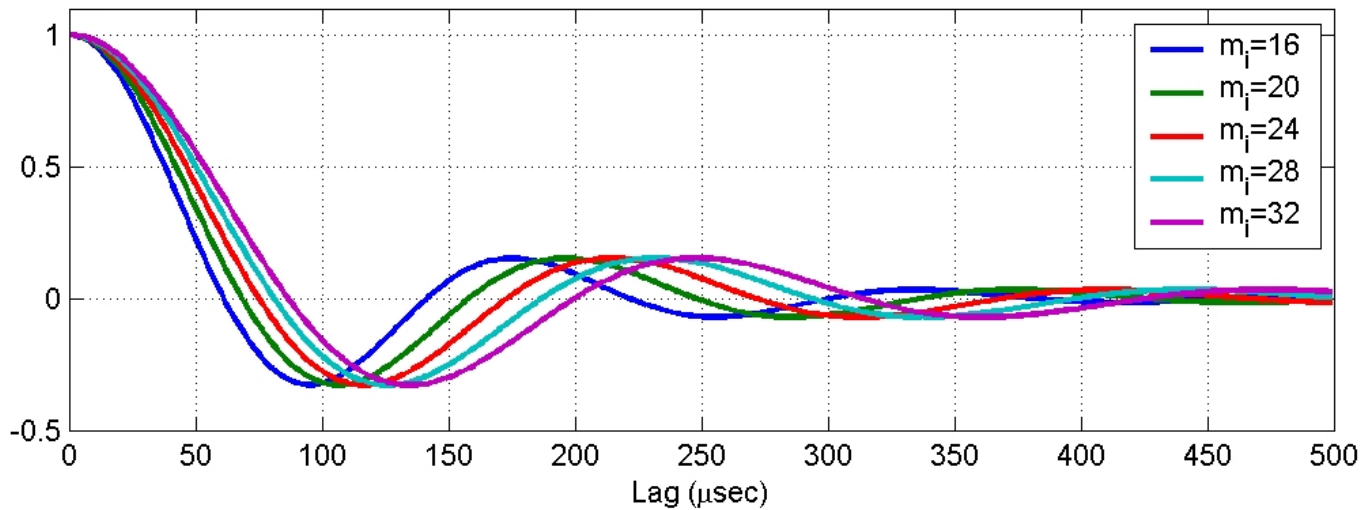
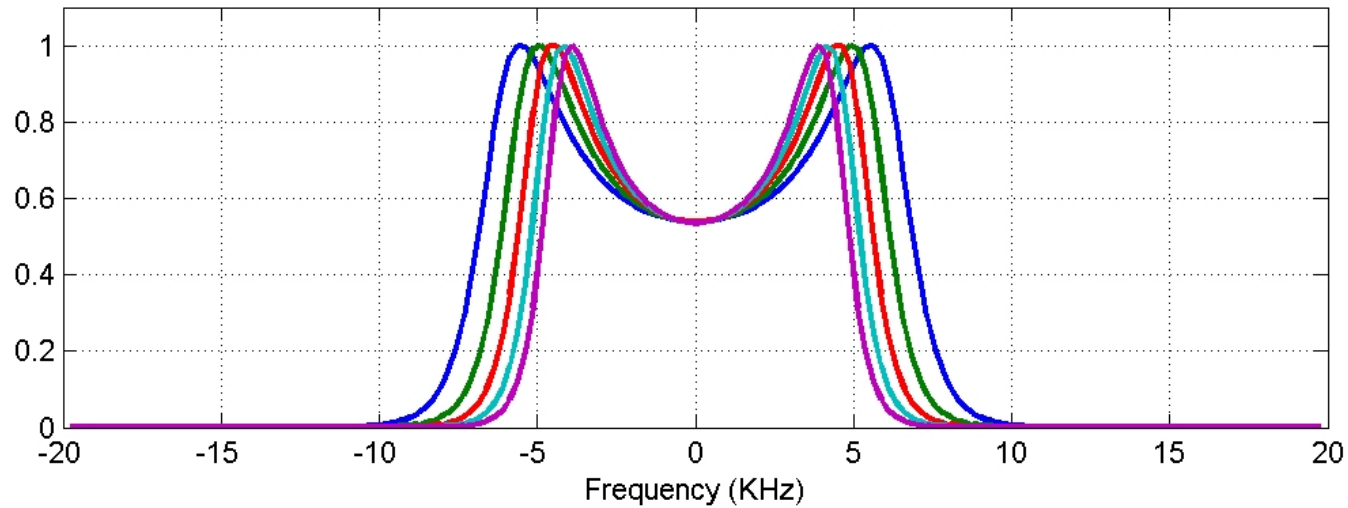
Freq: 449 MHz

Ne:  $10^{12} \text{ m}^{-3}$

Ti: 1500 K

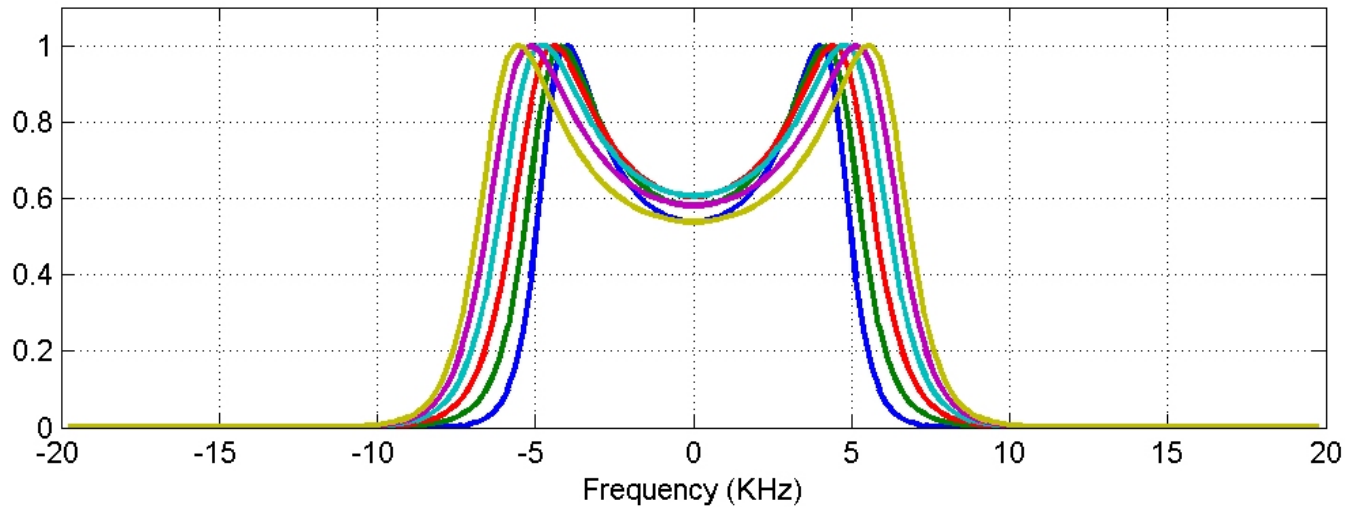
Te: 3000 K

$\nu_{\text{in}}$ :  $10^{-6} \text{ KHz}$

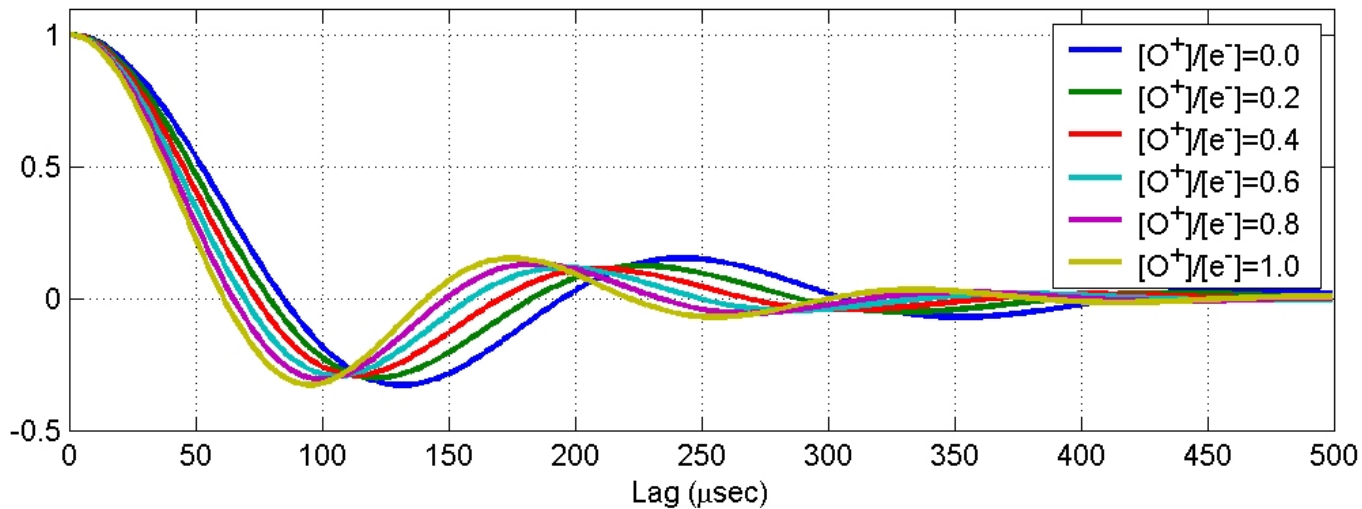




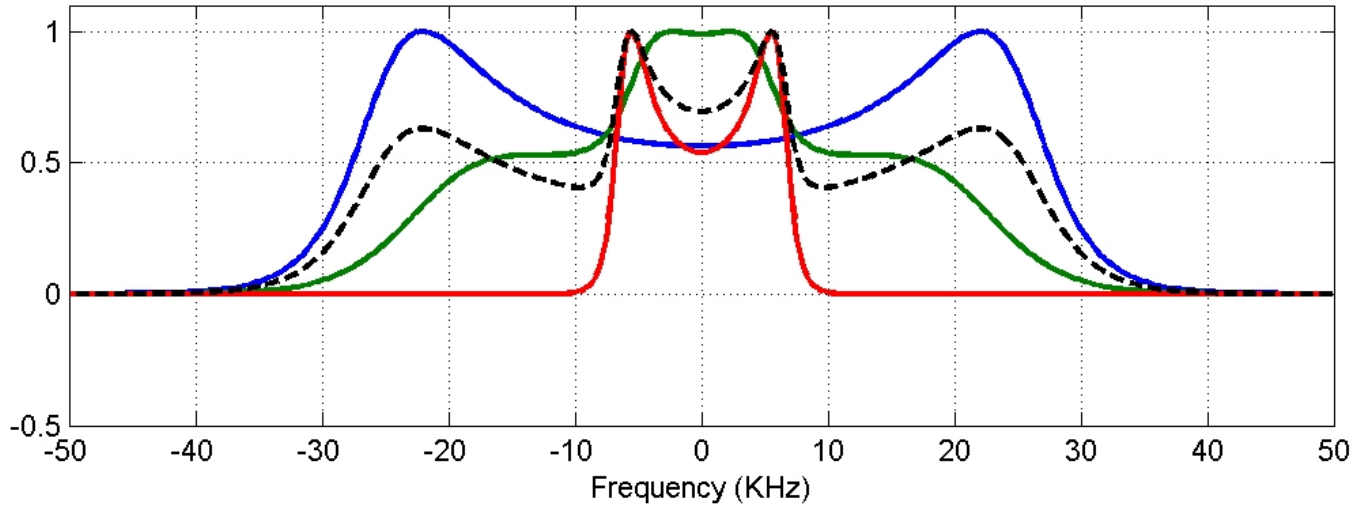
# Ion Composition ( $O^+$ vs. $NO^+$ )



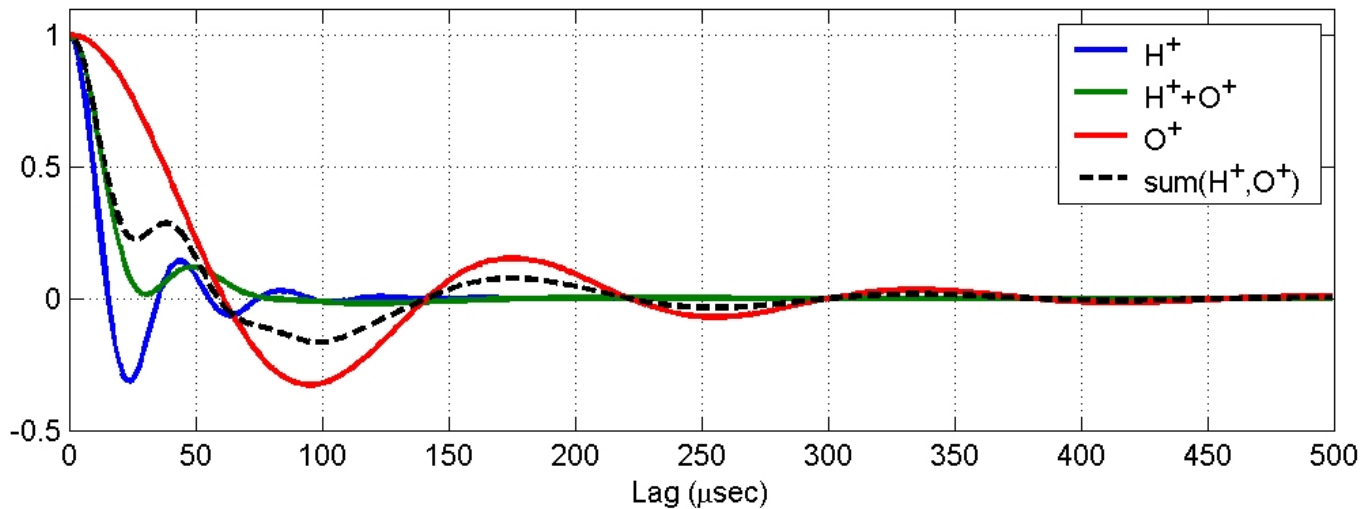
Parameters  
Freq: 449 MHz  
Ne:  $10^{12} \text{ m}^{-3}$   
Ti: 1500 K  
Te: 3000 K  
 $\nu_{in}$ :  $10^{-6} \text{ KHz}$



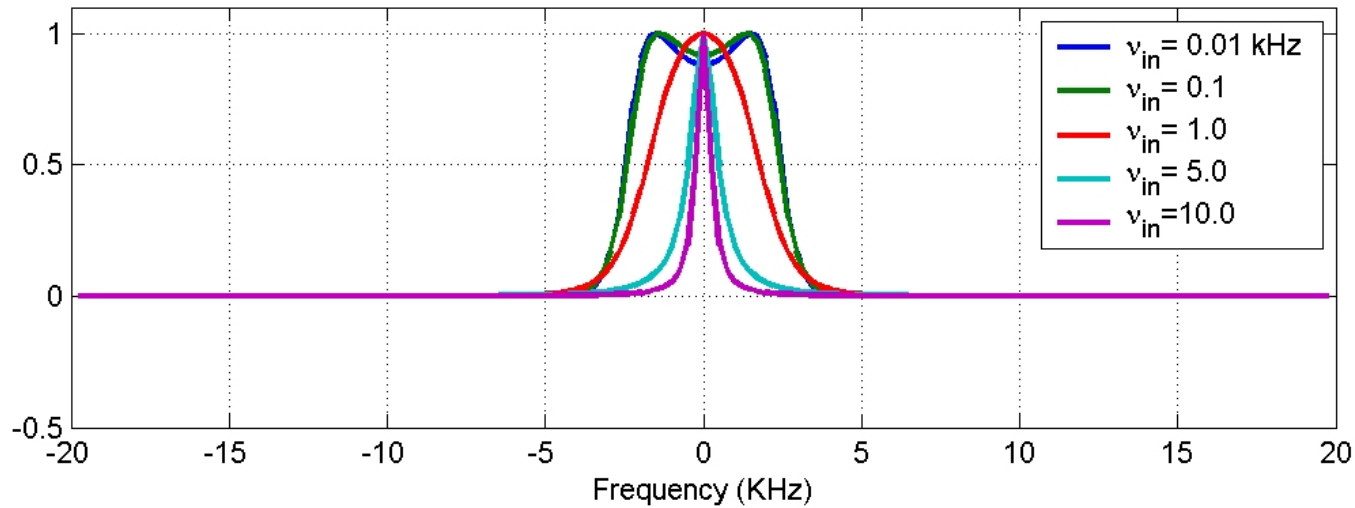
# Ion Composition ( $O^+$ vs. $H^+$ )



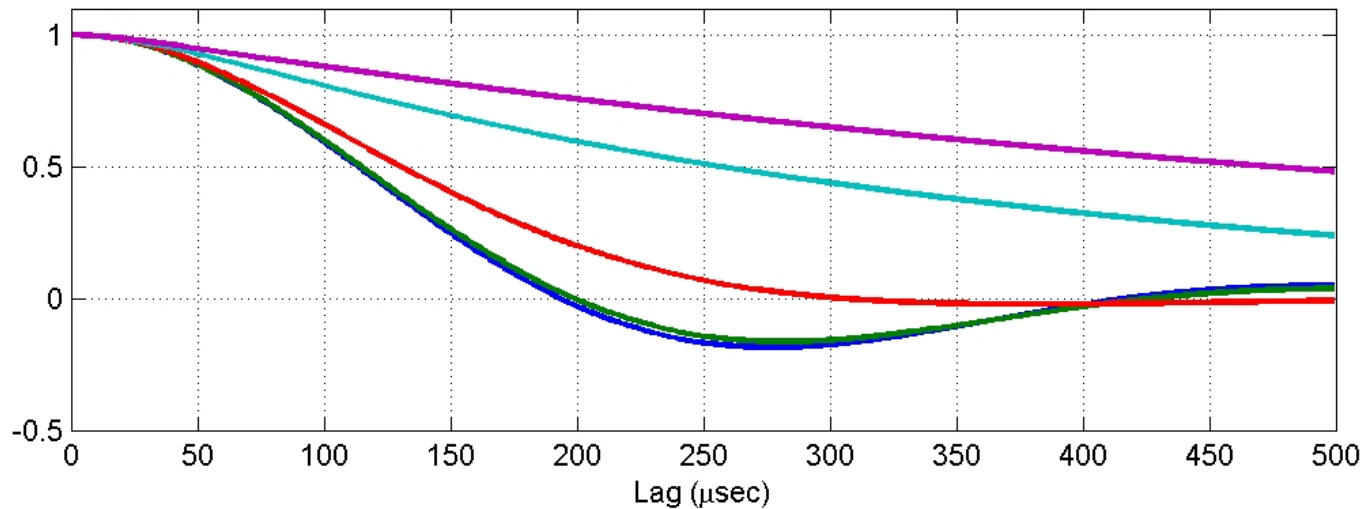
Parameters  
Freq: 449 MHz  
Ne:  $10^{12} \text{ m}^{-3}$   
Ti: 1500 K  
Te: 3000 K  
 $v_{in}$ :  $10^{-6} \text{ KHz}$



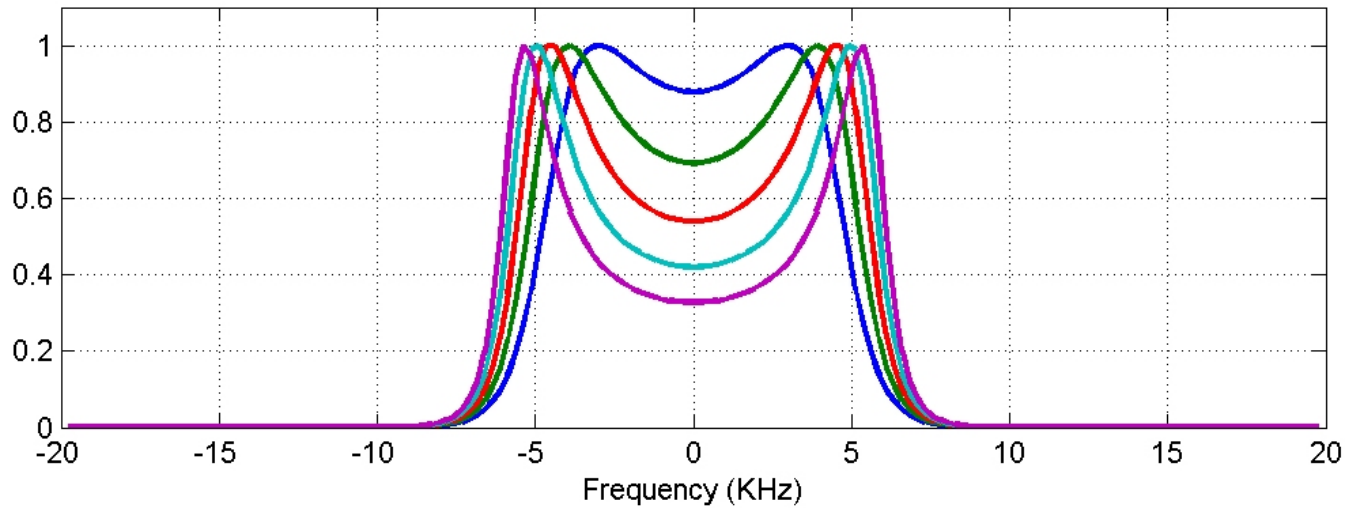
# Ion-Neutral Collision Frequency



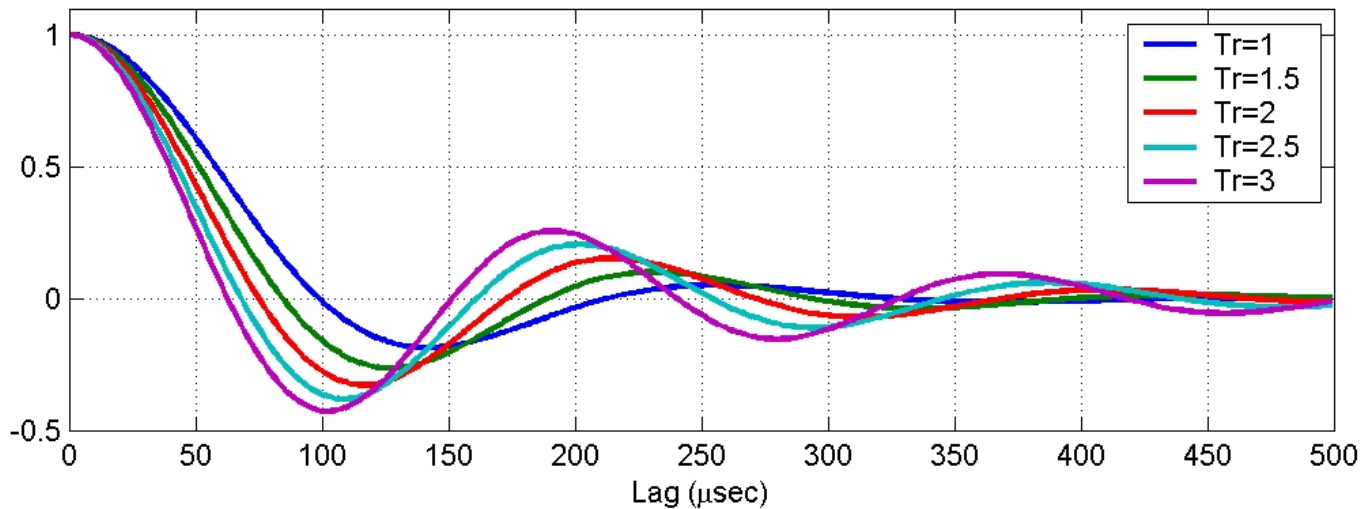
Parameters  
Freq: 449 MHz  
Ne:  $10^{12} \text{ m}^{-3}$   
Ti: 500 K  
Te: 500 K  
Comp: 100% NO<sup>+</sup>



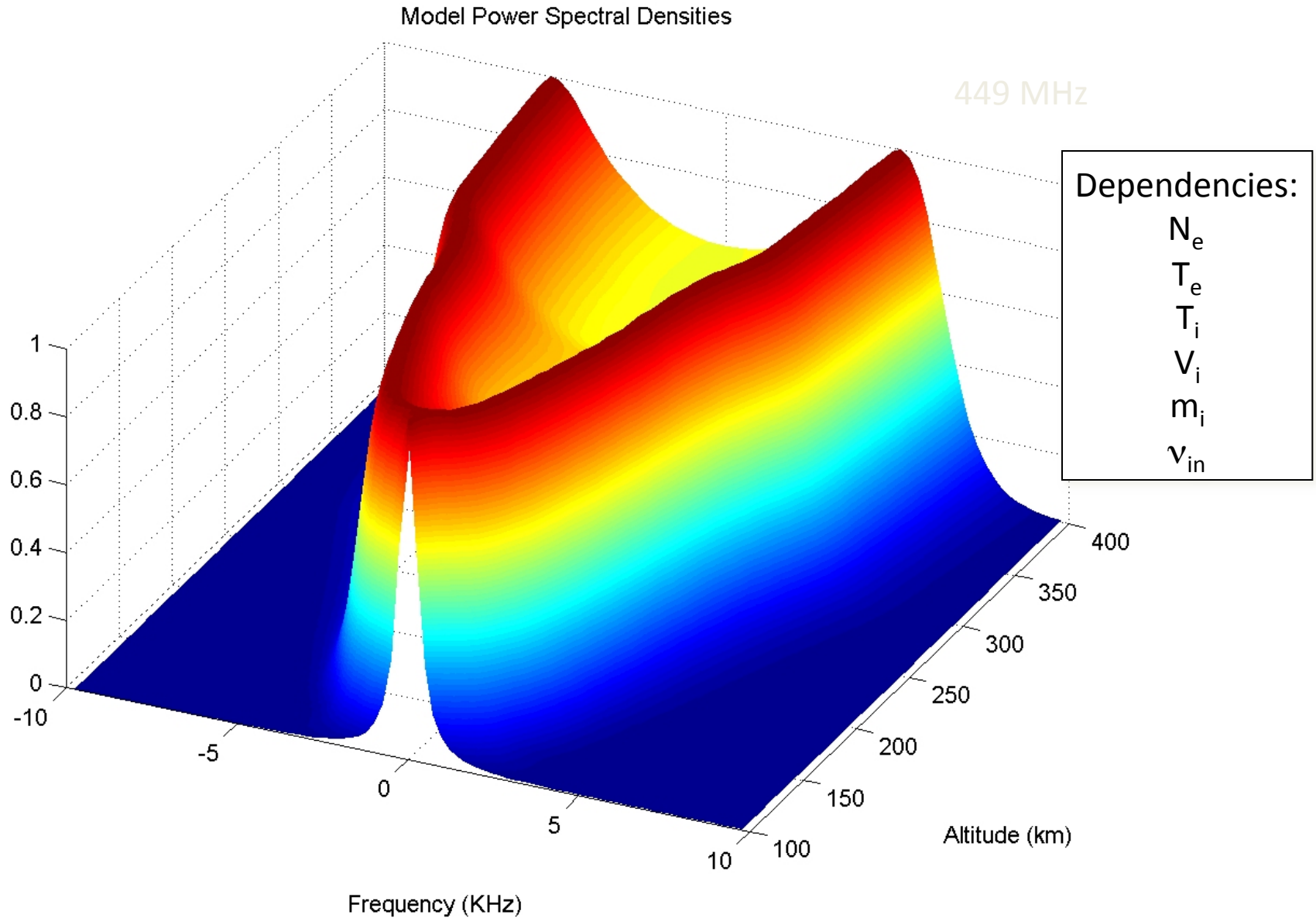
# Electron/Ion Temperature Ratio



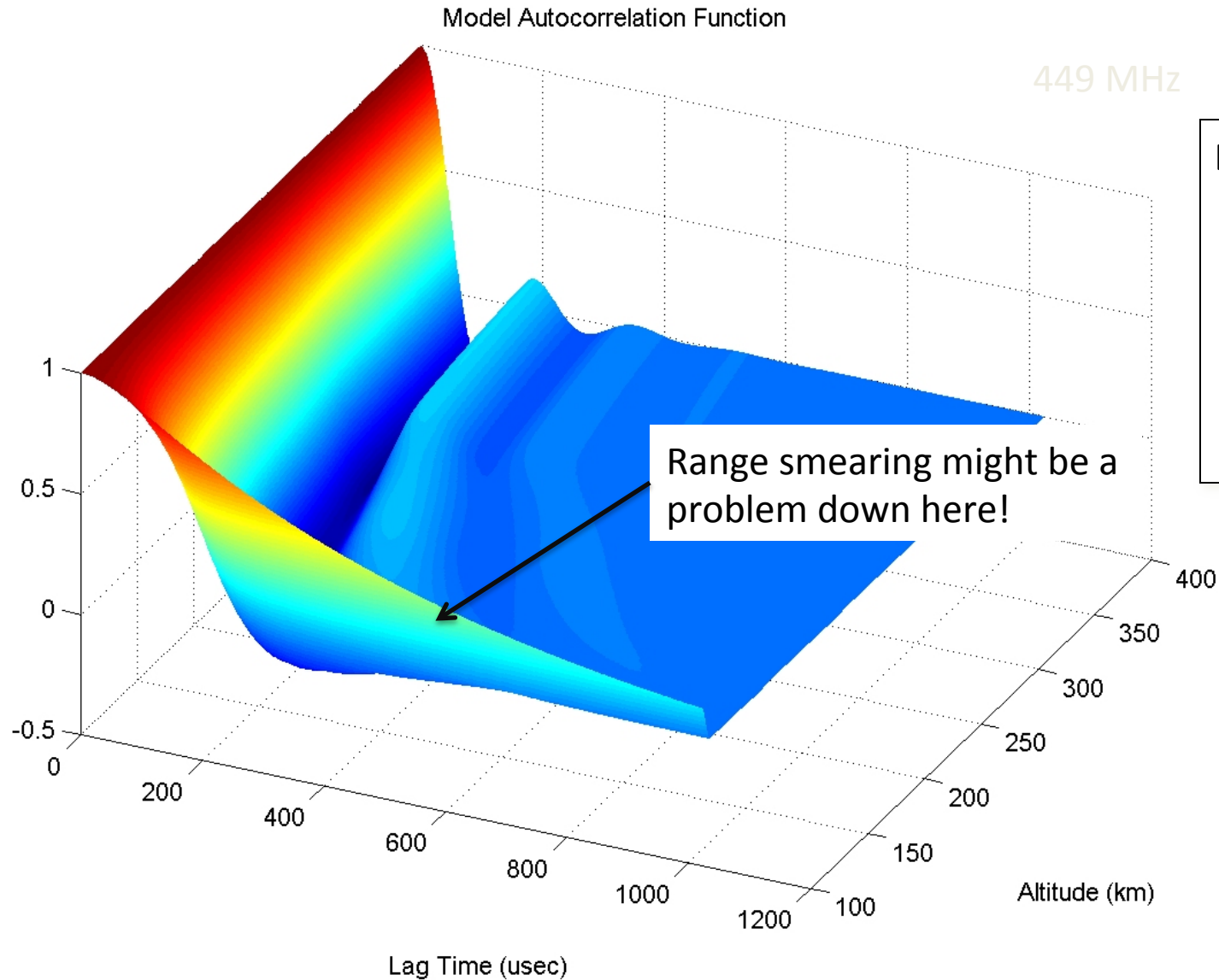
Parameters  
Freq: 449 MHz  
Ne:  $10^{12} \text{ m}^{-3}$   
Ti: 1000 K  
Comp: 100% O<sup>+</sup>  
 $v_{in}$ :  $10^{-6} \text{ KHz}$



# Incoherent Scatter Power Spectra



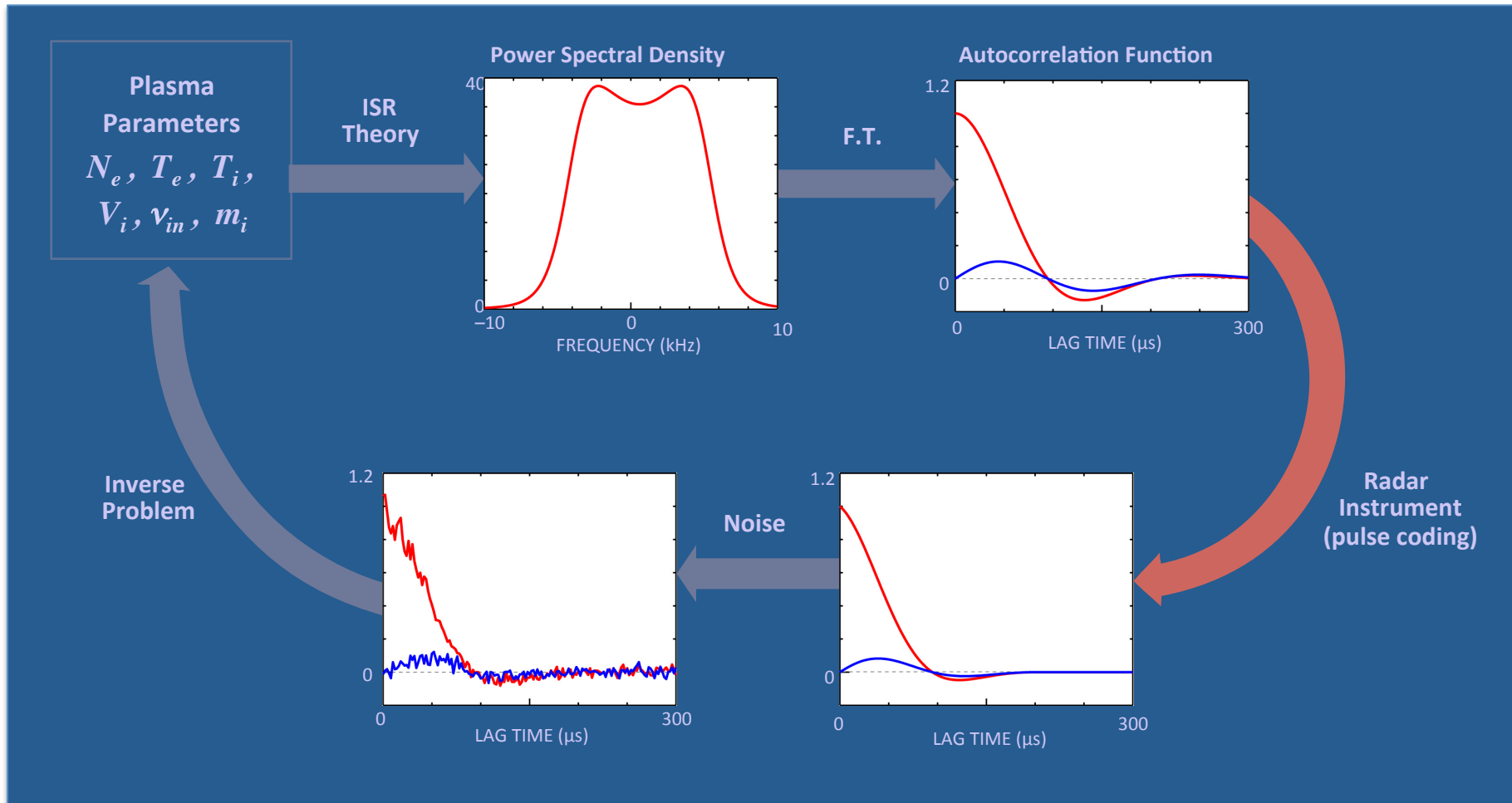
# Incoherent Scatter Autocorrelation Functions



Dependencies:

$N_e$   
 $T_e$   
 $T_i$   
 $V_i$   
 $m_i$   
 $v_{in}$

# Incoherent Scatter Radar Data Fitting



# Ambiguity Functions

- Based on the principle of a ‘matched filter’
  - Output of the matched filter maximizes the attainable SNR when both signal and white noise are applied to the input
  - Impulse response is the complex conjugate of the time reversed version of the signal

$$h(t) = s^*(t_M - t)$$

$$H(f) = S^*(f) \exp(-j2\pi f t_M)$$

*where*

$h(t)$  is the impulse response of the  
matched filter

$s(t)$  is the signal to be detected

$t_M$  is the measurement time

$t, f$  are time and frequency



# Ambiguity Functions

- The ambiguity function is defined as the absolute value of the envelope of the output of a matched filter when the input to the filter is a Doppler shifted version of the original signal

$$|X(\tau, f)| = \left| \int_{-\infty}^{\infty} u(t)u^*(t - \tau)\exp(j2\pi ft)dt \right|$$

$u(t)$  is the complex envelope of the signal

$\tau$  is the additional delay

$f$  is the frequency shift (Doppler)

# Ambiguity Functions

For  $u(t)$  with unit energy

$$|X(\tau, f)| \leq |X(0,0)| = 1$$

$$\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} |X(\tau, f)|^2 d\tau df = 1$$

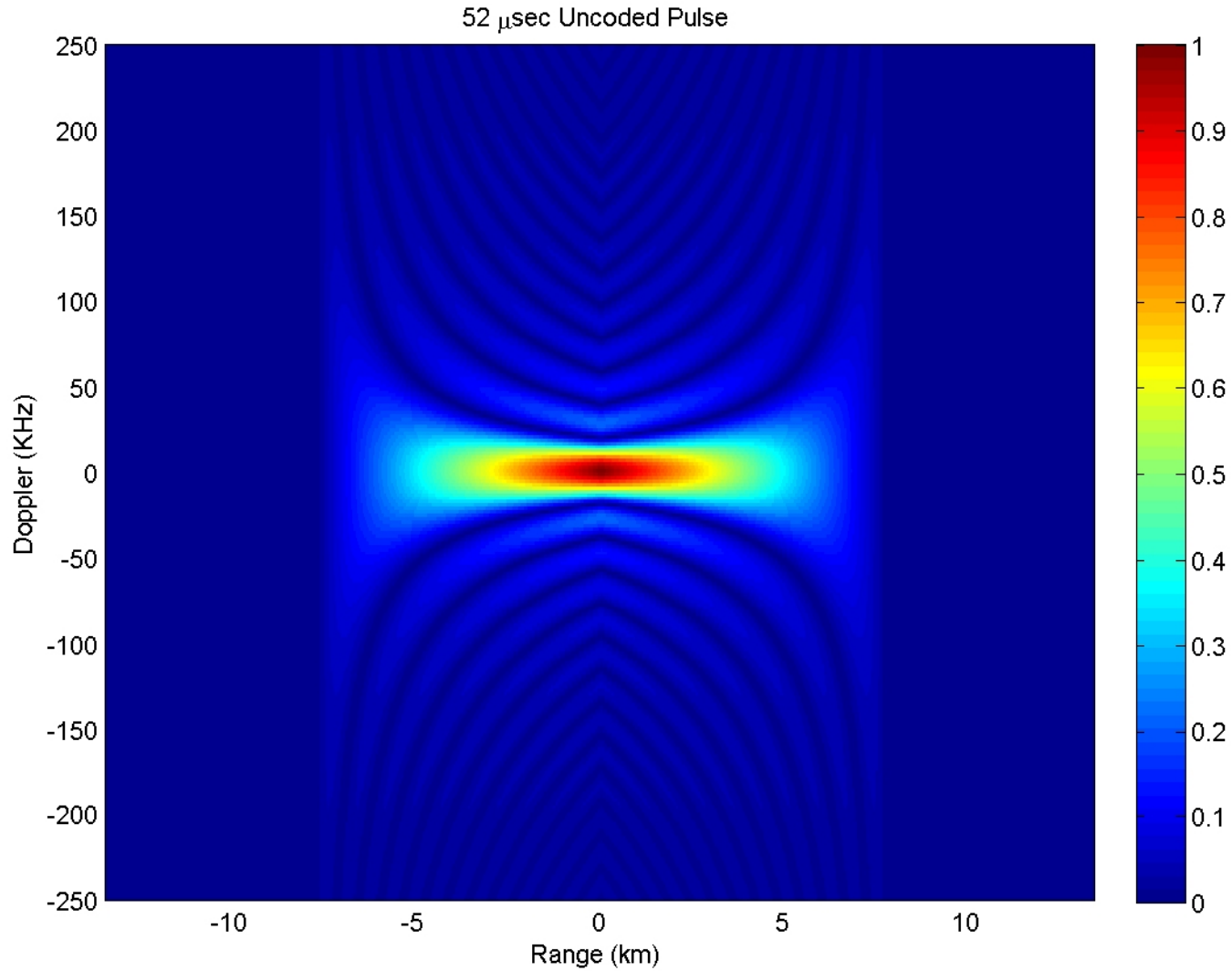
and for all signals

$$|X(-\tau, -f)| = |X(\tau, f)|$$

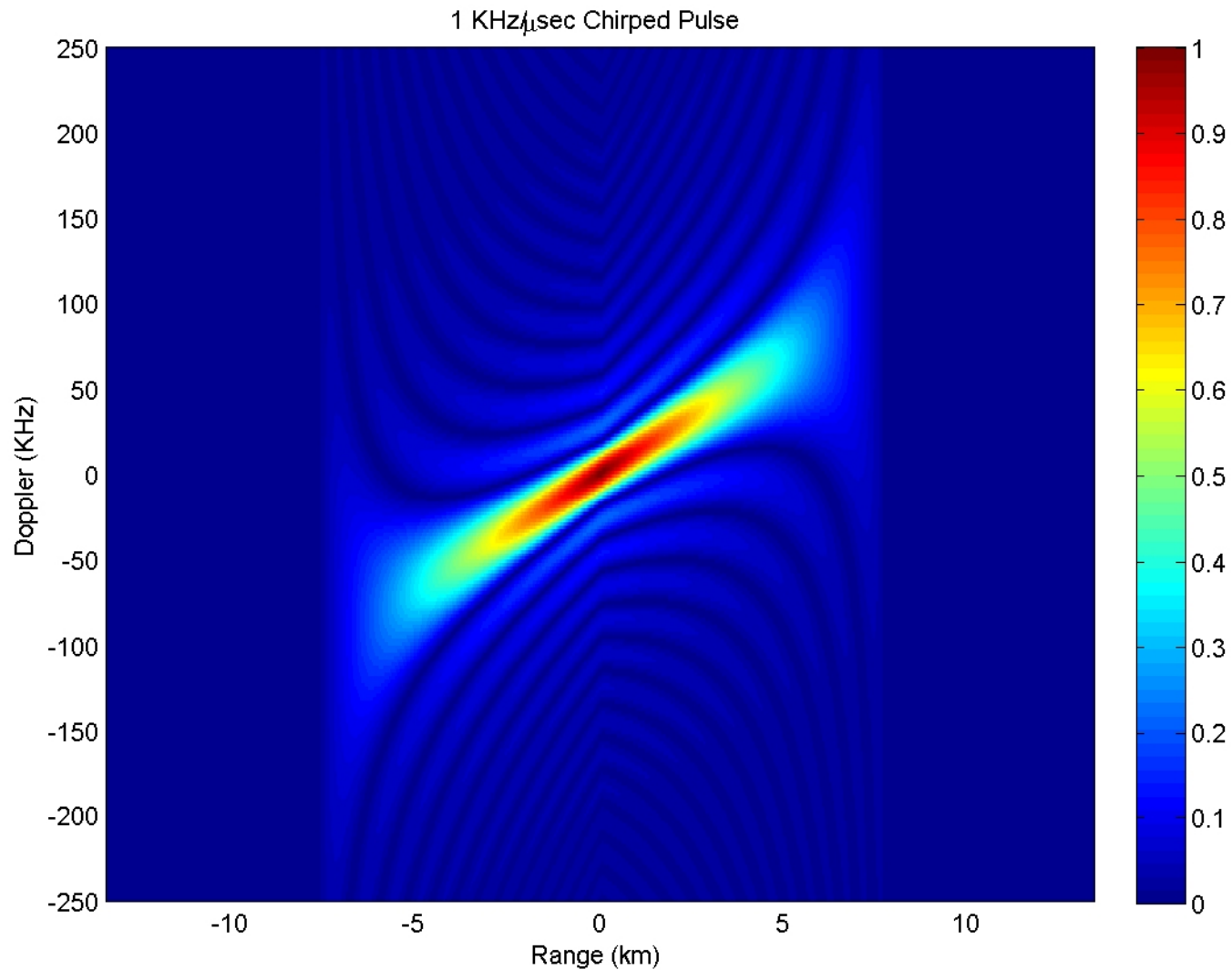
if  $u(t) \leftrightarrow |X(\tau, f)|$

then  $u(t)\exp(j\pi kt^2) \leftrightarrow |X(\tau, f + k\tau)|$

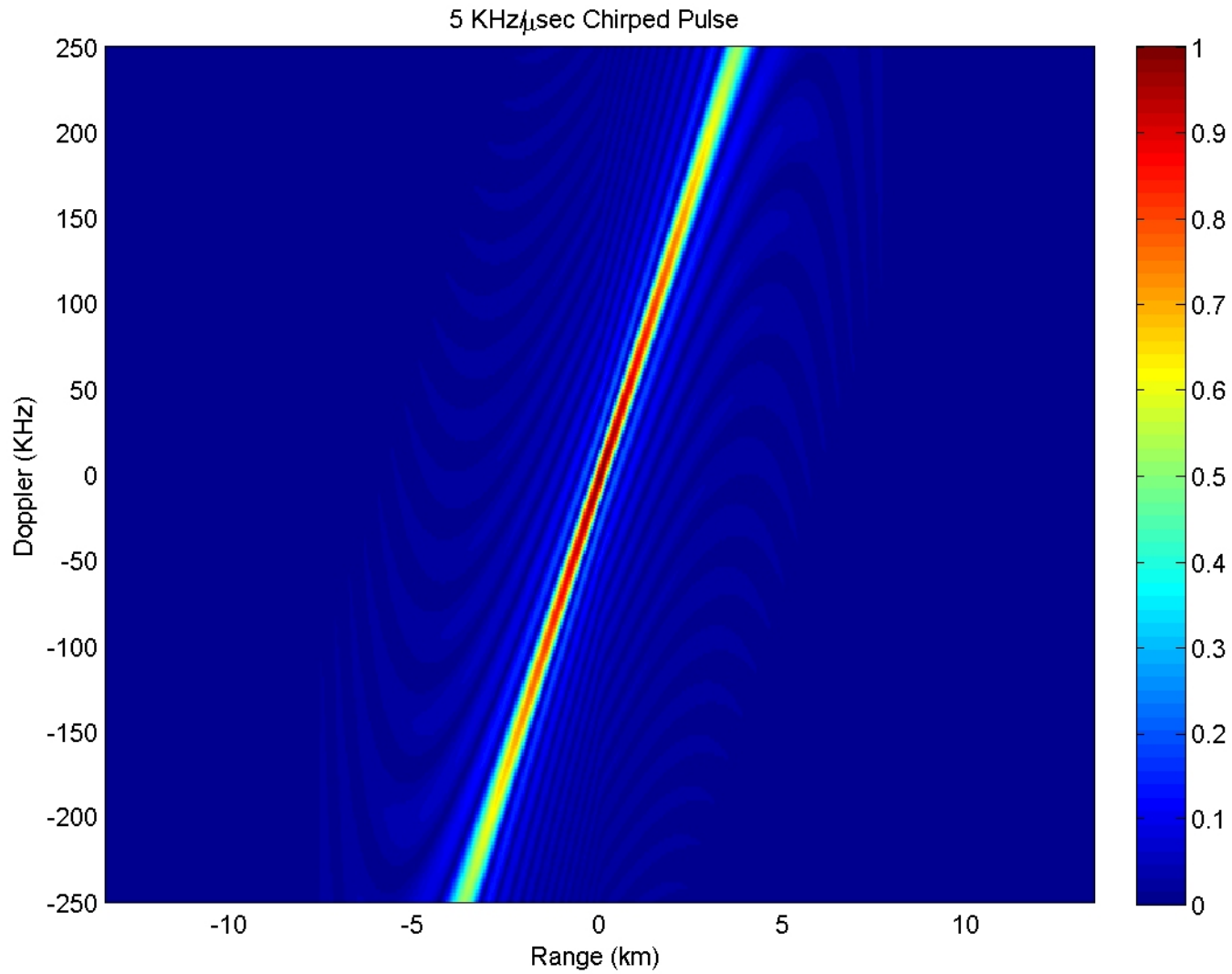
# Ambiguity Function



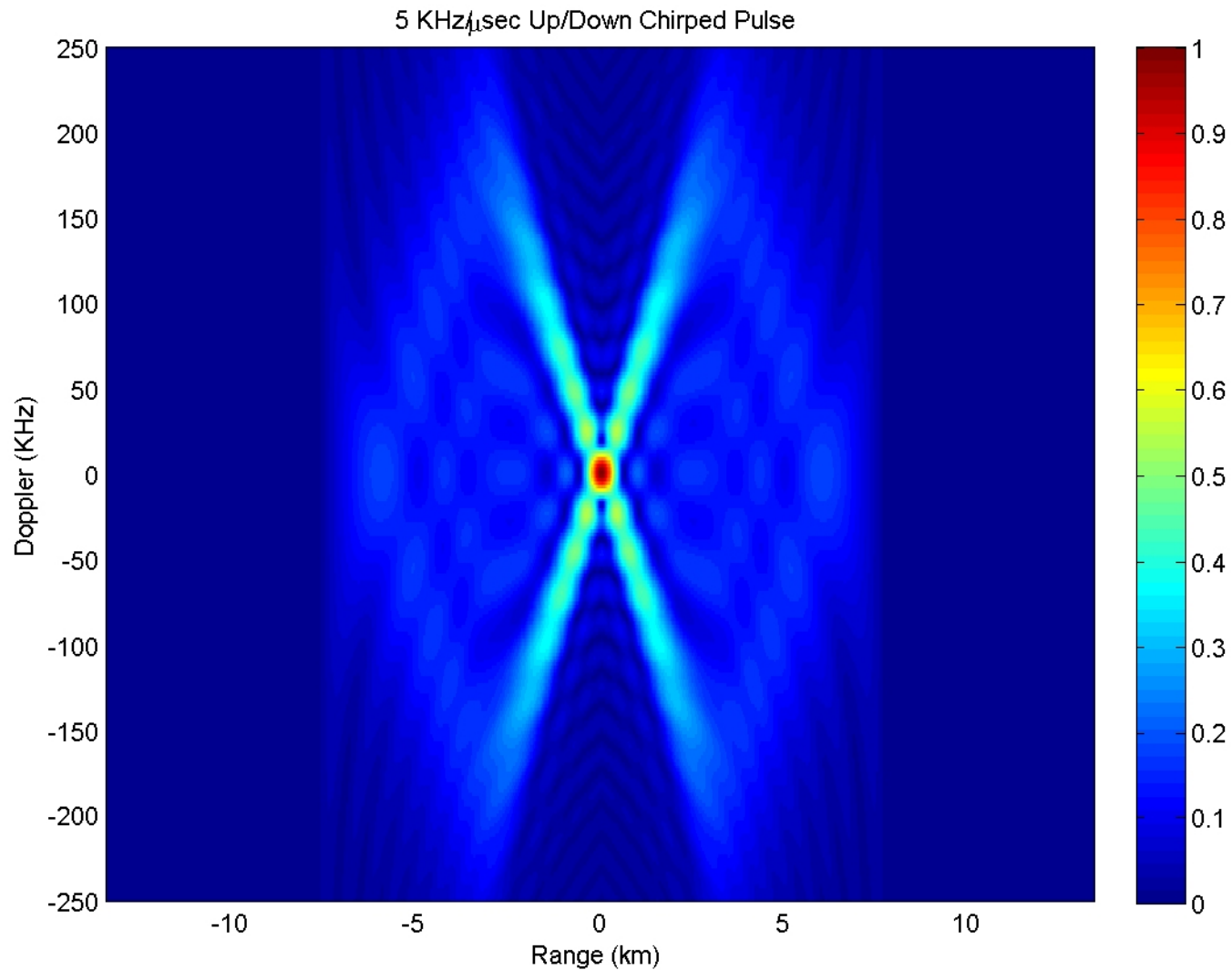
# Ambiguity Function



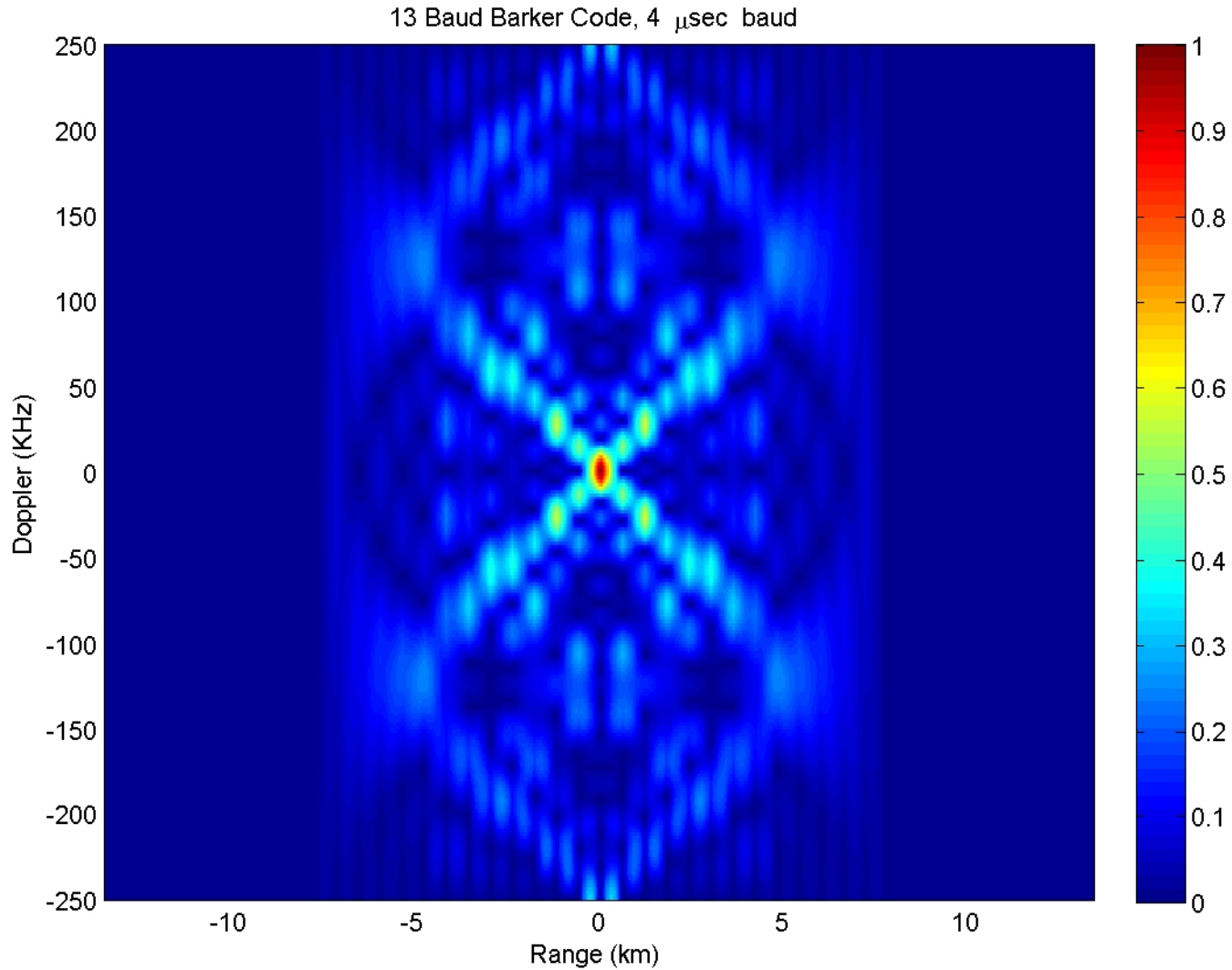
# Ambiguity Function



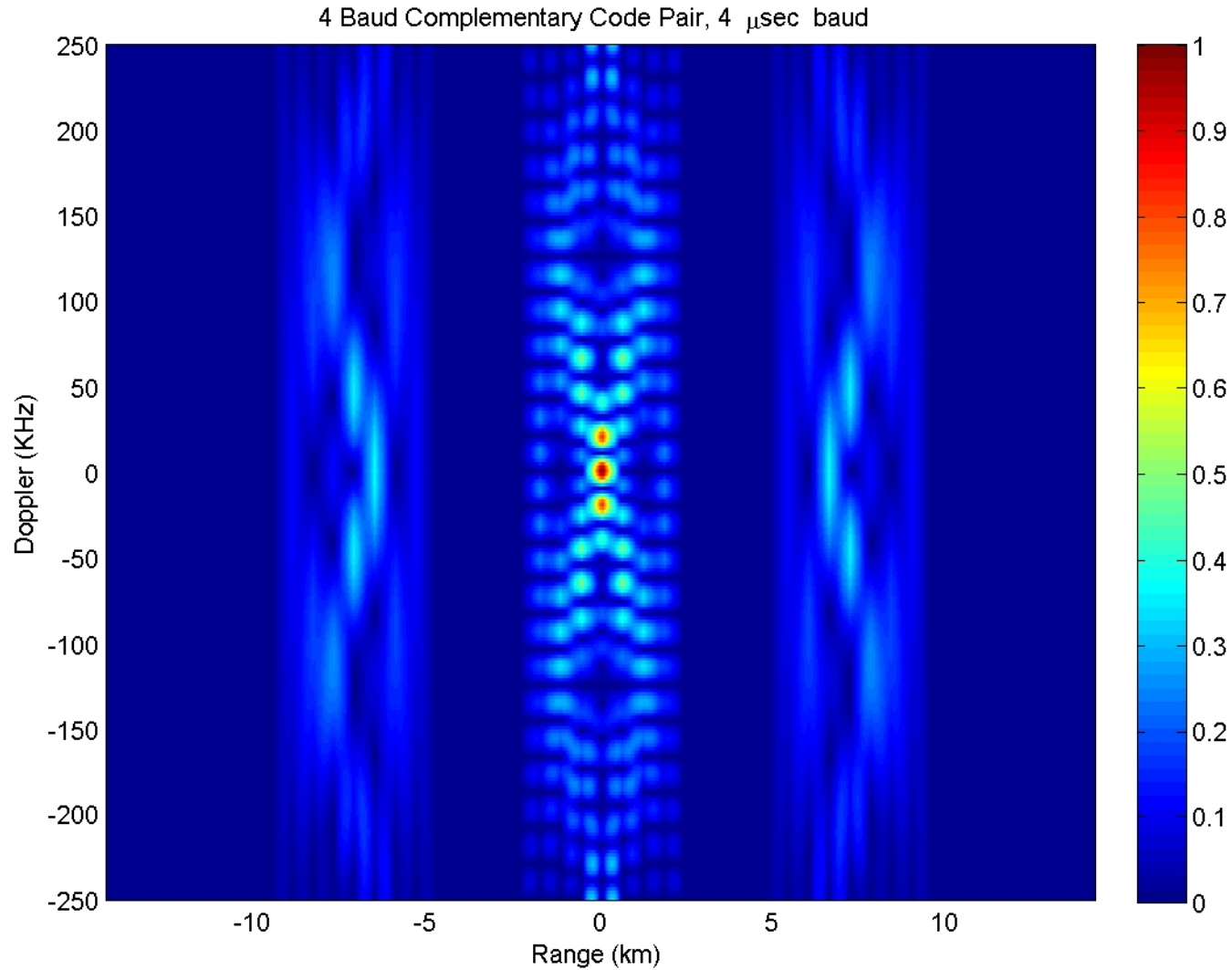
# Ambiguity Function



# Ambiguity Function



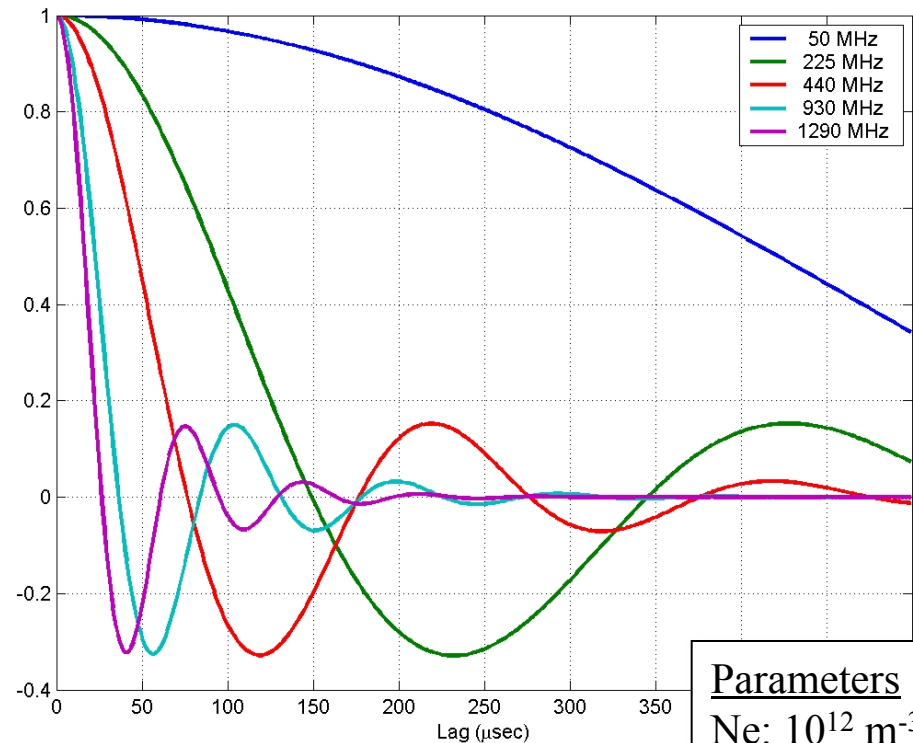
# Ambiguity Function





# ACF measurements, can we use phase coding?

- Yes, but we must be careful!
- Barker codes, for instance, can be used if the total code length is sufficiently short (less than the correlation time of the medium – Gray and Farley, 1973). This only gives us power (0-lag) information!
- Other classes of modulation are also available that, when incoherently averaged, provide good range resolution at the expense (usually) of increased bandwidth and processing complexity
  - Alternating Codes (Lehtinen and Haggstrom, 1987)
  - Coded Long Pulse (Sulzer, 1986)
  - Compressed Alternating Codes
  - Multipulse (not used much for ISR any more because of the superior performance of other techniques)
  - A good, slightly dated reference for many of these techniques is (Sulzer, 1989)
- Finally, at Arecibo they often have too much SNR and use phase coding to obtain more estimates of the acf.



## Parameters

Ne:  $10^{12} \text{ m}^{-3}$

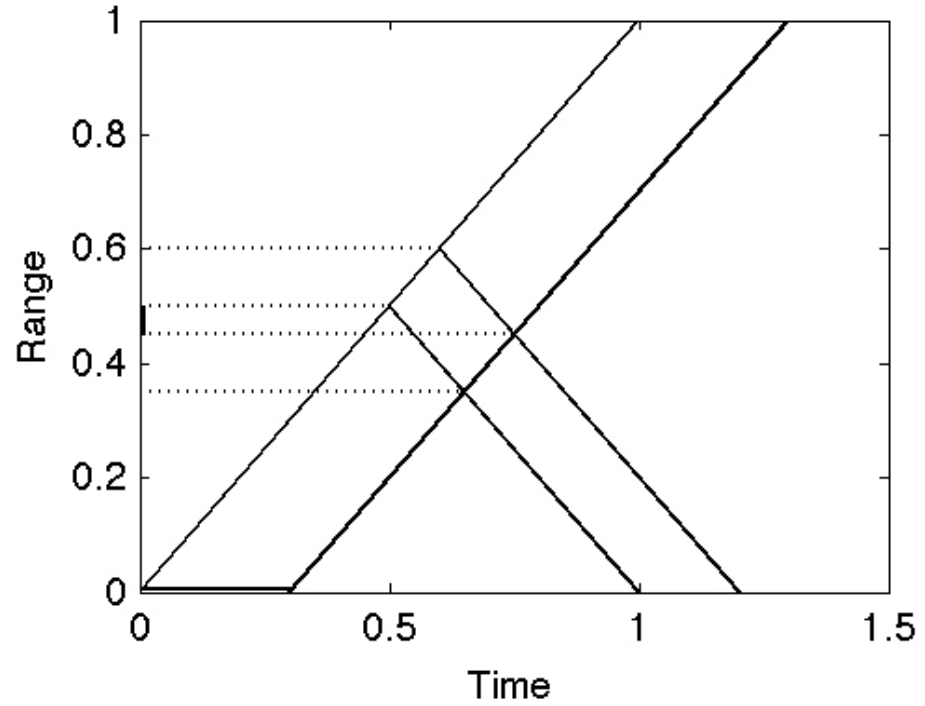
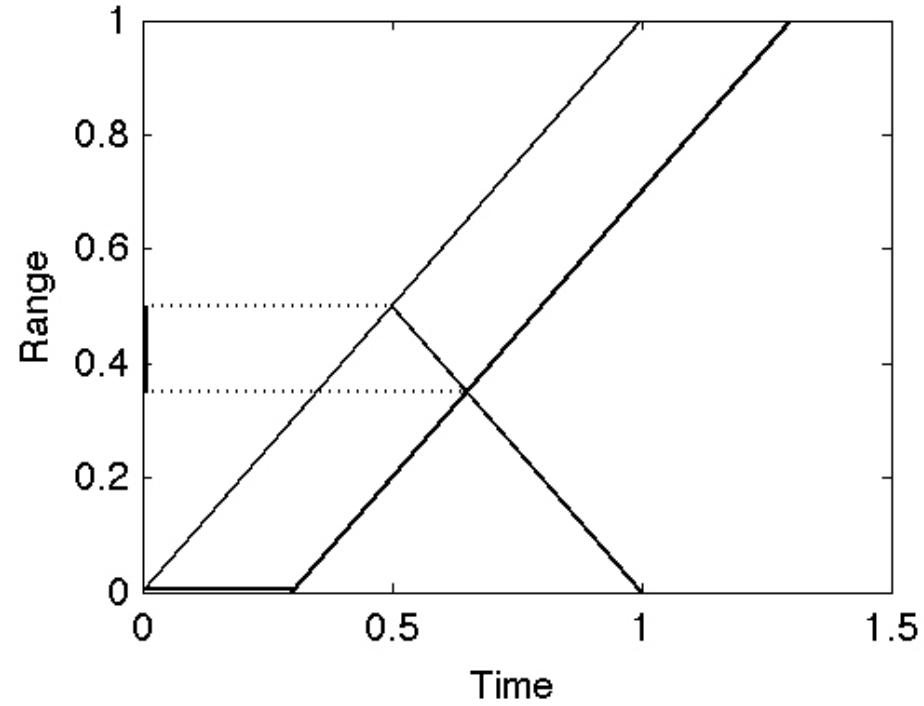
Ti: 1000 K

Te: 2000 K

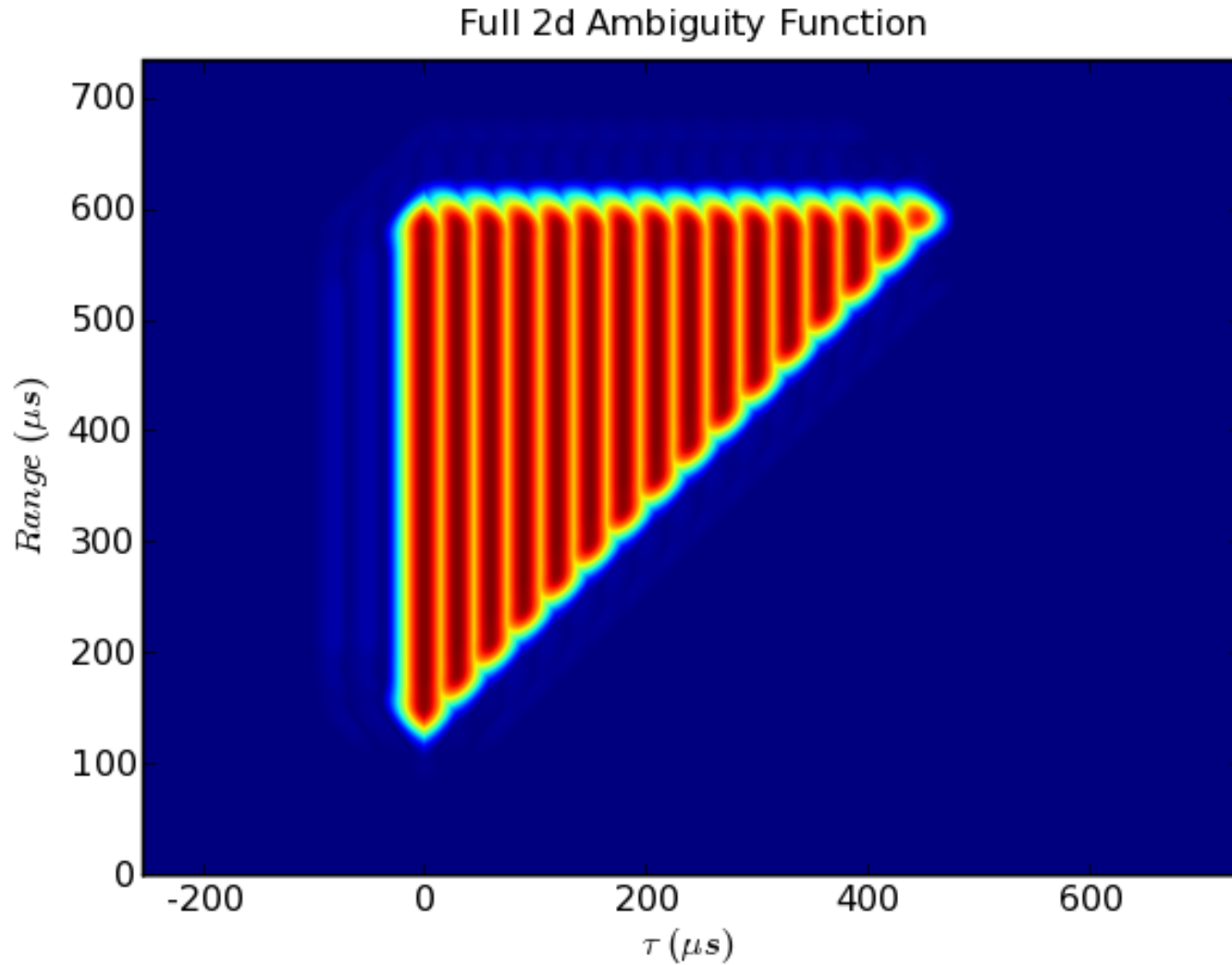
Comp: 100% O<sup>+</sup>

$v_{in}$ :  $10^{-6} \text{ KHz}$

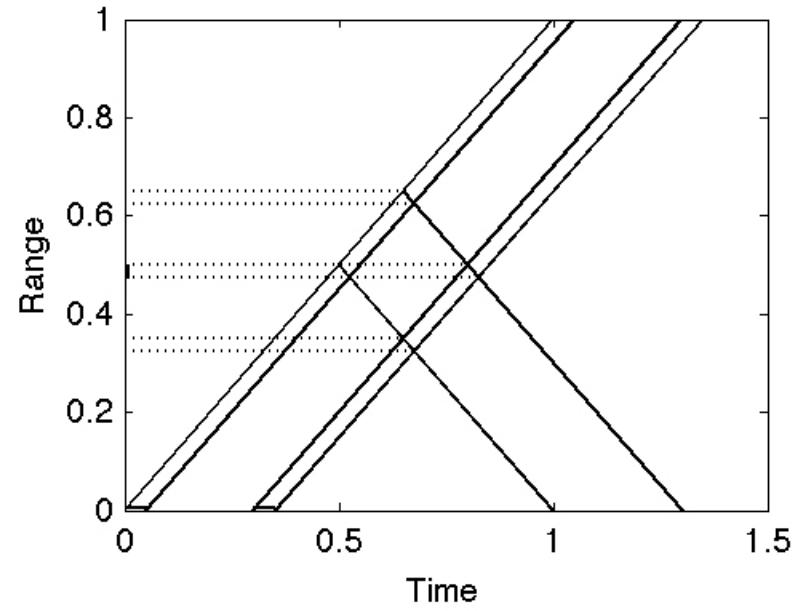
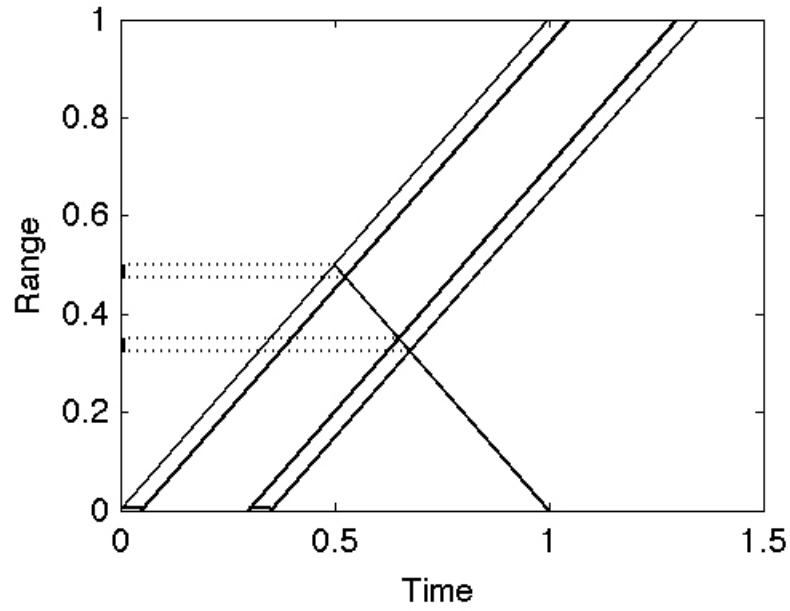
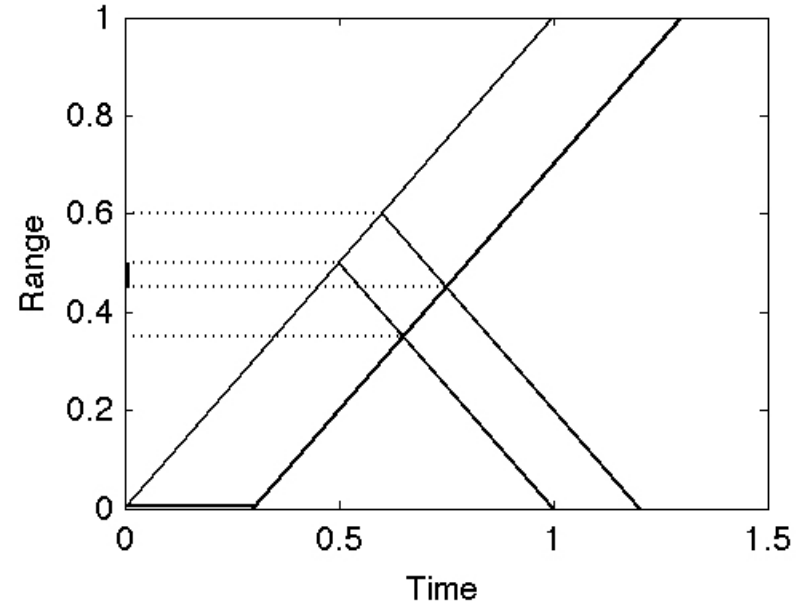
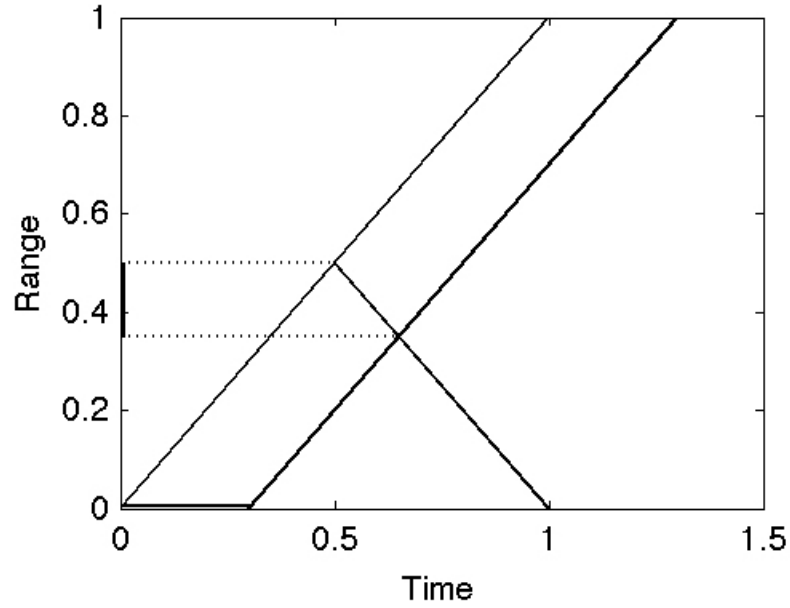
# Measuring ACFs



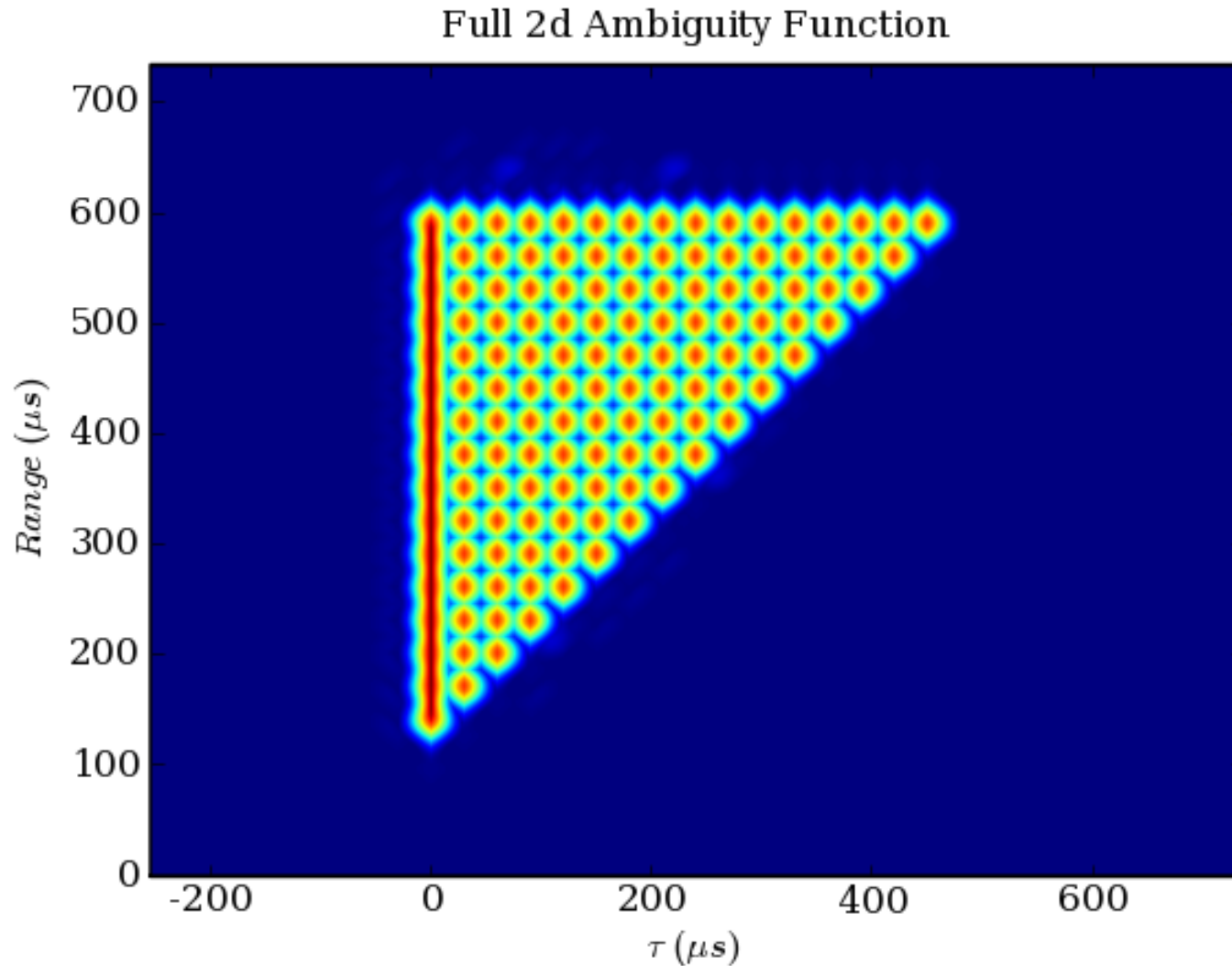
# Ambiguity Function (smearing in range and lag)



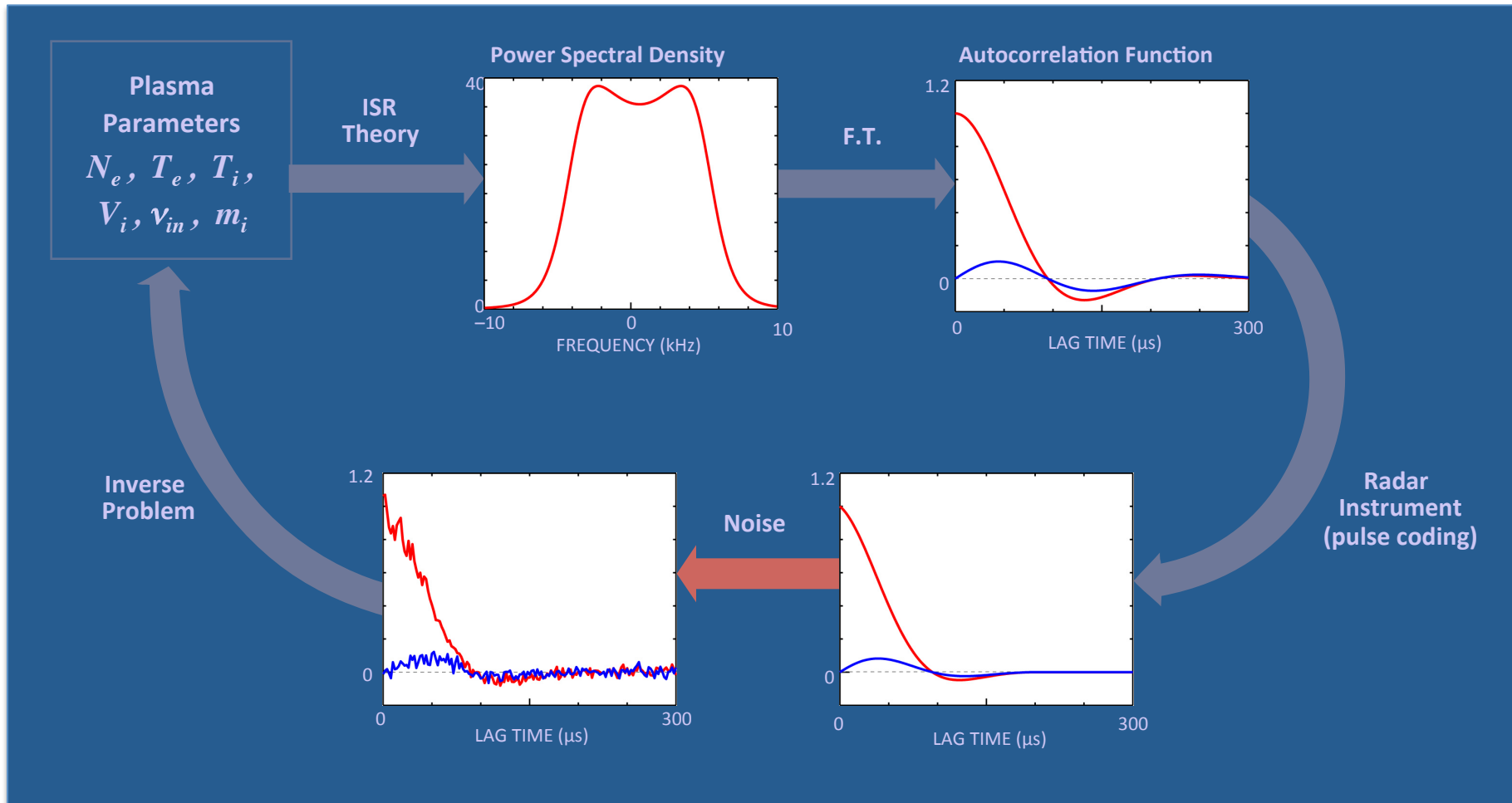
# Range Smearing



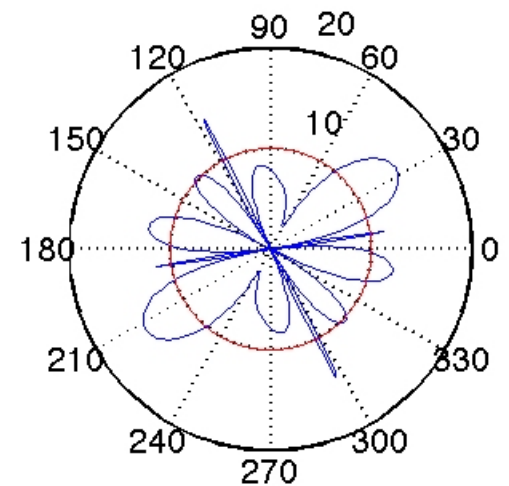
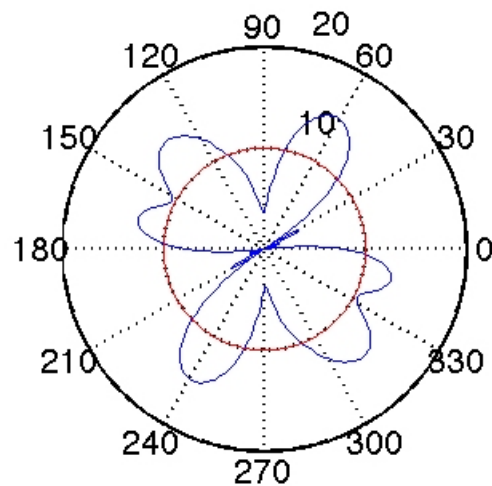
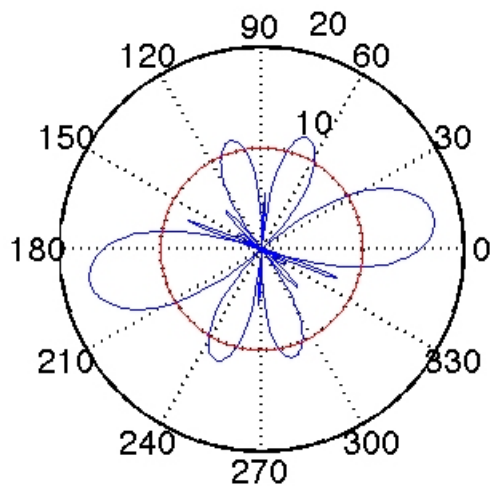
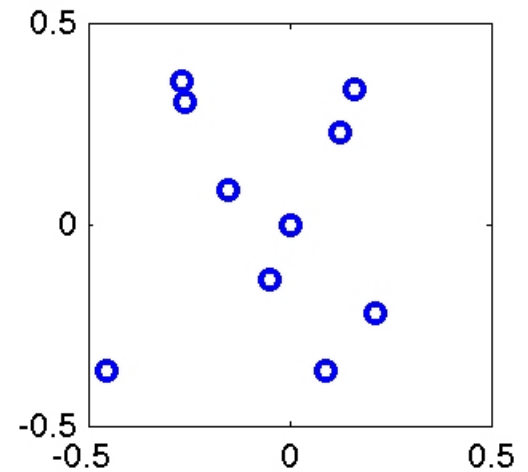
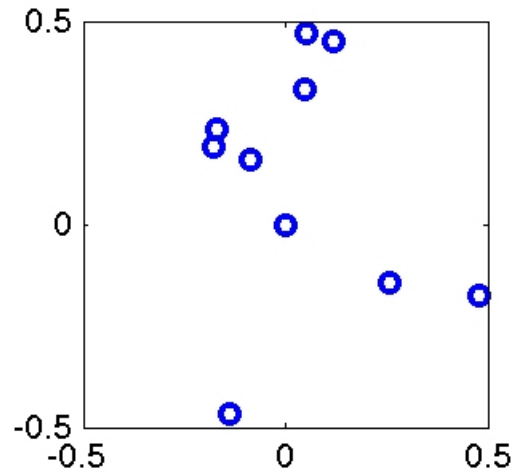
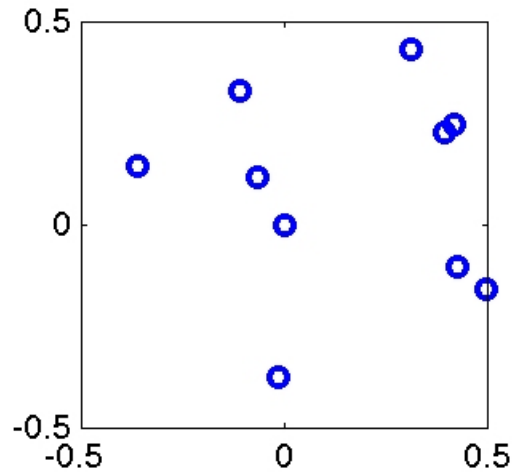
# Ambiguity Function Alternating Code (smearing in range and lag)



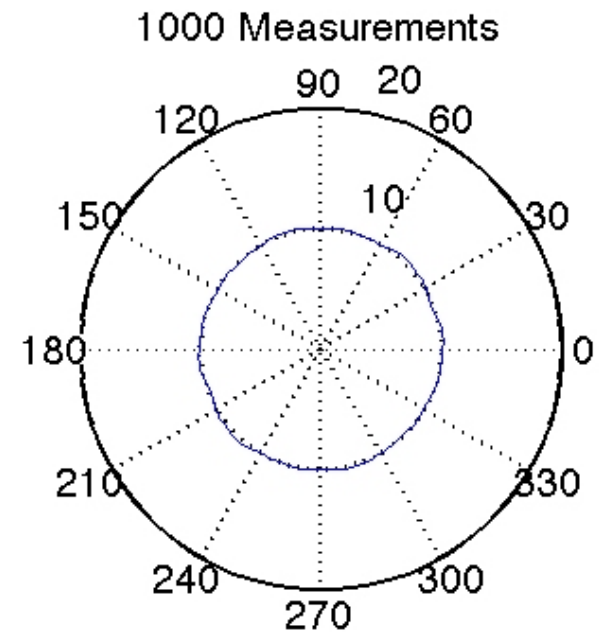
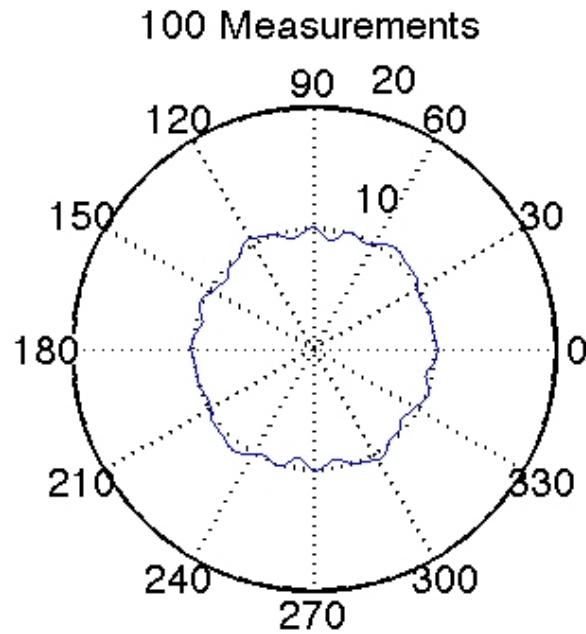
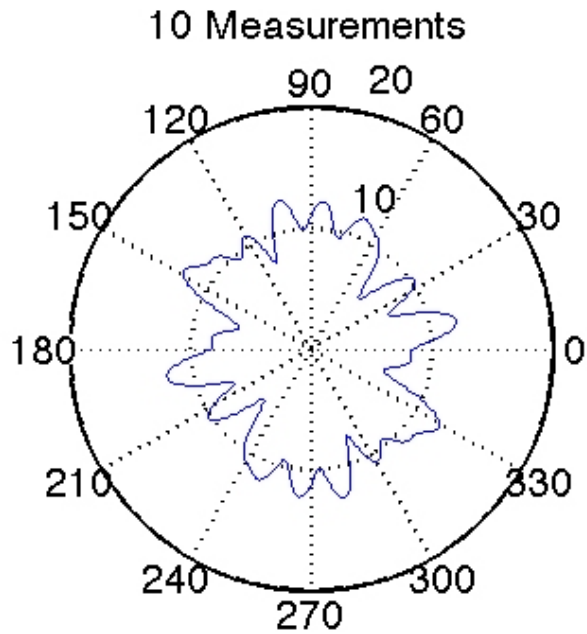
# Incoherent Scatter Radar Data Fitting



# 'Incoherent' electron positions



# Incoherent Integration





# ISR Signal Strength

Differential received power

$$dP_r = \frac{P_T L \lambda^2 G_{TX}(\theta, \phi) G_{RX}(\theta', \phi') n_e(\theta, \phi, r) \sigma}{(4\pi)^3 r^4} dV$$

Assuming a narrow antenna beam and sufficiently short pulse

$$dV = \left( \frac{c\tau_P}{2} \right) r d\theta \cdot r \sin\theta \cdot d\phi$$

$$P_r(r) \approx \frac{P_T L \lambda^2 c \tau_P n_e(r) \sigma}{2(4\pi)^2 r^2} \frac{1}{4\pi} \iint G^2(\theta, \phi) \sin\theta \cdot d\theta \cdot d\phi$$

Defining the mean squared gain (backscatter gain) as

$$G_{BS} = \frac{1}{4\pi} \iint G^2(\theta, \phi) \sin\theta \cdot d\theta \cdot d\phi$$

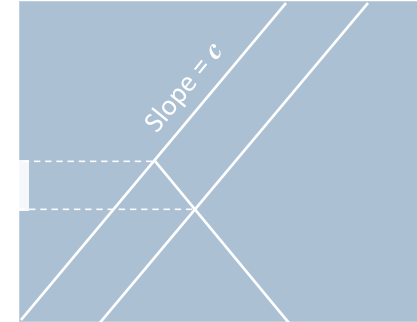
and from Hagen and Baumgartner (1996)

$$G_{BS} \approx C_{BS} \frac{4\pi A_{eff}}{\lambda^2}$$

$$P_r(r) \approx \frac{P_T L c \tau_P C_{BS} A_{eff} n_e(r) \sigma}{2(4\pi)^2 r^2}$$

$$P_r(r) \approx \frac{P_T L c \tau_P C_{BS} A_{eff}}{8\pi r^2} \frac{n_e(r) \sigma_e}{\left(1 + k^2 \lambda_D^2\right) \left(1 + k^2 \lambda_D^2 + T_r\right)}$$

$$P_n = k_B T_{sys} BW$$



$P_T$  = transmitter peak power

$L$  = transmit feed line losses

$c$  = speed of light

$\tau_P$  = transmit pulse duration

$C_{BS}$  = backscatter gain constant

$A_{eff}$  = antenna effective aperture

$n_e$  = electron number density

$\sigma_e$  = electron radar cross-section

$k = 2\pi/\lambda$  = radar wave number

$\lambda_D$  = plasma debye length

$T_r$  = electron to ion temperature ratio

$k_B$  = Boltzmann constant

$T_{sys}$  = system noise temperature

$BW$  = receiver bandwidth

# ISR Signal Strength

Signal-to-noise ratio

$$SNR = \frac{P_r}{P_n} = \frac{(P_T L)(C_{BS} A_{eff}) \tau_P}{T_{sys} BW} \cdot \frac{c}{8\pi r^2 k_B} \frac{n_e(r) \sigma_e}{(1 + k^2 \lambda_D^2)(1 + k^2 \lambda_D^2 + T_r)}$$

$$std\left(\frac{\hat{P}_r}{P_r}\right) \propto \frac{1}{\sqrt{K_{meas}}} \left(\frac{P_r + P_n}{P_r}\right) = \frac{1}{\sqrt{K_{meas}}} \left(1 + \frac{1}{SNR}\right)$$

To obtain an  $SNR = 1$  with the following parameters

$$L = 1 \text{ (no feed line losses)}$$

$$C_{BS} = 0.4$$

$$\tau_P = 300 \text{ } \mu\text{sec (45 km range resolution)}$$

$$n_e = 10^{11} \text{ m}^{-3}$$

$$T_{sys} = 100 \text{ K}$$

$$BW = 50 \text{ kHz}$$

$$k^2 \lambda_D^2 = 0 \text{ (sufficiently high } n_e)$$

$$T_r = 1$$

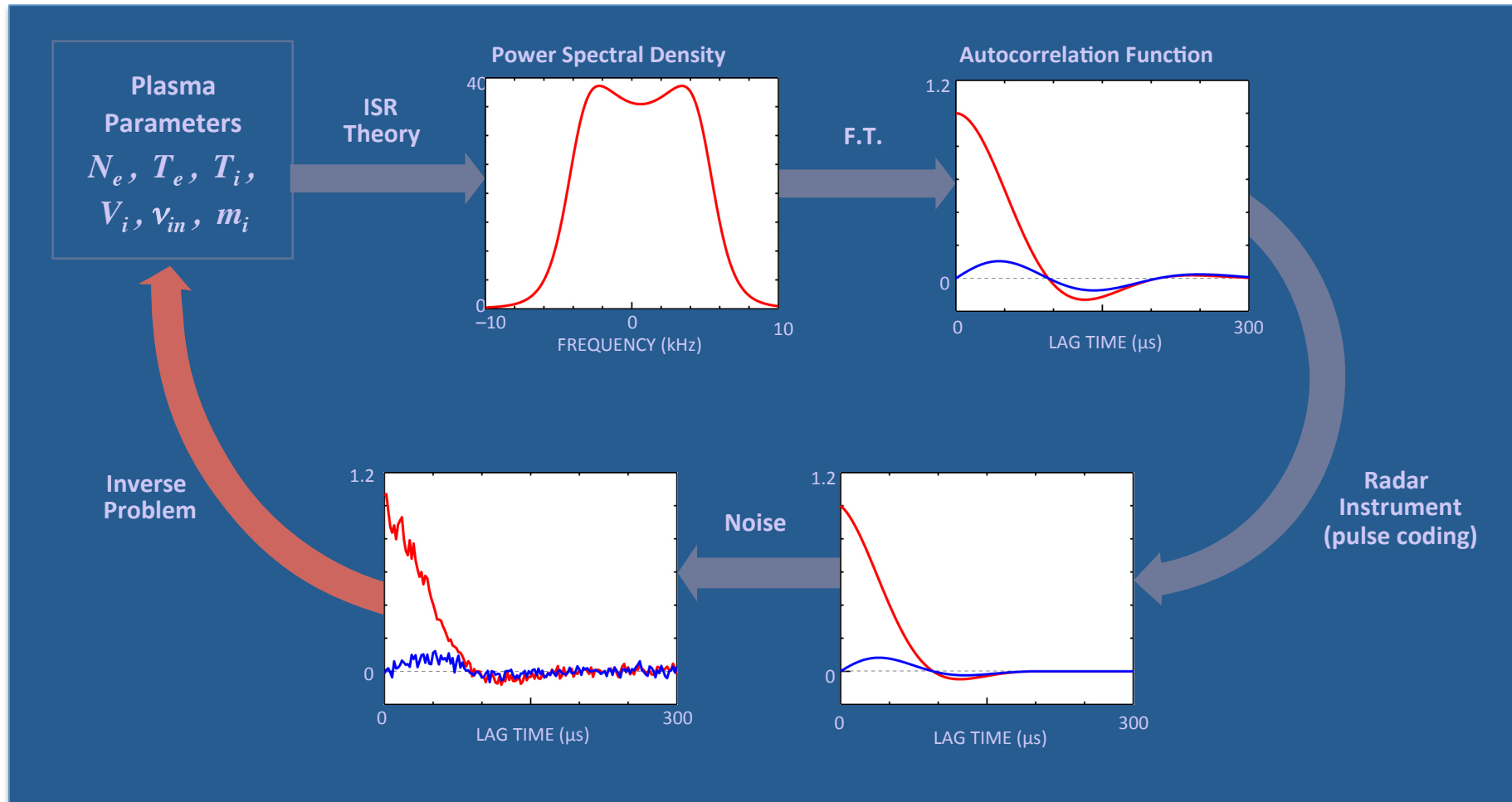
we need

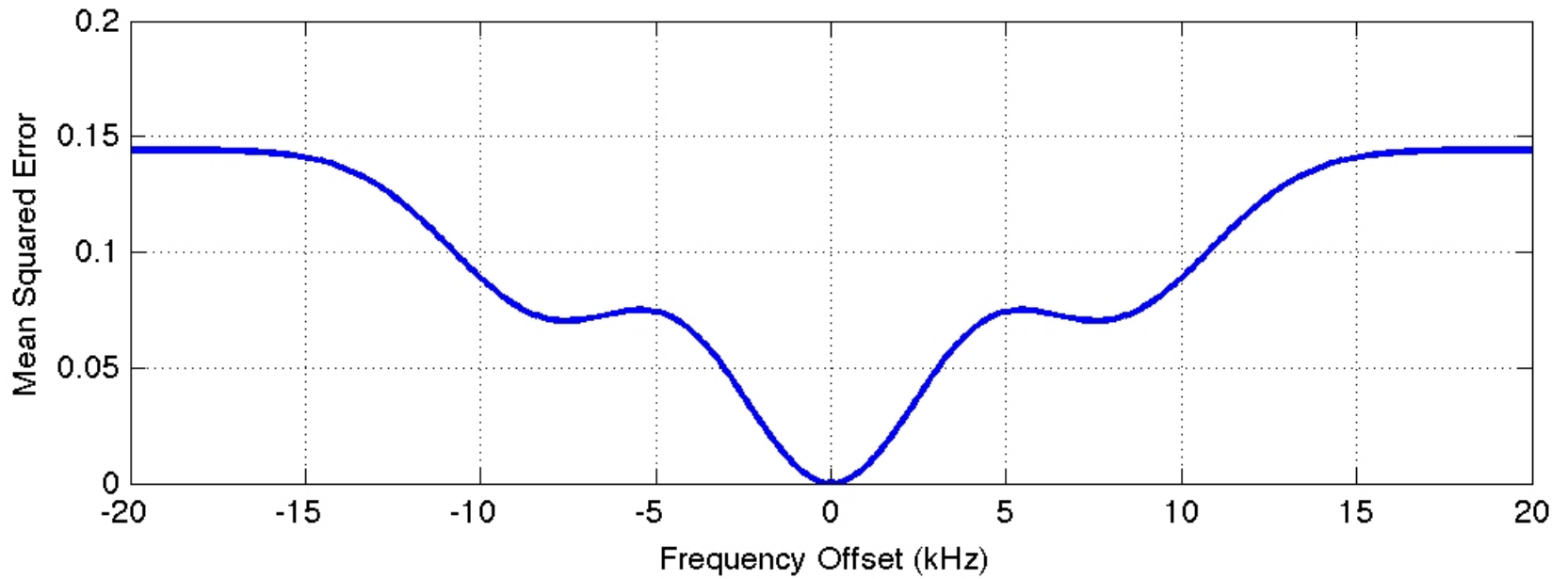
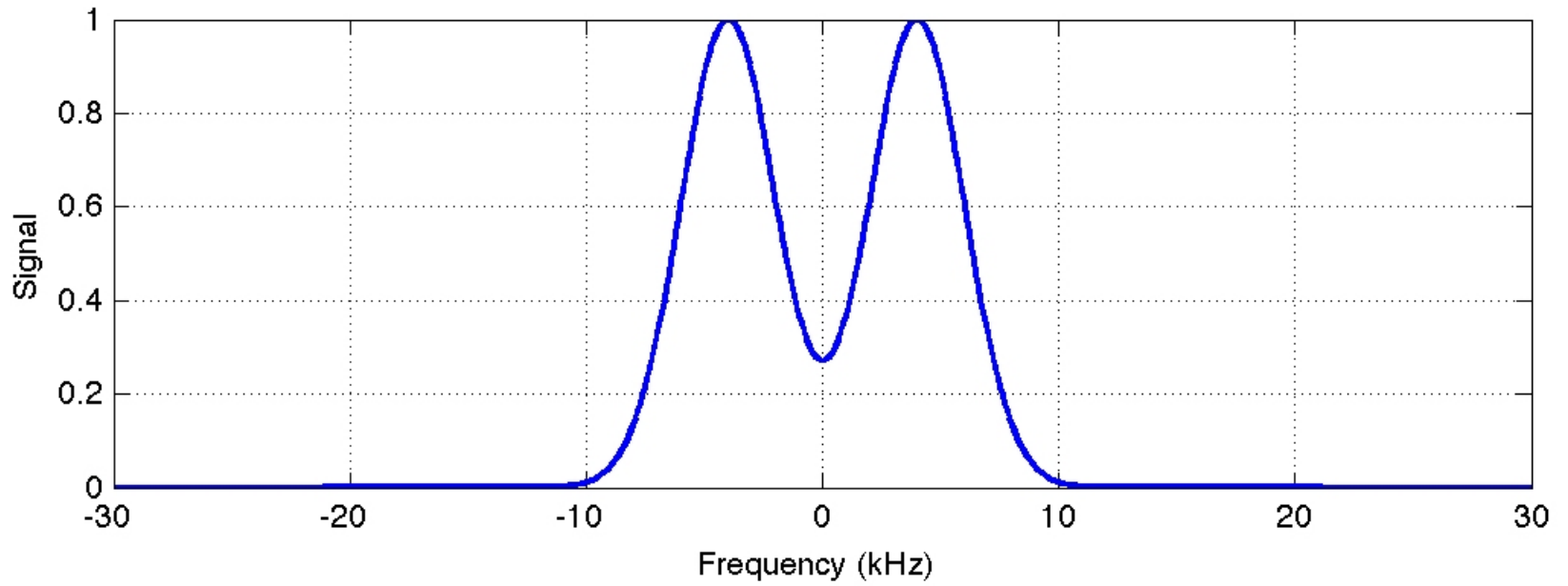
$$P_T A_{eff} = 8.7 \times 10^8 \text{ Wm}^2$$

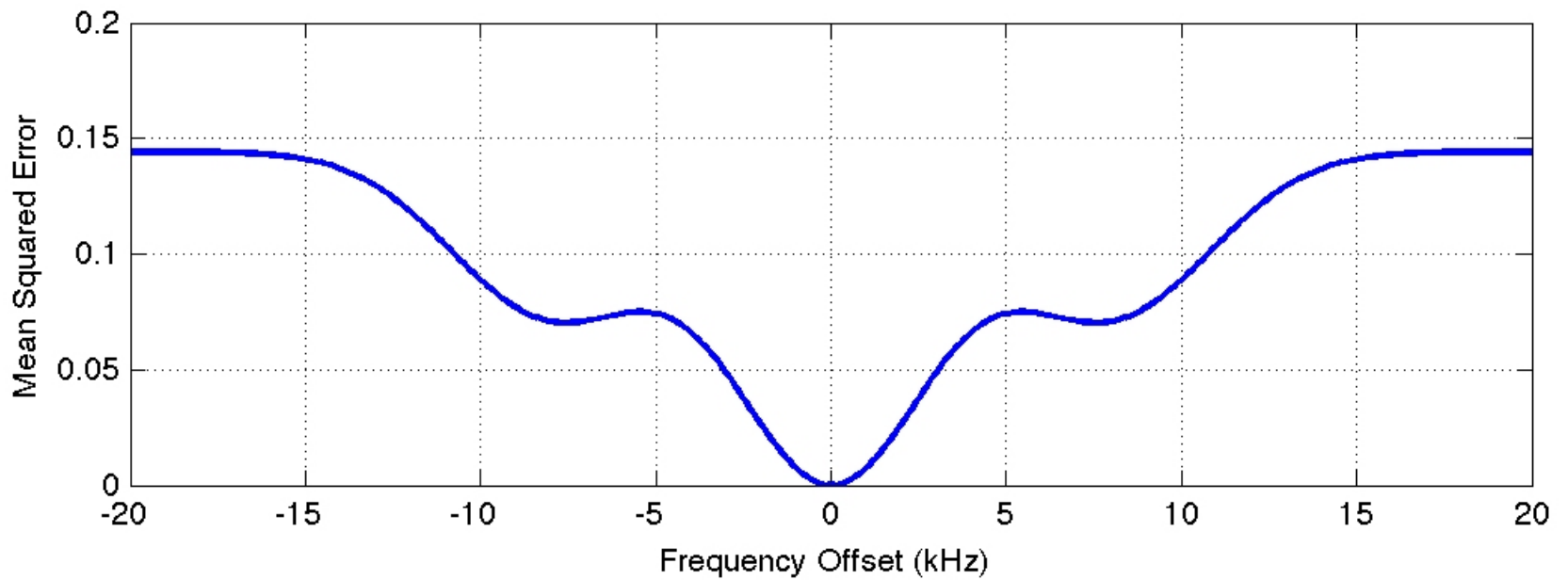
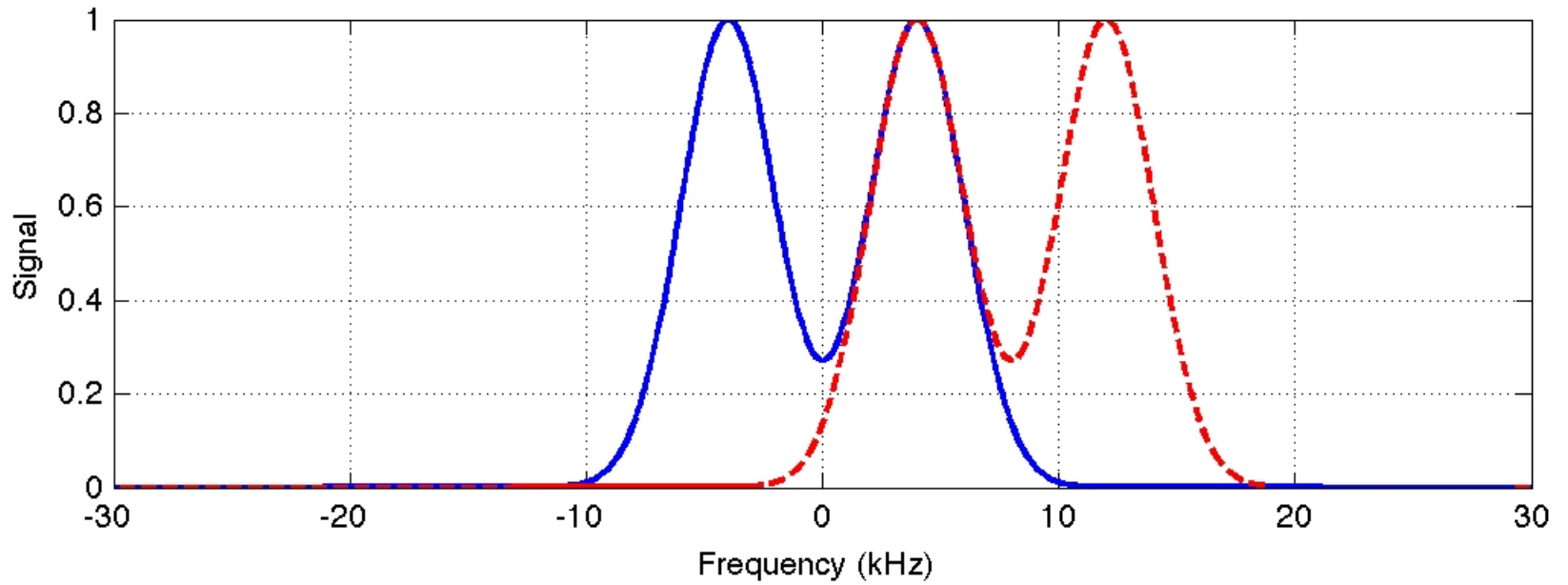
for  $A_{eff} = 400 \text{ m}^2$

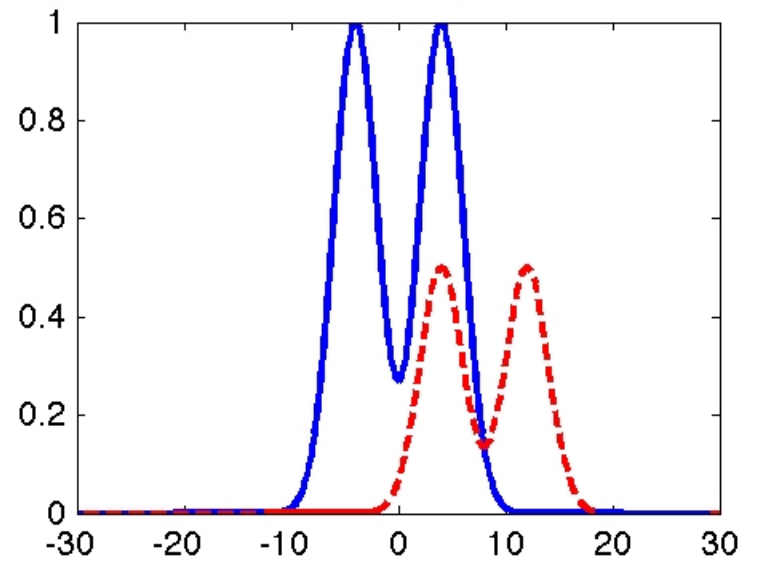
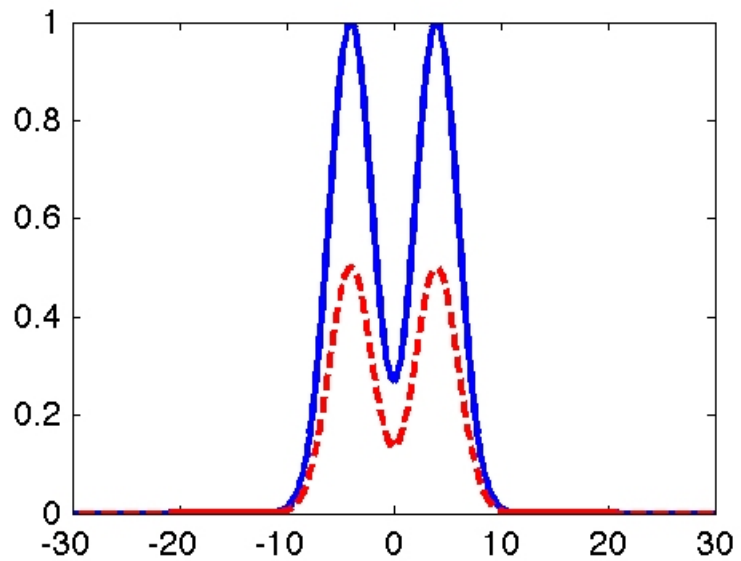
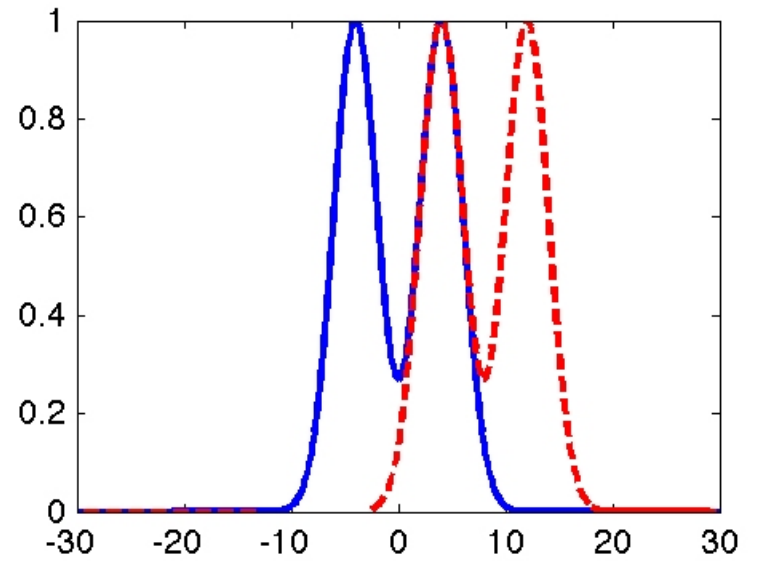
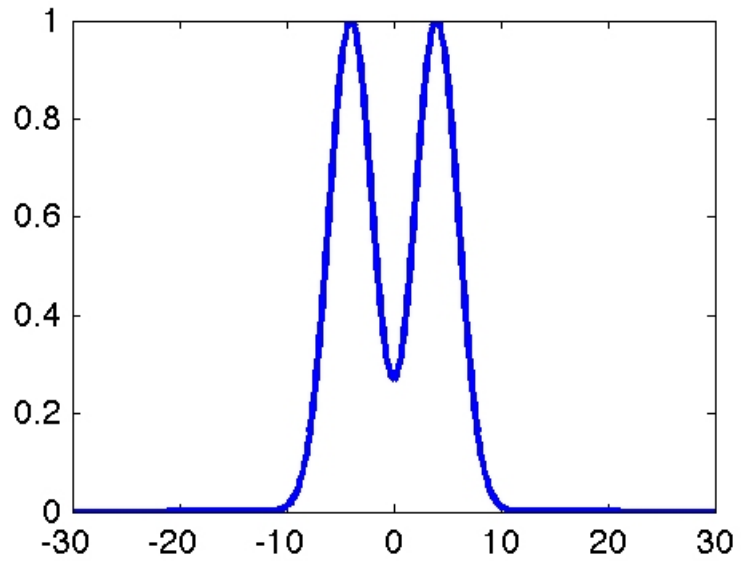
$$P_T = 2.2 \text{ MW}$$

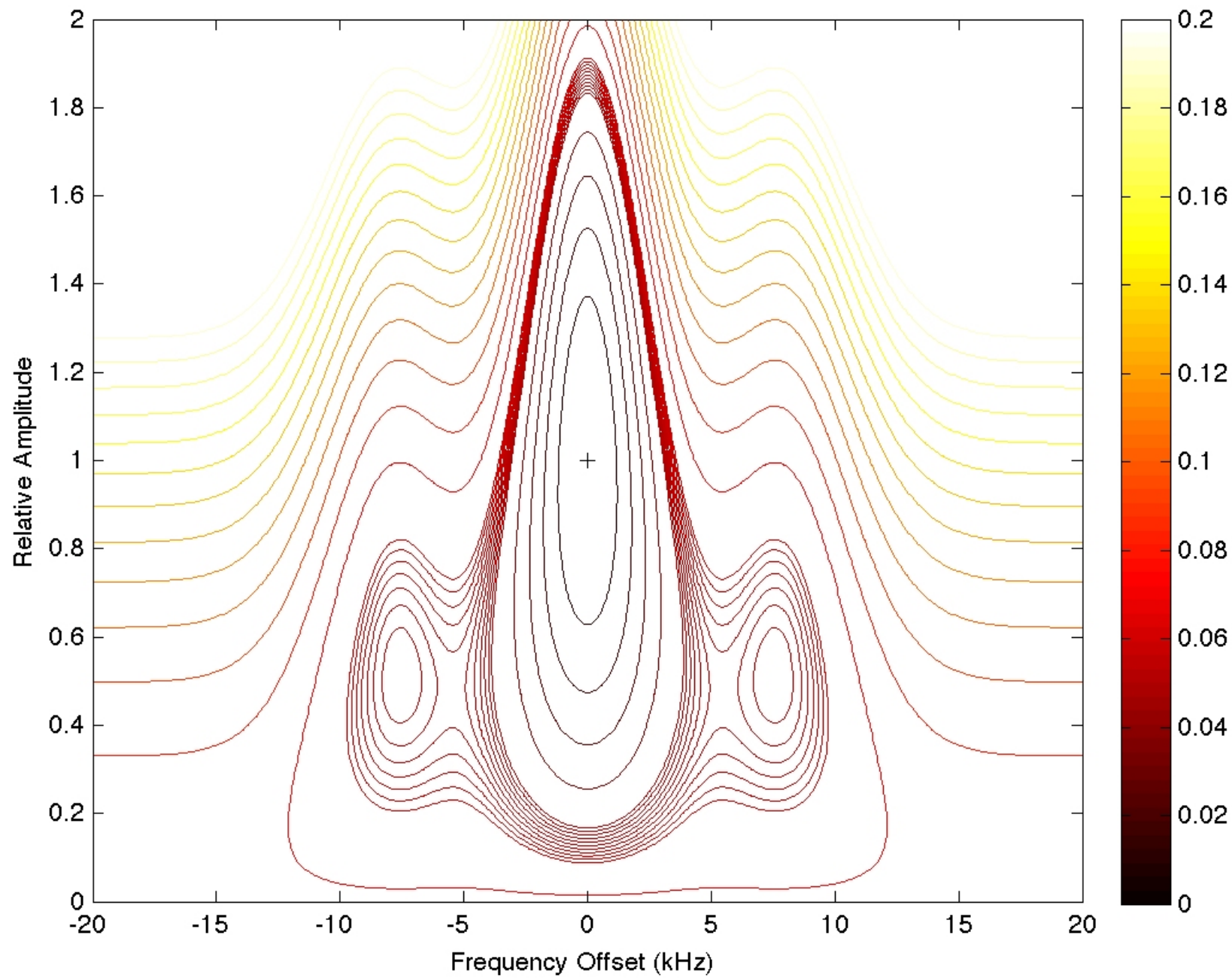
# Incoherent Scatter Radar Data Fitting











# ISR-Measurable Parameters

## BASIC PARAMETERS

Ne, Te, Ti, Vi,  $v_{in}$ , ion composition

## ELECTRODYNAMIC PARAMETERS

$E$ ,  $\sigma_H$  and  $\Sigma_H$ ,  $\sigma_P$  and  $\Sigma_P$ ,  $J_{\perp}$  and  $J_{\parallel}$

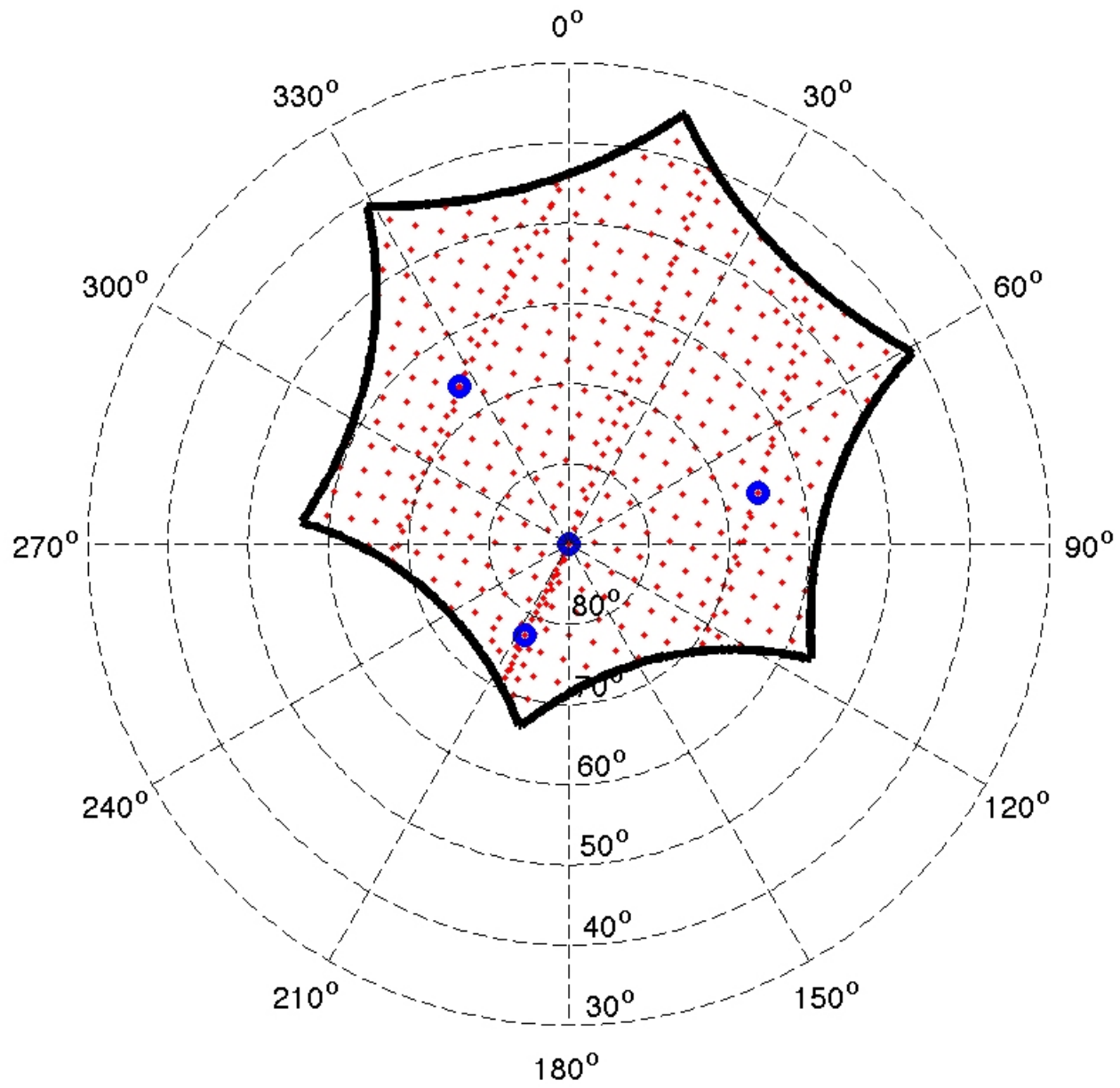
## NEUTRAL PARAMETERS

$U_{merid}$ ,  $U$ ,  $T_{inf}$

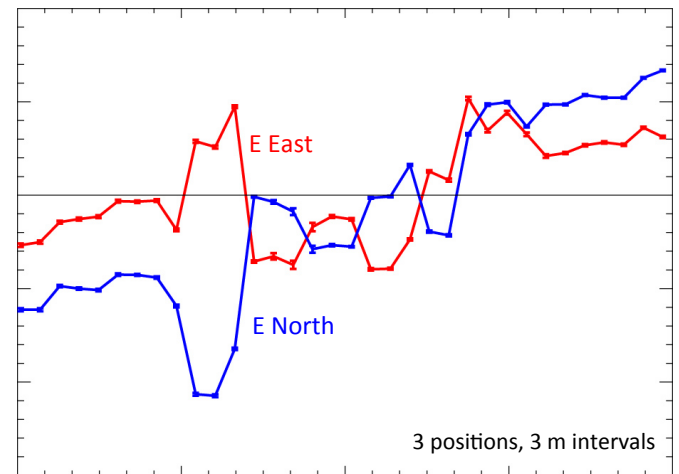
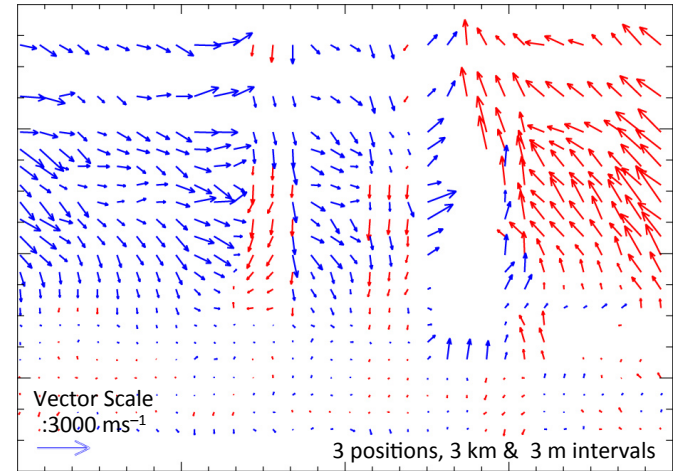
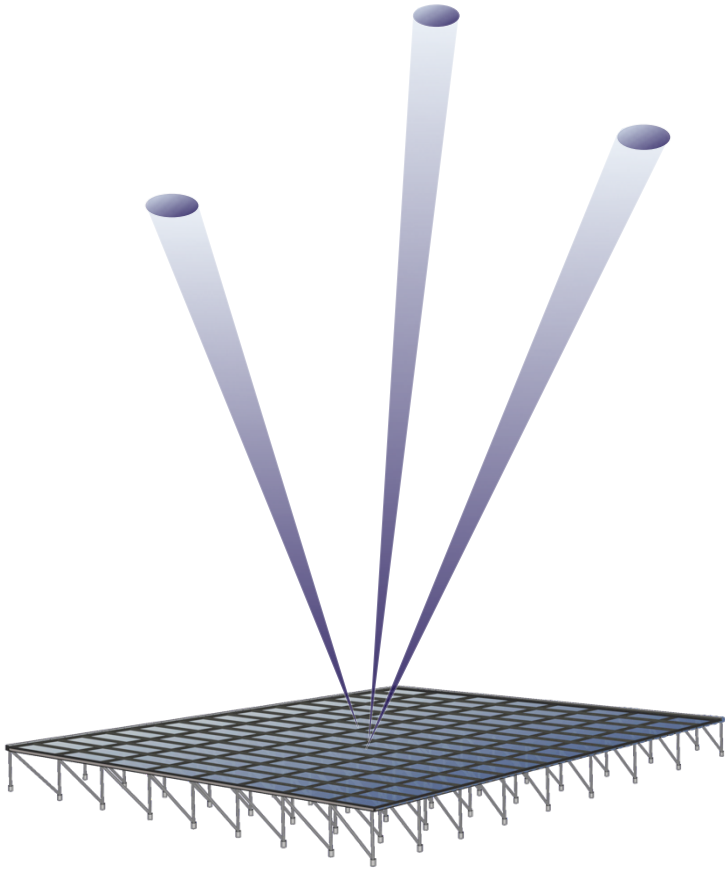
## ENERGY DEPOSITION

$f(E)$





# AMISR Ion Velocity Estimation



# E-region Electrodynamics

## Ion momentum equation

$$n_i m_i \frac{D\vec{V}_i}{Dt} = -\vec{\nabla} P_i + n_i m_i \vec{g} + n_i m_i \Omega_i \left( \frac{\vec{E}}{B} + \frac{\vec{V}_i \times \vec{B}}{B} \right) - n_i m_i \mathbf{v}_{in} (\vec{V}_i - \vec{U}_n)$$

## Steady state

$$0 = \Omega_i \left( \frac{\vec{E}}{B} + \frac{\vec{V}_i \times \vec{B}}{B} \right) - \mathbf{v}_{in} (\vec{V}_i - \vec{U}_n)$$

## Ion motion with no neutral wind

$$\theta = \arctan\left(\frac{\Omega_i}{v_{in}}\right)$$

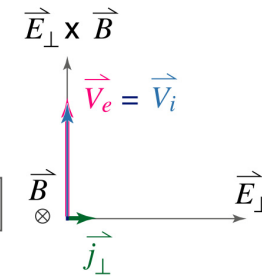
$$|\vec{V}_i(z)| = \sin \theta \frac{E}{B}$$

## Ion motion with neutral wind

$$\vec{V}_i(z) = U_n(z) + \frac{\Omega_i}{v_{in}} \left[ \frac{\vec{E}}{B} + \frac{\vec{V}_i(z) \times \vec{B}}{B} \right]$$

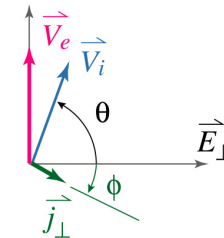
ION NEUTRAL COLLISION FREQUENCY

250 km



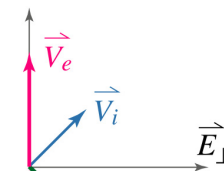
$$\begin{aligned} \vec{V}_e &= 1.0 \\ \vec{V}_i &= 1.0, \theta = 90^\circ \\ \vec{j}_\perp &= 0.1, \phi = 0^\circ \end{aligned}$$

150 km



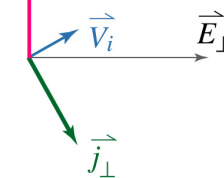
$$\begin{aligned} \vec{V}_e &= 1.0 \\ \vec{V}_i &= 0.94, \theta = 70^\circ \\ \vec{j}_\perp &= 0.35, \phi = -30^\circ \end{aligned}$$

125 km



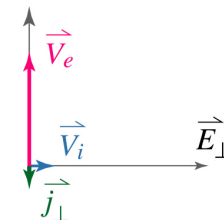
$$\begin{aligned} \vec{V}_e &= 1.0 \\ \vec{V}_i &= 0.71, \theta = 45^\circ \\ \vec{j}_\perp &= 0.71, \phi = -45^\circ \end{aligned}$$

115 km



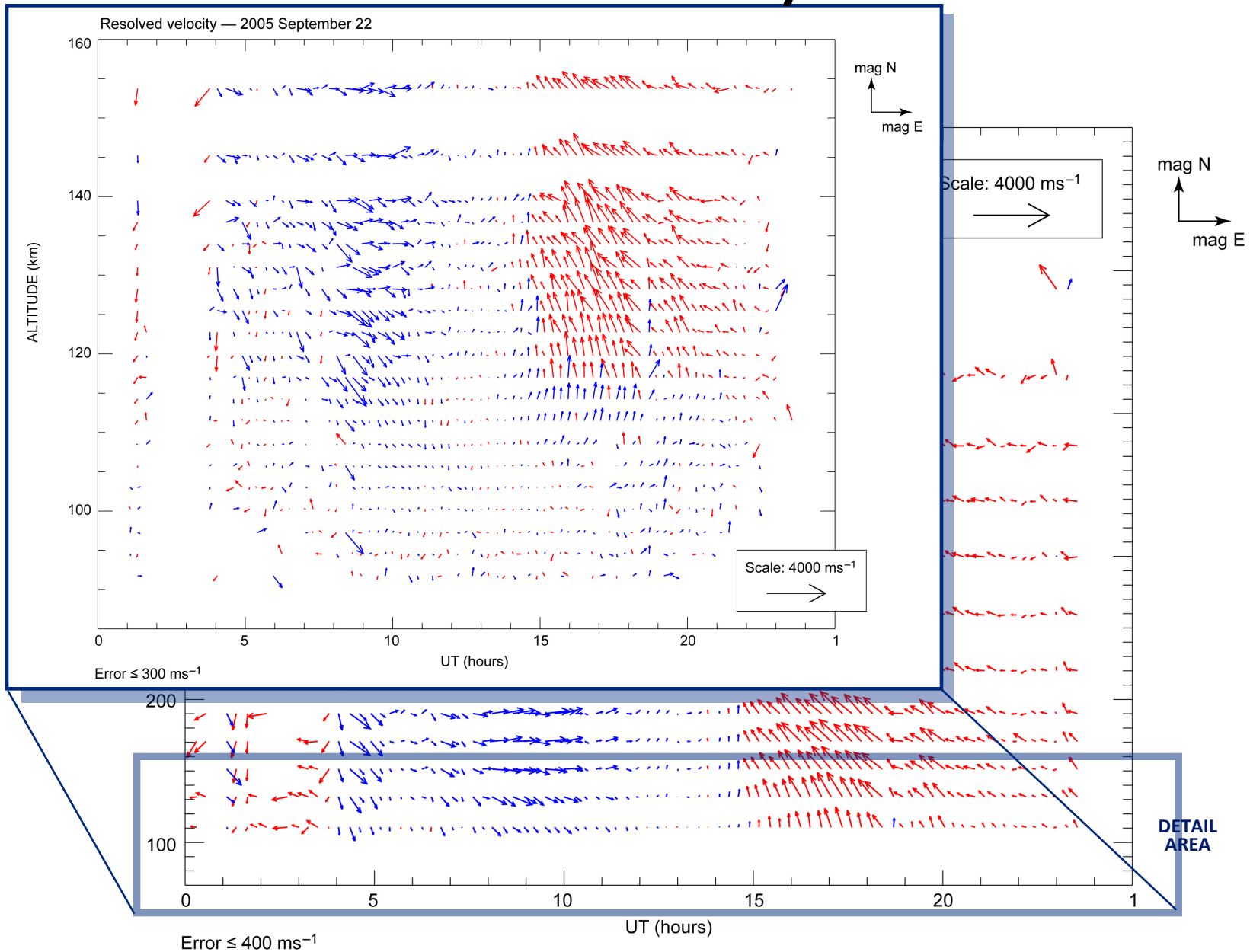
$$\begin{aligned} \vec{V}_e &= 1.0 \\ \vec{V}_i &= 0.5, \theta = 30^\circ \\ \vec{j}_\perp &= 0.86, \phi = -60^\circ \end{aligned}$$

90 km

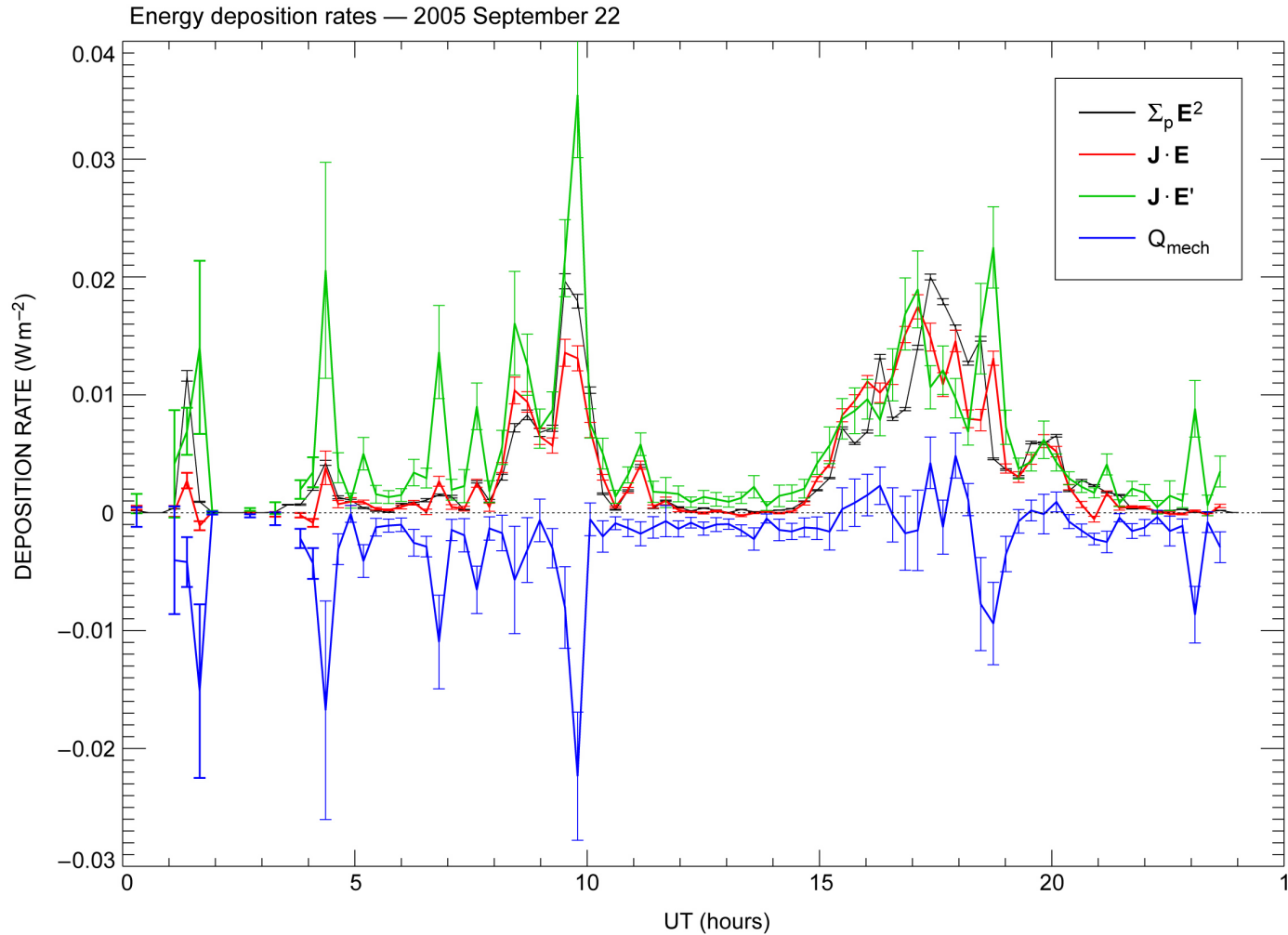


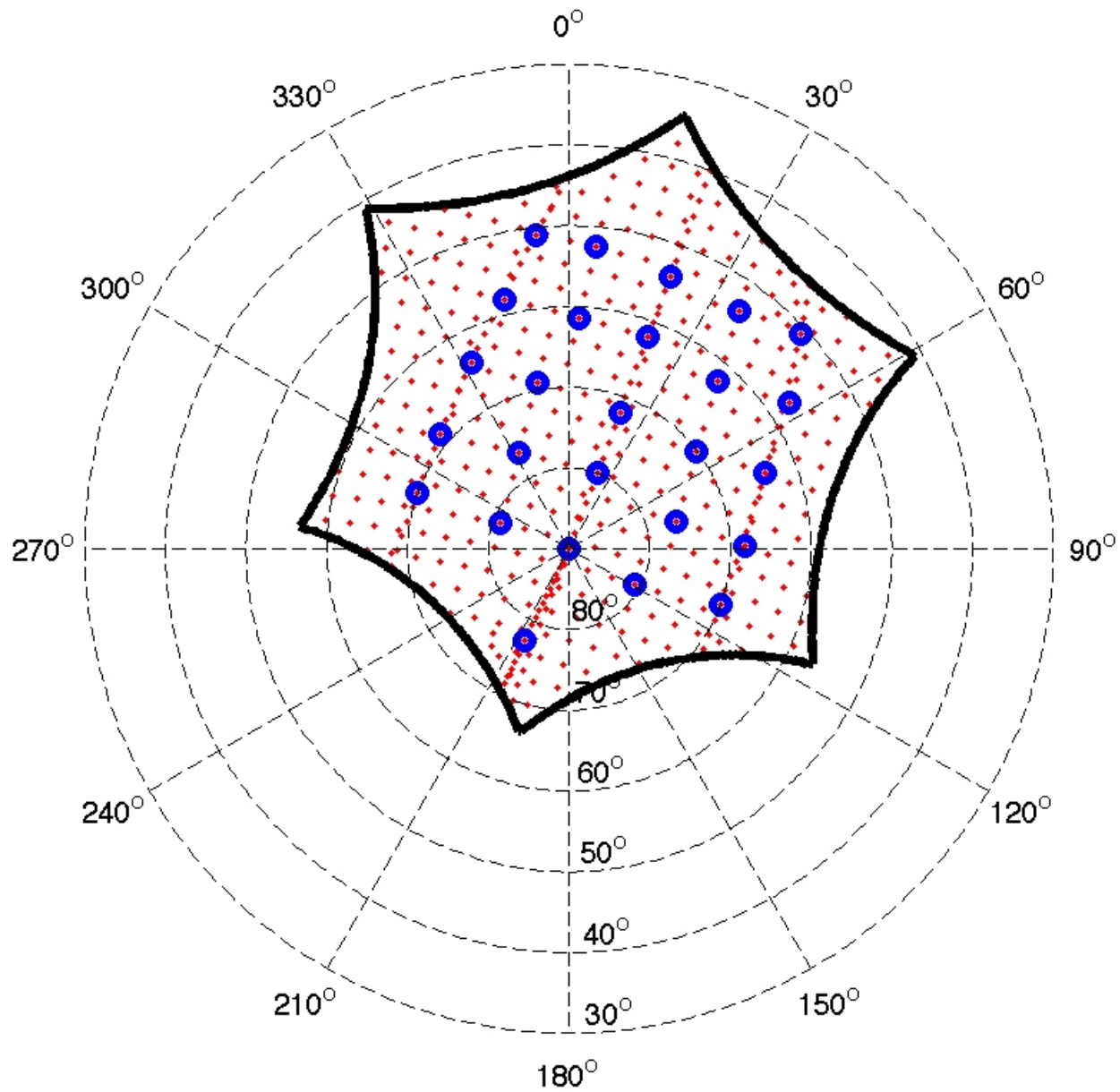
$$\begin{aligned} \vec{V}_e &= 1.0 \\ \vec{V}_i &= 0.1, \theta = 0^\circ \\ \vec{j}_\perp &= 0.1, \phi = 90^\circ \end{aligned}$$

# Local Electrodynamics

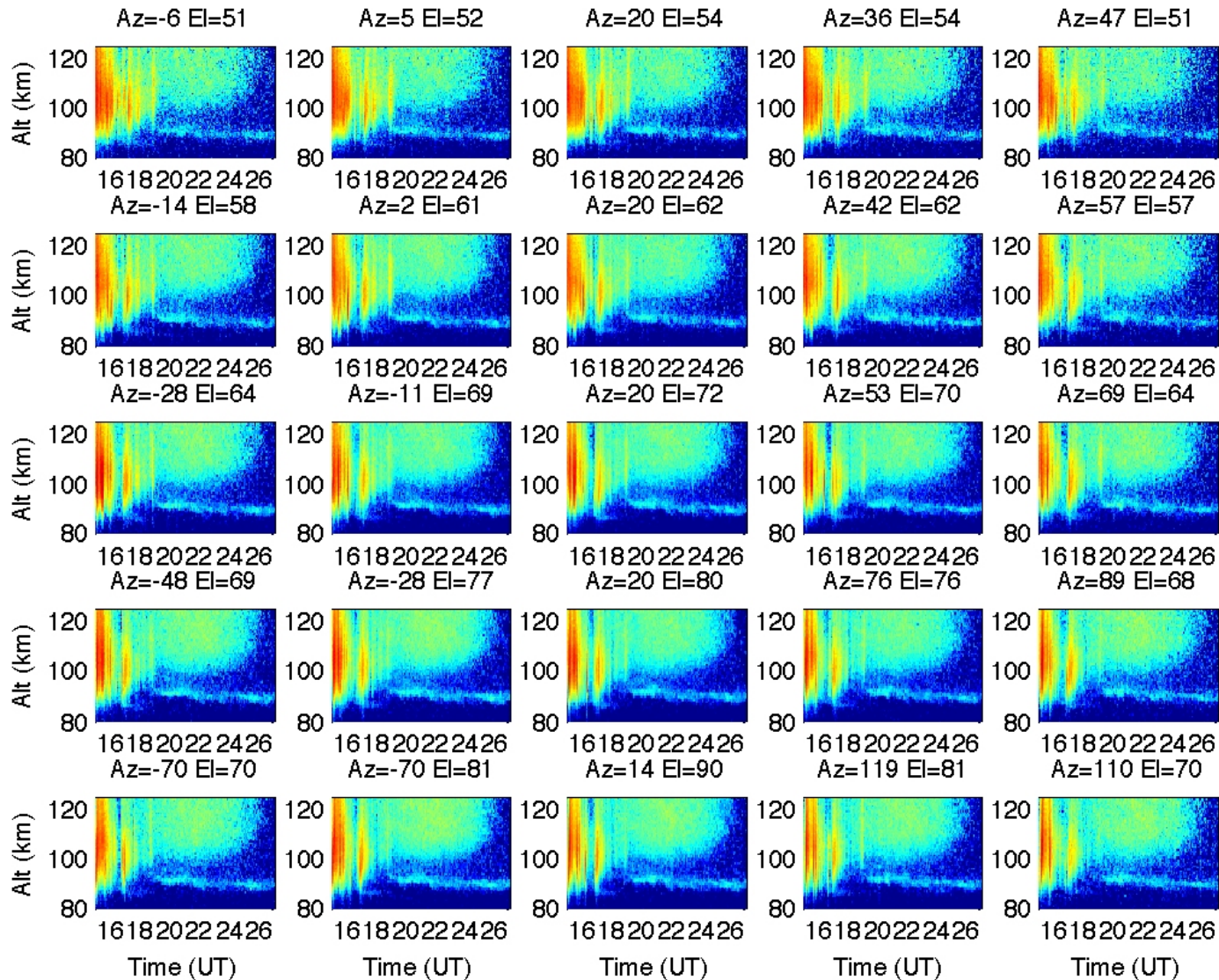


# Local Energy Deposition

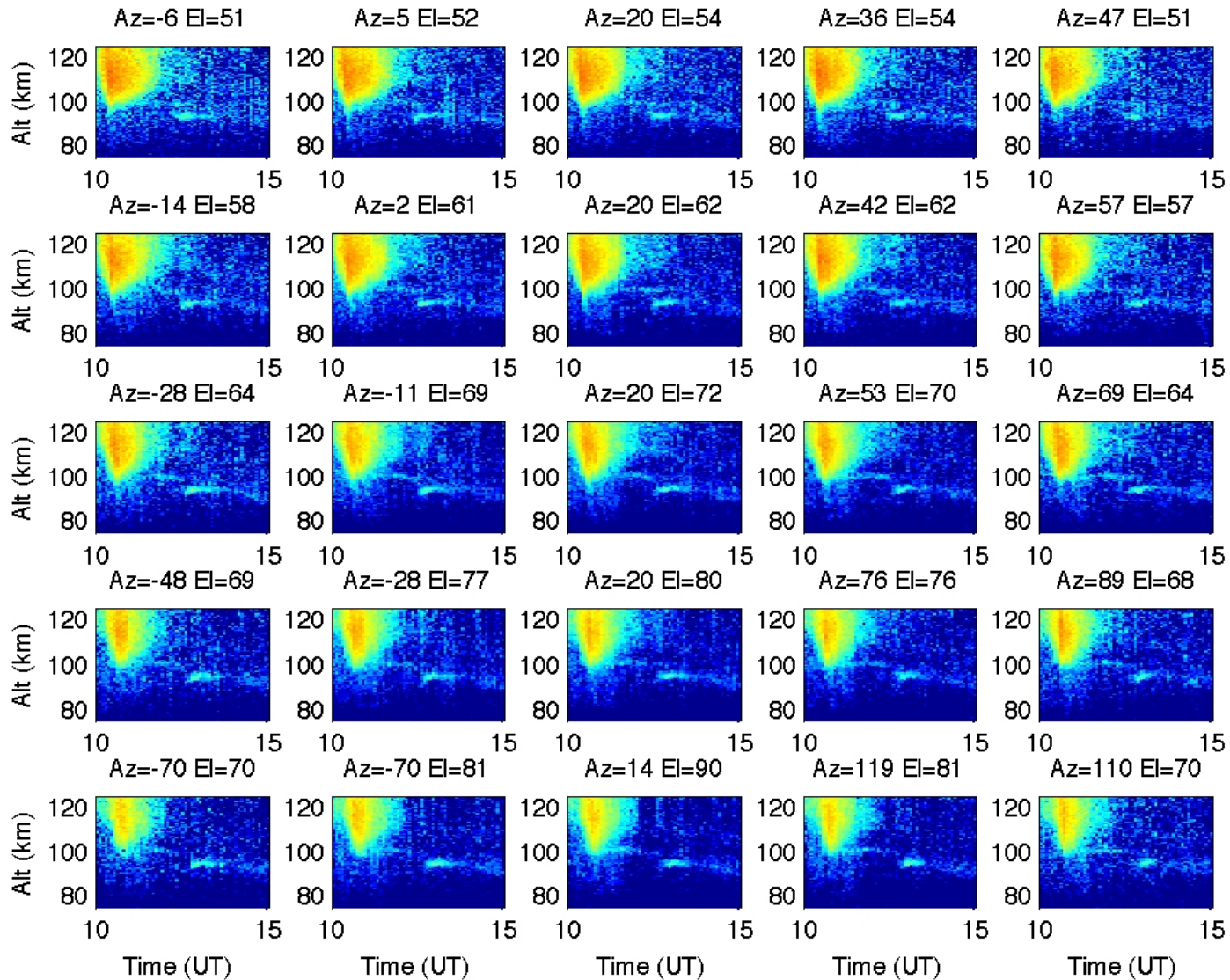




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# PFISR 2007-11-01





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